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## Amended Safety Assessment of Kojic Acid as Used in Cosmetics

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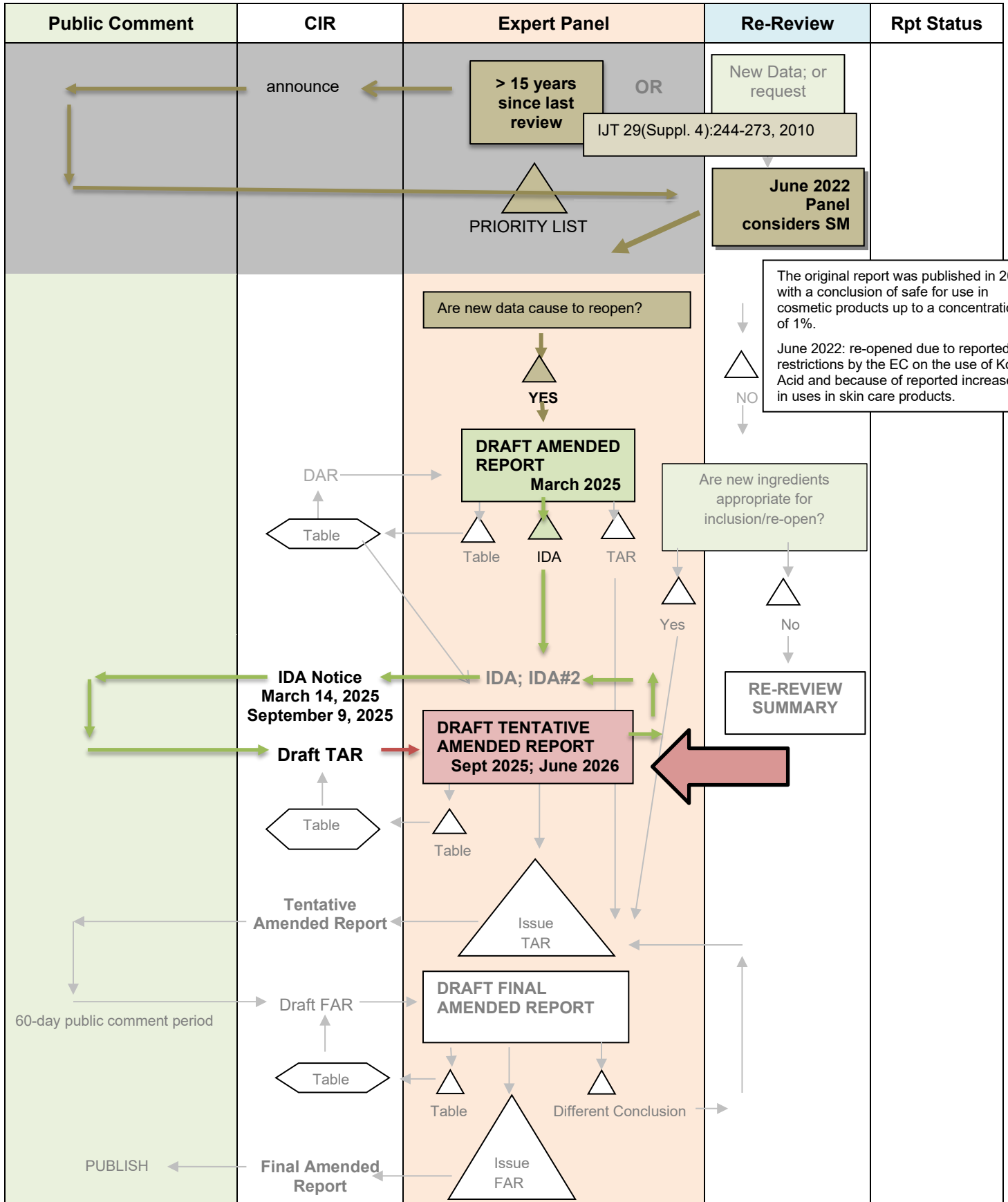
Status: Draft Tentative Amended Report for Panel Review  
Release Date: May 22, 2026  
Panel Meeting Date: June 15-16, 2026

The Expert Panel for Cosmetic Ingredient Safety members are: Chair, Wilma F. Bergfeld, M.D., F.A.C.P.; Donald V. Belsito, M.D.; Bruce A. Brod, M.D., M.H.C.I., F.A.A.D.; Samuel M. Cohen, M.D., Ph.D.; Curtis D. Klaassen, Ph.D.; Allan E. Rettie, Ph.D.; David Ross, Ph.D.; Paul W. Snyder, D.V.M., Ph.D.; and Susan C. Tilton, Ph.D. Previous Panel member involved in this assessment: David E. Cohen, M.D. The Cosmetic Ingredient Review (CIR) Executive Director is Bart Heldreth, Ph.D., and the Senior Director is Monice Fiume, M.B.A. This safety assessment was prepared by Christina Burnett, M.S., Senior Scientific Analyst/Writer, CIR.

# RE-REVIEW FLOW CHART

INGREDIENT/FAMILY Kojic Acid

MEETING June 2026





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### Memorandum

To: Expert Panel for Cosmetic Ingredient Safety Members and Liaisons  
From: Christina L. Burnett, M.S., Senior Scientific Analyst/Writer, CIR  
Date: May 22, 2026  
Subject: Amended Safety Assessment of Kojic Acid as Used in Cosmetics

Enclosed is the Draft Tentative Amended Report on the Safety Assessment of Kojic Acid as Used in Cosmetics. (It is identified as *report\_KojicAcid\_062026* in the pdf document). At the September 2025 meeting, the Panel issued a second Insufficient Data Announcement (IDA) for Kojic Acid. The additional data needed to determine the safety of this ingredient are:

- Maximum concentration of use for baby products and rinse-off skin care products
- Margin of exposure (MOE) calculations for various exposure scenarios, specifically (e.g., in bath products at 0.05%, rinse-off product, whole body, face and hands, etc.) and toxicity endpoints (developmental and reproductive toxicity, repeated-dose studies, etc.).

Since the IDA, CIR has received a data submission through the Council (*data\_KojicAcid\_0062026*). This submission contains an additional MOE calculation for rinse-off products applied on the whole body. This, in addition to new MOE calculations performed by CIR staff, are highlighted in yellow.

Additionally, the use table has been updated with RLD obtained from the FDA in 2025. Kojic Acid is reported to be used in 3726 formulations (increased from 1114 uses reported in 2024), with the majority of the uses reported in personal cleanliness products (867 in bath soaps and body washes) and skin care preparations (822 in face and neck products). In our analysis of each product reported in the RLD with a categorization of "(17) Other preparations (i.e., those preparations that do not fit another category)," the product names thereof were mostly useful in determining the product type. Many products were serums, peels, cleansers, soaps, wipes, lotions, or masks to be applied to the face. Several products were to be applied as body lotions, or serums or oils for the eye-area or lips. Numerous products were described as skin whiteners or dark spot removers, with a few products appearing to be targeted for use in sensitive skin areas (e.g. the genital or rectal areas).

Based on the equivocal relevance of certain genotoxicity study methods, CIR staff have excluded the following citations/studies from the report:

- a sister chromatid exchange assay
- an unscheduled DNA synthesis test
- an SOS chromotest assay

Comments received from the Council on the Draft Tentative Amended Report that was reviewed by the Panel in September have been addressed (*PCPCcomments\_KojicAcid\_062026* and *response-PCPCcomments\_KojicAcid\_062026*). Additional supporting documents for this report package include a flow chart (*flow\_KojicAcid\_062026*), report history (*history\_KojicAcid\_062026*), a search strategy (*search\_KojicAcid\_062026*), a data profile (*datapofile\_KojicAcid\_062026*), transcripts from the most recent meetings at which Kojic Acid has been discussed (*transcripts\_KojicAcid\_062026*), the minutes from all the meetings at which Kojic Acid were discussed during the original reviews (*originalminutes\_KojicAcid\_062026*), and the original report (*originalreport2010\_KojicAcid\_062026*).

The Panel should carefully consider and discuss the data (or lack thereof), and issue a Tentative Amended Report with a safe, safe with qualifications, insufficient data, unsafe, or split conclusion, and identify any additional items for inclusion in the Discussion.

For your recollection:

<b>Data need</b>	<b>Received?</b>	<b>Notes</b>
Maximum concentration of use for baby products and rinse-off skin care products	No	Data not received
Margin of exposure (MOE) calculations for various exposure scenarios, specifically (e.g., in bath products at 0.05%, rinse-off product, whole body, face and hands, etc.) and toxicity endpoints (developmental and reproductive toxicity, repeated-dose studies, etc.).	Partial	MOE calculation received for rinse-off products for the whole body

### **Kojic Acid History**

**2010** – The CIR Final Report on the Safety Assessment of Kojic Acid was published in the *International Journal of Toxicology*. The Panel concluded that Kojic Acid is safe as a cosmetic ingredient up to a concentration of 1%.

**June 2022** – The Panel determined that this safety assessment should be re-opened for re-evaluation due to reported restrictions by the European Commission on the use of Kojic Acid and because of reported increases in uses in skin care products.

**March 2025** – The Panel determined that the data were insufficient to support safety of this cosmetic ingredient and issued an IDA. The additional data needs were:

- An MOE calculation for whole body exposure
- An explanation as to why the EU restricted use of Kojic Acid to the face and hands only

**September 2025** - The Panel issued a second IDA for Kojic Acid. The additional data needed to determine the safety of this ingredient are:

- Maximum concentration of use for baby products and rinse-off skin care products
- MOE calculations for various exposure scenarios, specifically (e.g., in bath products at 0.05%, rinse-off product, whole-body, face and hands, etc.) and toxicity endpoints (developmental and reproductive toxicity, repeated-dose studies, etc.).

**Kojic Acid Data Profile\* - June 2026 - Christina Burnett**

	Use		Method of Mfg	Impurities	Toxicokinetics			Acute Tox			Repeated Dose Tox			DART		Genotox		Carci		Dermal Irritation			Dermal Sensitization			Phototoxicity	Ocular Irritation		Clinical Studies	
	New Rpt	Old Rpt			log P/log K <sub>ow</sub>	Dermal Penetration	ADME	Dermal	Oral	Inhalation	Dermal	Oral	Inhalation	Dermal	Oral	In Vitro	In Vivo	Dermal	Oral	In Vitro	Animal	Human	In Vitro	Animal	Human		In Vitro	Animal	Retrospective/Multicenter	Case Reports
<b>Kojic Acid CAS No. 501-30-4</b>	X	O	X	X	XO	XO	O	O	O	O	XO	XO		O	XO	XO	O	O	O	O	O	O	O	O	O	O	O	O	O	XO

\* "X" indicates that new data were available in this category for the ingredient; "O" indicates that data from the original assessment were available



- International Programme on Chemical Safety <http://www.inchem.org/>
- Office of Dietary Supplements <https://ods.od.nih.gov/>
- FAO (Food and Agriculture Organization of the United Nations) - <http://www.fao.org/food/food-safety-quality/scientific-advice/jecfa/jecfa-additives/en/>
- WHO (World Health Organization) IRIS library - <https://apps.who.int/iris/>
- a general Google and Google Scholar search should be performed for additional background information, to identify references that are available, and for other general information - [www.google.com](http://www.google.com) <https://scholar.google.com/>



## Memorandum

**TO:** Bart Heldreth, Ph.D.  
Executive Director - Cosmetic Ingredient Review

**FROM:** Kimberly Norman, Ph.D., DABT, ERT  
Industry Liaison to the CIR Expert Panel

**DATE:** September 2, 2025

**SUBJECT:** Draft Tentative Amended Report: Amended Safety Assessment of Kojic Acid as Used in Cosmetics (draft prepared for the September 8-9, 2025, meeting)

The Personal Care Products Council respectfully submits the following comments on the draft tentative report, Amended Safety Assessment of Kojic Acid as Used in Cosmetics.

### Key Issue

The Discussion includes the following statement: “Thyroid effects are linked to disruption of hormone homeostasis, to which rodents are particularly sensitive due to differences in thyroid hormone metabolism and regulation.” If the Expert Panel agrees with this statement, is a point of departure for a risk assessment (as was completed by the SCCS) based on thyroid effects appropriate?

### Additional Considerations

Abbreviations – Is DHPN a correct abbreviation for diisopropanolnitrosamine? Although that is how it is stated in the original report, searching for DHPN on the internet suggests that it is an abbreviation for N-bis(2-hydroxypropyl)nitrosamine or its related isomer, dihydroxy-di-propylnitrosamine, and DIPN is an abbreviation for diisopropanolnitrosamine. The title of reference 95 in the original report (a reference for which DIPN is used) is “Enhancement of hepatocarcinogenesis by kojic acid in rat two-stage models after initiation with N-bis(2-hydroxypropyl)nitrosamine or N-diethylnitrosamine”. So maybe DHPN should represent N-bis(2-hydroxypropyl)nitrosamine. If there was a mistake in the original report, it should be corrected in this report.

Thyroid Effects – In this section, it would be helpful to note which study the SCCS used as the basis of their risk assessment.

Something is missing: “? concluded that elevated TSH in rodents leads to ....”

Toxicogenomics – Were the described effects observed at all tested concentrations?

Case Reports – If available, please state the concentration of Kojic Acid that was in the serum (reference 38).

Risk Assessment – In the risk assessment section, if correct, please state that the 28-day study that serves as the basis for the SCCS risk assessment is described in the Thyroid Effects section.

Summary – When describing the SCCS opinion and MOE calculations, please indicate that it is based on thyroid effects in rats.

<b>Kojic Acid – June 2026 – Christina Burnett</b>	
<b>Comment Submitter: Kimberly Norman, Ph.D., Personal Care Products Council</b>	
<b>Date of Submission: September 2, 2025</b>	
<b>Comment</b>	<b>Response/Action</b>
Key Issue: The Discussion includes the following statement: “Thyroid effects are linked to disruption of hormone homeostasis, to which rodents are particularly sensitive due to differences in thyroid hormone metabolism and regulation.” If the Expert Panel agrees with this statement, is a point of departure for a risk assessment (as was completed by the SCCS) based on thyroid effects appropriate?	Risk Assessment section revised.
Abbreviations – Is DHPN a correct abbreviation for diisopropanolnitrosamine? Although that is how it is stated in the original report, searching for DHPN on the internet suggests that it is an abbreviation for N-bis(2-hydroxypropyl)nitrosamine or its related isomer, dihydroxy-di-propyl nitrosamine, and DIPN is an abbreviation for diisopropanolnitrosamine. The title of reference 95 in the original report (a reference for which DIPN is used) is “Enhancement of hepatocarcinogenesis by kojic acid in rat two-stage models after initiation with N-bis(2-hydroxypropyl)nitrosamine or N-diethylnitrosamine”. So maybe DHPN should represent N-bis(2-hydroxypropyl)nitrosamine. If there was a mistake in the original report, it should be corrected in this report.	Source material defined the abbreviation as such; however, it appears that diisopropanolnitrosamine is a synonym to N-bis(2-hydroxypropyl)nitrosamine. Report has been corrected to reflect the more common chemical name.
Thyroid Effects – In this section, it would be helpful to note which study the SCCS used as the basis of their risk assessment.	Noted.
Something is missing: “concluded that elevated TSH in rodents leads to ....”	Corrected.
Toxicogenomics – Were the described effects observed at all tested concentrations?	Paragraph revised with additional information.
Case Reports – If available, please state the concentration of Kojic Acid that was in the serum (reference 38).	Concentration in the serum was not reported in the case report.
Risk Assessment – In the risk assessment section, if correct, please state that the 28-day study that serves as the basis for the SCCS risk assessment is described in the Thyroid Effects section.	This section was re-written by Dr. Zhu with input from Dr. Ross.
Summary – When describing the SCCS opinion and MOE calculations, please indicate that it is based on thyroid effects in rats.	Paragraph revised.

**JUNE 2022 PANEL MEETING – STRATEGY MEMO****Belsito Team – June 16, 2022**

**Dr. Belsito** - OK. Okey doke. So we have, I think 4 minutes, but let's try and knock off we want to try and knock off the Kojic Acid. That's \*(inaudible) use area Monice?

**Monice Fiume (CIR)** - Uh, sure.

**Dr. Belsito** - And that's in wave.

**Monice Fiume (CIR)** - I believe they should be in the original submission. A strategy memos.

**Dr. Belsito** - In the admin memo is that it?

**Monice Fiume (CIR)** - Let me see how it was put into the book. It's should say strategy memo. On the flash, it starts with SM and then Kojic Acid, SM aluminum prostaglandins and use table, yeah.

**Dr. Belsito** – Yeah. That's. Umm. Yeah. OK. So first of all, let me talk about aluminum. Since I'm the person who chooses the allergen of the year, we do not have to reopen it. The issue is with vaccines, not with cosmetic use.

**Monice Fiume (CIR)** - OK.

**Dr. Belsito** - And it's not even that common with vaccines. So definitely a no to reopening aluminum. And kojic acid. Umm. So this is basically new data that the SCCS has acted upon, and namely data from the US EPA noise 2019. It came to the conclusion that elevated TSH and rodents leads to thyroid hypertrophy and potential thyroid cancer and adverse outcome that is limited relevance to human thyroid cancer due to species differences in sensitivity. And the same conclusion was also made previously by several other expert groups, but through some reason, SCCS. US has decided that. They are. Not to reduce the interspecies factor. And has come up with some different conclusions than what we had. Nonetheless, I mean, there's clearly new data. The 2019 EPA study since we last looked at kojic acid. So do we need to reopen this?

**Dr. Rettie** - There was some mention of possible endocrine disruption as well. Did that come from the Europe study European group?

**Dr. Belsito** - You know, that came the noise group \*(inaudible). That's the thyroid stuff that I just.

**Dr. Rettie** - Uh, so those were related in, in rats. The yeah. OK, go.

**Dr. Belsito** - Yeah.

**Dr. Klaassen** - This there's basically in Europe, anything that's considered an endocrine disruptor is kind of like a fire bomb. And so there's an endocrine disruptor they get overly excited, let's say about its relevance to humans. This this thyroid problem is not considered a problem in the United States and for the FDA or the EPA. So I have no problem with the thyroid aspect and think it's just an overreaction of the European to endocrine disruptors in general.

**Dr. Rettie** - OK. Thanks.

**Dr. Belsito** - For Curt, you don't think we need to go back and revisit it?

**Dr. Klaassen** - I don't think so personally, no.

**Dr. Liebler** - Isn't the question isn't the question whether to do it on our 15 year clock, which would bring it up in 2025, or rereview it now?

**Dr. Belsito** - Correct.

**Dr. Klaassen** - Right.

**Dr. Liebler** - And so you know, I it sounds like we wait until it's due rather than rush to rereview it now.

**Dr. Klaassen** - I agree.

**Dr. Belsito** - Then what are your thoughts, given the EPA data that's new to us?

**Dr. Liebler** - I mean I guess I agree with Curt, but I'm willing to be talked out of it if Don, if you feel that the that the new data justifies speeding up?

**Dr. Belsito** - Well, I mean, it's now, you know, 2022, June of 2022, 2025 is going to be here before we know it. You know even if we agreed to reopen it, it would just Monice how would that work? It would go onto the priority list for 2023 and it probably would be what December of 2023 before we start looking at it?

**Monice Fiume (CIR)** - It may be earlier than that, it doesn't necessarily have to go onto the priority list because the panel can reopen anything at any given time. If you want it added to the 2023 priority list, we could do it that way, but it would just be issued really as a report that is being reopened for cause.

**Dr. Belsito** – Paul?

**Dr. Snyder** - Yeah, I was. I was a little bit like Dan. I was kind of on the fence on this. I think that the issues for me, that change in that the kojic acid not safe when used it at up to 1% for skin lightening due to endocrine disrupting properties, I was I had a question. What drove that conclusion? And so if we reopened, we could kind of better understand that conclusion. The other issues I had two other issues. Were there any data in the SEC SCCP report that weren't in our report? And so that would be, were there any significant new data that we really need?

**Dr. Belsito** - Just that EPA study.

**Dr. Snyder** - That we really need to have a look at and then any differences in the formulations of kojic acid used as a skin lightening agent versus cosmetic product. Even though the skin lightening is the drug effect. But if there was a difference in formulation that might help us better understand that because I think we always kind of deal with that and discuss that. So I could go either way, I mean I.

**Dr. Belsito** - I think we'll find that the cosmetic uses of this have skyrocketed since we last looked at it. It is a hot ingredient in the quote UN quote, Cosmeceuticals I know that that is not an appropriately accepted term, but that's what dermatologists referred to as Cosmetic products that are marketed with very heavy marketing claims to improve. Whatever that keeps them out of the OTC category. But the consumer doesn't understand the difference so.

**Dr. Snyder** - On that basis, I think we, I think we should reopen then I would sway that would sway me to the if it, if it's skyrocketed and this this change in this levels and things I would think it would be a good due diligence on our part to just make sure that we're good with our conclusion.

**Dr. Belsito** - Yeah, I mean, I said we should open it to rereview. It's going to come up in another couple years anyway, so let's do it now.

**Dr. Snyder** - Yeah, I'm agree with that.

**Dr. Klaassen** - I have no problem with that.

**Dr. Liebler** - Same here.

**Dr. Belsito** - OK, Monice so this one we will reopen.

**Monice Fiume (CIR)** - OK and just to give insight according to the VCRP, the number of uses for kojic acid is currently 87.

**Dr. Belsito** - That's voluntary. And what was it when we last looked at it?

**Monice Fiume (CIR)** - Let me see.16.

**Dr. Belsito** - You know.

**Monice Fiume (CIR)** - So yeah, so it's gone up.

**Dr. Belsito** - And there are in a lot of the companies that are using it, are probably leading are almost certainly not members of PCPC and are probably not reporting. Because it's used in a lot of these little boutique bronze.

### **Cohen Team – June 16, 2022**

Transcript of discussion missing.

### **Full Panel – June 17, 2022**

**Dr. Belsito** - OK so panel safety assessment on this was issued in 2010. We concluded two endpoints of concern, dermal sensitization and skin lightening would not be seen at use concentrations below 1 cent and so we went with the safe for use in cosmetic products at that level. I will remind you it is effective in lightening and skin lightening would be considered a drug or facts. They get to a number of studies on endocrine disruption, particularly thyroid, and recognize that the rodent thyroid and is sensitive to chemical substances and physiologic perturbations in ways different than in humans. So we thought that that was not an issue here. We're now reviewing it because it's been more than 15 years. Yeah. Well, we'll soon be 15 years, I should say in 2025. It's not yet at 15 years. And the question was, should we accelerate review? And I thought we should because the use of this product is increasing rapidly, you know, from the VCRP data Monice, I think it went from what, 11 or 12 up to 84. I think that that's probably gross underrepresentation. Kojic acid has become a huge issue and or huge product in in this in “cosmeceuticals”. I know they don't exist, but it, you know dermatologist are using it like crazy. And the companies that I see

with patients bringing kojic acid products are relatively small, probably don't participate in PCPC and are probably not reporting their uses to the VCRP. So I think we need to relook at this because it's a hot consumer item.

**Dr. Bergfeld** - Any other comments?

**Dr. Cohen** - Yeah. So, Don, we, we had a virtually parallel discussions. I think most of the discussion of kojic acid and the dermatology lexicon relates to a drug effect, a lightening effect. and I didn't think that the three year typical window was that far out that we needed to reaccelerate it and we have in there 1% and I understand the new the European regulation is .7%. I listen. I'm not digging in on this. It's just that we thought that there wasn't enough there to accelerate it. And this also coupled with the fact that our publication expectation on this is 2 to three years anyway. So that was our comment on it.

**Dr. Belsito** - We discussed that also, but it's only going to be a matter of a couple years before it's going to come up so. Or I'll let other Members in my group and other people comment. I just think that yeah, the Europeans have changed their opinion. And the use is certainly more than 84 products. And when you look at the marketing, you know it's marketed skin brightening. You know, not lightening. You know you so they avoid any drug claims, you know.

**Dr. Cohen** - So. So Don, I think your points and your teams points are well taken instead of maybe having your team persuade our team, maybe I'll just ask our team if there's any objections to the acceleration cause it, we went back and forth on this. So Tom, Ron, Dave, any issues if we affirm the Belsito's team of reaccelerated more for public awareness issues.

**Dr. Slaga** - Uh, Tom here. No matter of fact, I was my original.

**Dr. Cohen** - It was.

**Dr. Ross** - Fine with me there, Ross.

**Dr. Bergfeld** - Ron?

**Dr. Shank** - I don't see it as so important, but it's strictly up to the dermatologist.

**Dr. Cohen** - OK. So Don, we can affirm your motion to accelerate the review.

**Dr. Belsito** - Thank you.

**Dr. Bergfeld** - I think that we've actually had a vote to accelerate by all the comments made and the individuals commenting, so we will accelerate this ingredient. Thank you. So we're moving on to the next ingredient.

### **MARCH 2025 PANEL MEETING – DRAFT AMENDED REPORT**

#### **Belsito Team – March 13, 2025**

**DR. SNYDER:** The next one is Kojic Acid. Bring it up here, Kojic Acid. This is an amended safety assessment. The original review was published in 2010. It was safe as used up to 1 percent. It's 15 years since that was looked at, so it was reopened.

In June of 2022, we reopened due to the EU restrictions that we learned due to increased uses in skin care products. In 2024 RLD data, there were 1,114 formulations, mostly skin care preps, up to 1 percent in leave-ons. So, the report's on Page 60, did anybody have any comments?

**MS. BURNETT:** I did want to note that, at the time, in June 2022, when the Panel looked at this and decided to reopen it, the EU had one conclusion. And then they changed it.

**DR. SNYDER:** Okay.

**MS. BURNETT:** And the current opinion is in the report. But, at that time, they had declared it insufficient data. And then, the data was produced.

**DR. SNYDER:** Went as safe?

**MS. BURNETT:** Yeah.

**DR. SNYDER:** Okay.

**DR. BELSITO:** But they approved it only for hands and face, which I don't understand. Right?

**MS. BURNETT:** Correct. Yeah. that's what it says.

**MS. FIUME:** But is it only hand and face?

**DR. BELSITO:** On PDF Page 63, for this ingredient, the regulation states that Kojic Acid may only be used in face and hand products at a maximum concentration of 1 percent.

**MS. BURNETT:** Okay.

**MS. FIUME:** Okay. sorry. I was looking at the SCCS. Yes, the EU said 1 percent, face and hand. Yes.

**DR. BELSITO:** And I didn't understand that. Do we have any idea why they limited it to hands and face? I mean, they did their MOE calculation -- sorry, Curt, for using that word -- based on the amount that would be applied to the hands and face. But I just don't understand that restriction.

**MS. BURNETT:** I mean, I don't know for sure what their logic was, but maybe they were thinking that if you are wanting to lighten your skin, those are the places that you would most likely apply it. Don't know if anyone would actually take a bath in it.

**DR. BELSITO:** But I mean, as a cosmetic ingredient that we're looking at, skin lightening would not be an effect that we would look at.

**MS. BURNETT:** Correct.

**DR. SNYDER:** No. That's a drug effect. Yeah.

**DR. BELSITO:** Right. I mean, again, the EU, I'm trying to think are -- sunscreens are cosmetics in Europe, they're over the counter here. Maybe skin lightening creams are also cosmetics in Europe, and that's what they were looking at. But we have uses other than hands and face in our report. Right?

**DR. SNYDER:** Yes.

**MS. BURNETT:** Correct.

**DR. SNYDER:** Well, maybe we can get clarification on that by the time we come around in the next version of this. You think we can dig that out?

**MS. BURNETT:** Maybe.

**DR. SNYDER:** Try.

**MS. BURNETT:** I mean, sometimes you can find deliberations for the SCCP, sometimes you can't. But it's just not in the opinion. I don't know.

**MS. FIUME:** But it's the EU, they have different wordings.

**MS. BURNETT:** Right.

**MS. FIUME:** So we would have to figure out which --

**MS. BURNETT:** For sure. That's hard to find, their deliberations, if it's the EU.

**DR. SNYDER:** Okay. But we have no data to suggest that we need to limit it to the hands and face. Right, Don?

**DR. BELSITO:** Yeah. I mean, that's my point. We have, you know, RLD data that suggests that it's used in products other than the hands and face. You know, we have a lot of other data that I think we could basically say -- what I had here was heavy metal boilerplate. Thyroid effects were not relevant. And other genotox data was Okay. the DART data was clean. Sensitization data was clean. Skin lightening, not to be expected with a cosmetic product.

And what we would need to do -- Curt, again, my apologies -- margin of exposure to determine use beyond hand and face if we were concerned about it.

**DR. KLAASSEN:** I'd like to add to that, that with Kojic Acid, they actually did the study for absorption in humans.

**DR. BELSITO:** My conclusion there was probably safe as used but need further data supporting on face and hand use in Discussion, perhaps a margin of exposure assessment, and would like clarification why the EU limited to hands and face.

**DR. RETTIE:** So, from a radiolabeled study in dermatomed skin, they had 17 percent. Is that the number you're looking at?

**DR. KLAASSEN:** Yeah.

**DR. SNYDER:** Okay. So we'll try to get that clarification. Other than that, we're moving it forward, safe as used.

**DR. BELSITO:** I mean, I think we need to do a few calculations.

**DR. SNYDER:** Okay.

**DR. BELSITO:** But I didn't see any data that suggested that there were issues. Did you?

**DR. SNYDER:** No, I did not. I agree. Okay. you got that, Christina? Okay. Thank you.

**Cohen Team – March 13, 2025**

**DR. DAVID COHEN:** Okay. Kojic Acid.

The original review of Kojic Acid was performed in 2010 with the conclusion that Kojic Acid is safe for use in cosmetic products at a concentration up to 1 percent. It has been 15 years since this has been reviewed. Excuse me one second. In June of 2022, the Panel determined that the safety assessment should be reopened because of restrictions by the European Commission and use of Kojic Acid reportedly being used in skin care preparations.

There's some original report data that is put in here because it was sort of critical to finishing this report. In 2024, RLD data reported it in over 1,100 formulations. The VCRP had it in 123. Most were leave-on products. And a concentration of use survey conducted by the Council, in 2024, indicated its use at 1 percent in leave-on products, down from 2 percent in 2008.

The EU has this as Annex III and has restricted its use to 1 percent for face and hand products. And it could be used as a skin lightening agent in cosmetic products up to 1 percent.

Okay. I can open it up. I thought some of the material from the HRIPT from the old one ought to be pulled forward. Otherwise, it looks like we don't have anything in the new report on it. I thought it was pretty good information.

**DR. BERGFELD:** What did you think about the boilerplate in the previous discussion? Would that be added, or we'd update that?

**DR. DAVID COHEN:** What part?

**DR. BERGFELD:** The boilerplate for inhalation. Are we going to update that one?

**DR. DAVID COHEN:** It needs to be updated to the new boilerplate.

**DR. BERGFELD:** Yeah. And then the question I had was, are you going to restrict it to 1 percent or less because of depigmentation?

**DR. ROSS:** Present practices of use.

**DR. DAVID COHEN:** I'll come back around to it. What's that?

**DR. ROSS:** Present practices of use because --

**DR. BERGFELD:** Yeah. It's 1 percent.

**DR. ROSS:** Everything is aligning at 1 percent. You know, the Annex III, the previous, and our current concentrations.

**DR. BERGFELD:** As a dermatologist, pretty common ingredient for us to bleach the skin?

**DR. ROSS:** Yeah, right.

**DR. DAVID COHEN:** Or to even the tone of the skin.

**DR. BERGFELD:** Even the tone?

**DR. DAVID COHEN:** It's really for that, right? It's for tone evening rather than just skin bleaching, right?

**MS. BURNETT:** I do want to note that in the United States, skin lightening is not considered a cosmetic effect.

**DR. DAVID COHEN:** No, it's a drug effect.

**MS. BURNETT:** Right. So I do need wording for Discussion on how to address that. If you are satisfied with how it was addressed in the original Discussion, I can just pull it forward. But if you want it re-emphasized or written differently, please let me know.

**DR. DAVID COHEN:** Let me go through -- let me run the Panel first on this for other issues. David?

**DR. ROSS:** I didn't have too much on this absorption. It looked okay. Tox, no concerns and acute subchronic and chronic. DART, I didn't have anything. I'll let Sam comment on genotox and carcinogenesis.

Thyroid was cropping up there, and there's quite a few questionable points on the thyroid cancers in animals relative to humans. There's some nice reviews out there which highlight that.

This was a competitive inhibitor of tyrosinase, but it had no effects on melanocytes, which was interesting. The irritation looked okay, 1 in 3 percent. It was mild in rabbits. Sensitization, up to 30 percent, wasn't a sensitizer. Humans, HRIPTs, as you commented, David, 1 percent and 2 percent were okay.

The dermal depigmentation, they used that black guinea pig model, which was extensively commented on in the previous reviews of this material at CIR. And it was found to be acceptable in that model at 1 and 4 percent in the 2010 report. And it was very little effect of Kojic Acid relative to phenylhydroquinone, which was the positive control.

Christina pulled the original Japanese paper for me in 2002, and I looked at that, and that looked fine. Phototox was good. Ocular, 3 percent, it's not an irritant. And there are some MOEs out there, and you can expand that discussion or leave it as is. It looks okay.

So if you agree with the black guinea pig model for depigmentation and the MOE estimate, you're probably safe as used at 1 percent. I mean, that's where I was.

**DR. DAVID COHEN:** Okay. Susan?

**DR. TILTON:** Yeah, I agree. That was a good summary. No additional concerns about the toxicity data.

I guess there was a question posed to us about how some of the data was presented previously in tables within the report for the genotoxicity and the carcinogenicity studies, and maybe I'll let Sam comment on that.

I mean, from my perspective, it can just be included in the report. I mean, I haven't seen a report come through where the data has been presented that way. So that doesn't seem consistent with how we would typically present the data. And it's discussed within the report. So that was my only comment.

**DR. DAVID COHEN:** So you were safe as used at 1 percent?

**DR. TILTON:** Oh yeah, safe as used at 1 percent.

**DR. BERGFELD:** Are you going to put 1 percent into the Conclusion or just safe as used?

**DR. DAVID COHEN:** I might want to put it in the Conclusion. It's a cosmeceutical product, right? So you have in there that the 4 percent and the 1 percent, it doesn't go to depigmentation. But, I mean, it may have effect on dyschromias in a positive way, but that is a drug effect.

**MS. BURNETT:** So you're essentially reaffirming the original conclusion?

**DR. DAVID COHEN:** Yeah. Yeah. I am. What do you got, Sam?

**DR. SAMUEL COHEN:** Yeah, I would certainly reaffirm that as well. But on the genotox studies, there were some older Ames studies, chromat, and SCE studies. Again, the qualifier for the SCE you can -- but the Ames tests and the chromat were in vitro, and there were concerns about cytotoxicity. So they probably were not valid. And there are some recent in vitro tests that have all been negative consistently.

On top of that, in vivo, there's a negative micronucleus assay, a negative comet assay, and a negative DNA adduct study. There's also negative UDS, but you have to put the qualifier for that. So I think the in vivo data clearly here is overriding the in vitro.

And then with regard to carcinogenicity, the thyroid and liver tumors, these are most likely what are called CAR activator related. And those are not relevant to human cancer risk. And the adrenal tumors in rats are also not relevant to human risk. There's a nice review by Greim, G-R-E-I-M, et al. in Critical Reviews in Toxicology, maybe 10, 15 years ago. If you can't find it, let me know. I can send it to you.

So I have no concern in the fact that even IRAC classified as a 3, they don't have any concern, which is very unusual for IR. And then on top of it, is that the cytotoxicity data is all in vitro, and it's in millimolar concentrations, which is, in orders of magnitude higher than anything you're gonna attain in human exposure.

**DR. ROSS:** Yeah, I pulled the Greim papers myself.

**DR. SAMUEL COHEN:** Yeah, he did a nice job on that.

**DR. ROSS:** Yeah.

**DR. DAVID COHEN:** Sounds like quite a paper.

**DR. SAMUEL COHEN:** Actually, I refer to it a lot anytime I see adrenal tumors in rats. I just say it's not relevant to humans, see Greim et al.

**DR. DAVID COHEN:** So if we go as safe as used, and we have this Discussion issue, this is still going to come back as a draft tentative, isn't it? We have some time to think about the Discussion and the skin lightening?

**DR. BERGFELD:** Is this a draft item?

**DR. DAVID COHEN:** Right, it's not going to skip over tentative, will it?

**MS. BURNETT:** No, no.

**DR. HELDRETH:** So it's a Draft Amended Report right now. If you're giving a conclusion now, it'll go out as a Tentative Amended Report. And then it'll come back to you at a future meeting as a Draft Final Report.

**MS. BURNETT:** A discussion will be formulated for the public comment coming out of this meeting. If you want me to essentially update the previous discussion, I can do that.

**DR. DAVID COHEN:** That's why I want to think --

**MS. BURNETT:** If there's a point that you want me to take out, I obviously would update the inhalation boilerplate and anything else that's a boilerplate that hasn't been updated since 2010.

**DR. DAVID COHEN:** Okay.

**DR. BERGFELD:** Well, it sounds to me that the third paragraph in that previous Discussion that deals with the thyroid, we have to also add the adrenal gland comments.

**DR. DAVID COHEN:** I'm just going back to that for a second.

**DR. HELDRETH:** Yeah, and if you have any updates to the Discussion like that, please put them in your Panel returns to help Christina get it right.

**DR. DAVID COHEN:** I agree with you, Wilma, yeah, to the adrenal glands can go in there. Okay.

**DR. BERGFELD:** Have we decided on the conclusion containing the 1 percent restricted concentration, or are we just putting that in the Discussion?

**DR. ROSS:** I guess it depends whether you got evidence that it's not safe above 1 percent.

**DR. BERGFELD:** Yeah. But you could put that in the Discussion. You could say that in a sentence after you'd report all the other stuff you have in there. Where did that go?

**DR. DAVID COHEN:** Now, maybe we just go safe as used as described in the report because what about 1.2 percent? We don't have data to see that it's not safe.

**DR. ROSS:** Yeah, we don't. So, I agree with you, Dave.

**DR. DAVID COHEN:** Yeah, just safe as used. At first, I wanted to go with it because of the way it's used, but I don't want to apply that arbitrarily.

**DR. BERGFELD:** It's right there in the first paragraph, though. It says, "Therefore, the expert Panel finds that Kojic Acid should only be used up to a concentration of 1 percent in cosmetic products."

**DR. DAVID COHEN:** That's not --

**DR. BERGFELD:** That's the first paragraph of the previous Discussion.

**DR. DAVID COHEN:** In the Discussion?

**DR. BERGFELD:** Yeah. Yeah.

**DR. DAVID COHEN:** Yeah, yeah, in the Discussion. Okay. I thought you were mentioning a conclusion.

**DR. BERGFELD:** Well, I did ask that.

**DR. DAVID COHEN:** No, you did. You did.

**DR. BERGFELD:** It could go in the Discussion.

**DR. DAVID COHEN:** And I think we answered it.

**DR. BERGFELD:** Yes.

#### Full Panel – March 14, 2025

**DR. SNYDER:** So Kojic Acid, the original review was published in 2010, safe as used up to one percent. It's been 15 years so it was reopened in June of 2022, due to the EU restrictions and due to increased uses in skin care products. We received new RLD data that suggest that there's over 1,100 formulations, mostly skin care preps, up to 1 percent in leave-ons, and in products other than hands and face.

The Belsito Team motions to move this forward to an amendment safety assessment, safe as used. With one caveat, pending -- we understand the wide restrictions in the EU were to the hands and face -- if we can get that information. Widely restricted to hands and face.

**DR. DAVID COHEN:** So, what's the motion specifically?

**DR. BERGFELD:** Safe as used.

**DR. SNYDER:** Safe as used.

**DR. DAVID COHEN:** Safe.

**DR. BELSITO:** But we would like to look at margins of exposures for other uses on other body parts. Our discussion ended up being that we thought, perhaps, and we don't know, just like sunscreens are cosmetics in Europe and OTC here, skin

lightening creams may be cosmetics in Europe. And they were looking at it specifically in terms of use on face and hand as a skin lightener.

But, the data is, I mean, it's really clean. The thyroid effects are not relevant. The genotox data is okay. The DART data is clean. The sensitization data is clean. You know, you basically have a heavy metal boilerplate skin lightening effects. And we've dealt with those before by saying cosmetics should not have skin lightening effects. So, the restrictions to hands and face do not make sense since we're told they're used in other body parts, right?

**DR. DAVID COHEN:** No, no. I have no issue with the motion. The issue is, if we're moving it forward but you still want to see this. And what if you don't get to see this? What happens here?

**DR. SNYDER:** We'll deal with it then, because we're going to see the report again, right, before it goes final?

**DR. DAVID COHEN:** Comes as a final.

**DR. BELSITO:** Yes.

**DR. SNYDER:** So that's okay, yes.

**DR. DAVID COHEN:** Right, and I'm just fast-forwarding.

**DR. SNYDER:** We're fairly confident that it's going to be for the reasons Don stated. So we don't think there's any reason to hold it up. Right, Don?

**DR. BELSITO:** Right.

**DR. DAVID COHEN:** Reasonable expectations we'll get it?

**DR. BELSITO:** I think we can easily do the calculations; we have the data.

**DR. BERGFELD:** Dr. Ross?

**DR. ROSS:** Yes, I think this one, you know, Don, you could do those calculations. You know, in our team --

**DR. BELSITO:** I couldn't, you could.

**DR. ROSS:** Well, in our team, we discussed those MOEs that we have. And SCCS basically used a very conservative NOAEL, most conservative one they could find. And they say that in their discussion. But, I didn't think it was an overwhelming margin of exposure even with what they were using. So, I mean, we can do more MOE calculations to add to that.

One other thing we can do is expand the MOE Discussion. There is some literature out there that we didn't quote, which has an MOE in it. And we also have NOAEL values in the dossier, in sub-chronic and chronic studies, which you could incorporate and expand that MOE discussion if you wish. So you could do that. That would be my comment.

You know, if you're expanding their calculations to use on other areas of the body, then you may drive that MOE down when you do accumulative. You know what I mean, if you add in all of the exposure areas? So I just want to make that caution. If you do that then you may not get these same answers that you're looking at right now.

Jinqiu also looked at this. I don't know if he has any comments, but he can add to it if he wishes. But, we can certainly expand the MOE discussion if you like, Don.

**DR. BELSITO:** I mean, I think we can look at it. If you want to go out as insufficient pending an MOE, I'm okay with that too. There aren't that many uses beyond the hands and the face, but I certainly agree with your point. The more you add cumulative exposure, the lower the margin of exposure is going to become. We'll see.

**DR. DAVID COHEN:** Well, so I guess that's the question at hand. We're clearing this, but you still have questions. And, are those questions not important enough that if they don't happen, or they don't come, you're still going to pass it through.

**DR. BELSITO:** I don't know. Paul, you're leading, I mean, what do you want to do here?

**DR. SNYDER:** Yes, I think it's a good discussion. I'll rescind my motion. And I'll move a motion that we will issue an Insufficient Data Announcement. And the data needs will be the calculation of the margin of safety based upon all of the uses.

**DR. DAVID COHEN:** Second.

**DR. BERGFELD:** Second.

**DR. DAVID COHEN:** Other comments, the previous discussion discusses 2 percent as an appropriate concentration. So, we have editorial changes for the Discussion that will be in the returns, getting it back to 1 percent.

**DR. BELSITO:** Right.

**DR. DAVID COHEN:** New inhalation boilerplate, adrenal tumor should go in the Discussion as well.

**DR. SNYDER:** Yes, we had similar editorial comments, so we accept those.

**DR. BERGFELD:** Curt, did you have a comment?

**DR. KLAASSEN:** Yes. In this margin of exposure they have 267, 199, and 141. Am I supposed to interpret that as the 267 is for face, 199 is for neck, and 141 is for hands?

**DR. DAVID COHEN:** Is that all of them?

**MS. BURNETT:** The 267 is the face and neck. The 199 is hands. And then the 141 is an aggregate of all those.

**DR. ROSS:** That's how I had it defined.

**DR. KLAASSEN:** Okay. All right.

**DR. ROSS:** So the aggregate obviously got lower, right. So, we start adding more things into that, if we use that very conservative NOAEL, then that's going to push that close to the line. But, you know, if we expand that discussion and look at other NOAELs we could use that are in the dossier, you could, I think, make a more balance decision then.

**DR. BERGFELD:** So at the moment we're going out as insufficient for the MOE re-review I'm going to say. Okay, let's call the question. All those in favor of this motion please indicate by raising your hand. Don, is your hand up, yes, unanimous, thank you. Go ahead, Bart, you want to say something?

**DR. HELDRETH:** Before we move on, I just wanted to narrow down what CIR is actually tasked with at this point. So, of course we're now insufficient for those MOE, and possibly find out why the SCCS decided on the amount they did. If we get to a point where that information is not available, because not everything is available publicly about what goes into an annex, or how that was translated in the European Union, if that information is not found, do you want Jinqiu to start building these draft MOEs for these other exposures and circulate them to Dave Ross and Curt Klaassen to look at in advance of the meeting?

**DR. BERGFELD:** Absolutely.

**DR. SNYDER:** I think that's a great idea to have it in advance of the meeting so that we come prepared, so we're not doing that on the fly at the meeting. Thank you. It's a good idea.

## **SEPTEMBER 2025 PANEL MEETING – DRAFT TENTATIVE AMENDED REPORT**

### **Belsito Team – September 8, 2025**

**DR. BELSITO:** Okay. So, then we'll move on to Kojic Acid. Okay. So, this is a Draft Tentative Amended Report on the safety of Kojic Acid. At the June 2025 meeting, we determined that the data were insufficient to support safety. We wanted margin of exposure calculations for whole body exposure and an next explanation as to why the E.U. restricted use of Kojic Acid to face and hands only.

So, we got two data submissions, essentially the same, one from the Council and one from another submitter, containing margin of exposure calculations for whole body exposure to Kojic Acid, which was the reason for the E.U. restriction for whole body exposure. The margin for whole body application the margin of exposure was less than 100, indicating a potential for health risks at a high level. And those were mitigated when you restricted Kojic Acid to face and hands only.

Now, the thing with that and why the E.U. did that, is they also have the purview of reviewing products that we would consider prescription or over-the-counter prescription medications. In this case, they were looking at the fact that this would be used for skin lightening and would be primarily used on the hands and face. We can restrict it to the hands, neck and face only to reduce that concern if we feel that it is a concern for whole body exposure.

But I just wanted to point that out, that if you read their data on this, that's where they came up with the idea of restricting it to hands and face, which would be the areas that people would be interested in applying a cream to cause lightening of sun damage effects.

Another thing to note about the NOAEL of the SCCS is they reduced it by a factor of three to account for the fact that it was a 28-day study. But the endpoint, the adverse endpoint, was a thyroid endpoint that is apparently not relevant to humans. So, does it need to be reduced if the endpoint they're using for an NOAEL is not a relevant endpoint for humans? So, there is, I think, a lot to discuss on this.

Obviously, we can restrict it to hands, neck and face, do our own margin of exposure based upon whatever NOAEL we decide to accept. I think it's bad form to regurgitate the SCCS, but we're also going to have to say that use as a skin lightening agent would not be appropriate for a cosmetic in our country.

So, I'll open it up for team discussion. I mean we got the request that we asked for. We got the margin of exposure assessment, and we got the reason for the E.U. restriction. So, where do we go?

**DR. RETTIE:** What do you think about the MOE, Curt? Do we do our own?

**DR. KLAASSEN:** Well, the question is, what are we going to call our most sensitive toxic effect, that is our NOAEL, if we don't use the thyroid. If we use the thyroid like they did we'll come out with the same numbers approximately.

**DR. BELSITO:** Well, unless we don't do the additional reduction.

**DR. KLAASSEN:** Yeah. I guess I personally don't think we should use the thyroid as the endpoint, which is what we're all saying, I think.

**DR. BELSITO:** Well, I guess it would be DART then. Right? But those effects were pretty minimum.

**DR. KLAASSEN:** Right.

**DR. SNYDER:** Yeah. Even using the thyroid, which isn't appropriate, it was well below 100. So, anything else is going to be even further below 100, I think, right, in regards to risk?

**DR. BELSITO:** Below or above?

**DR. SNYDER:** Well, it's in that last sentence on Page 81, in the risk assessment, the --

**DR. BELSITO:** Right.

**DR. SNYDER:** "83 and 36, respectively, which are below the value of 100, indicating a potential health risk under higher exposure conditions." Yeah.

**DR. BELSITO:** Right. But that, again, was based off the thyroid endpoint.

**DR. SNYDER:** But, like you said, Don, the other effects, the DART effects, were even way lower. Right?

**DR. BELSITO:** Yeah. They used -- so they started with --

**DR. KLAASSEN:** What did they use as their NOAEL --

**DR. BELSITO:** That's what I'm looking for.

**DR. KLAASSEN:** -- for the thyroid?

**MS. BURNETT:** Two milligrams per day, or two milligrams per kilogram.

**DR. KLAASSEN:** Okay.

**MS. BURNETT:** Oh, wait. I'm sorry. Hold on. That's the adjusted NOAEL. Before it was adjusted, it was six. I'm looking at the top of PDF Page 81.

**DR. SNYDER:** Yeah. They used a factor of three to go to a sub-chronic study.

**MS. BURNETT:** Right.

**DR. SNYDER:** It's clearly stated in there.

**DR. KLAASSEN:** If one looks at the DART studies, wow. If this is correct, it says that male rats that received 50 micrograms per day -- well that's not per kilogram.

**DR. RETTIE:** So, that'd be half a milligram per kilogram per day.

**DR. BELSITO:** Yeah. So, their NOAEL for the SCCS study was two milligrams per kilogram of body weight per day.

**DR. KLAASSEN:** Right.

**DR. BELSITO:** Based on their adjustment of 100 and then an additional adjustment of 3. So, it brought it from 6 to 2. And then, with the DART studies --

**DR. KLAASSEN:** Seems to me, in the DART study, they didn't --

**DR. BELSITO:** The main effect was weight loss. No?

**DR. KLAASSEN:** Well, if you look at the second paragraph, five lines from the bottom, a fertility study of male rats that received 50 micrograms per day had some testicular change and decrease in sizes of the litters.

**DR. BELSITO:** Yeah.

**DR. KLAASSEN:** So, 50 micrograms per day, by my calculations --

**DR. BELSITO:** Much lower.

**DR. KLAASSEN:** -- turns out to be about two milligrams per kilogram per day.

**DR. RETTIE:** For a 400-gram rat.

**DR. KLAASSEN:** This is mice, wasn't it? Oh, it was rats.

**DR. BELSITO:** Yeah.

**DR. KLAASSEN:** Oh, it was rats. Okay. You're right.

**DR. RETTIE:** Male rats.

**DR. KLAASSEN:** I was figuring mice. Okay. Well, let's figure a 300-gram rat.

**DR. RETTIE:** Big hammer.

**DR. KLAASSEN:** So, we would multiply that by three?

**DR. RETTIE:** Yeah. That gets us to 150.

**DR. KLAASSEN:** 150 micrograms.

**DR. RETTIE:** A kilo per day.

**DR. KLAASSEN:** That's a pretty small dose.

**DR. BELSITO:** The penetration of Kojic Acid is pretty low, PDF Page 74. And in vitro percutaneous absorption using human dermatome skin, absorption over 24-hour period was 0.698 percent.

**DR. KLAASSEN:** But there's another place that says that it's 18 percent.

**DR. BELSITO:** 17 percent. Same difference.

**DR. KLAASSEN:** Yeah, 17, 18. Christina, I wonder if this study that I mentioned with the 50 micrograms might be 50 milligrams instead of micrograms.

**MS. BURNETT:** I will go and check.

**DR. KLAASSEN:** That just seems a little strange.

**DR. BELSITO:** It's a very low dose.

**DR. KLAASSEN:** All other studies have been using milligrams, 100 milligrams or so.

**MS. FIUME:** Do you have access to those documents from the original report?

**MS. BURNETT:** I believe I do. I will go check. I'm looking at the reference. I'm looking for which reference it is right now. I'll try to pull it up momentarily.

**MS. FIUME:** It's Reference 72 from that report, I believe.

**DR. BURNETT:** Yep. Now it says 50 micrograms per day.

**DR. BELSITO:** It is micrograms.

**MS. BURNETT:** Yes.

**DR. KLAASSEN:** That was reference, what did you say, 71?

**DR. BURNETT:** 72. Would you like me to share my screen?

**DR. BELSITO:** Yeah. Sure.

**DR. KLAASSEN:** Yeah.

**MS. BURNETT:** Bear with me here. Can you see something?

**DR. BELSITO:** Yeah.

**DR. KLAASSEN:** Yes.

**MS. BURNETT:** Okay. Right down the second paragraph.

**DR. RETTIE:** They give the rat weights as well. So, you got 250 micrograms per milligram per kilogram per day.

**DR. KLAASSEN:** But what are they doing here? Is this talking about aflatoxin?

**DR. BELSITO:** Yeah.

**DR. KLAASSEN:** Yeah.

**DR. BELSITO:** But then, it's not clear because then it says that the aflatoxin was in propylene glycol. And then, it says and 50 micrograms per rat per day of Kojic Acid for 21 days individually in each group.

**DR. KLAASSEN:** Okay.

**DR. BELSITO:** So, each group also got Kojic Acid on top of the mycotoxin with propylene glycol, is almost as I'm reading it. Is that not right? It wasn't a separate control?

**DR. SNYDER:** No. It wasn't a separate control. I don't think it's a valid study because it was done in conjunction with the mycotoxins.

**MS. FIUME:** But was it, Christina? Because, if you look at the table on top of the next page --

**MS. BURNETT:** Yeah. Let me scroll down. Yeah. I think this, to me, looks like it was tested separate.

**MS. FIUME:** Christina, can you magnify it a little bit?

**MS. BURNETT:** Sure.

**MS. FIUME:** Thank you.

**MS. BURNETT:** Better?

**MS. FIUME:** Yes.

**DR. BELSITO:** Much better. Just go back. I see the table, and I would agree it looks like the Kojic Acid is used alone. But just go back to their description on the next page, on the prior page, rather.

So, it says that the mycotoxin of propylene glycol at a dose of 10 and 50 mics per rat per day -- no, wait a minute. No, then it says and 15 micrograms per rat per day Kojic Acid. I don't understand why they put Kojic Acid in parentheses.

**DR. RETTIE:** So, back when this was written, long ago when this was written it seems like Kojic Acid was considered a mycotoxin since it's a fungal metabolite. But that was decided later on that it was mistakenly identified as a mycotoxin. But that might be why they're all lumped together in this paper.

**DR. BELSITO:** Yeah. It says mycotoxins, parentheses, afla, stearic, and Kojic were procured and were orally administered with a suspension of mycotoxin and propylene glycol. And the dose was 10 mics per rat per day for afla and stearic, and 50 mics per day for Kojic Acid. So, Kojic Acid was used alone.

**DR. RETTIE:** Yeah.

**DR. BELSITO:** Okay. So, it was 15 mics.

**MS. FIUME:** Yeah.

**DR. BELSITO:** Wow.

**DR. KLAASSEN:** Kind of hard to believe.

**DR. RETTIE:** But, back then (audio skip) -toxins, and aflatoxin is a really potent mycotoxin. Maybe that's an explanation for why that dose is really low.

**DR. BELSITO:** Yeah. I know, but they saw effects at that low dose. We don't have to explain why they chose a low dose, we have to explain why they saw effects.

**DR. KLAASSEN:** Other people gave 150 milligram and on up and never talked about testicular changes.

**DR. BELSITO:** Right.

**DR. KLAASSEN:** Maybe they didn't look for them, but this is pretty dramatic. I mean, this is pretty unsafe by that number.

**DR. BELSITO:** Right. Yeah.

**DR. KLAASSEN:** I don't know what we do about that.

**DR. BELSITO:** All of the DART studies we have are just looking at female rats, or females rather. And we just have that mention, again, of this 15-microgram study. So, that's in the report. I'm curious why the SCCS overlooked that report. Paul, what do you think? You're our animal expert.

**DR. SNYDER:** Yeah. I'm confused. Considering the source of the study and everything, they may not have put much weight into it. I'd like to see whether they even referenced it in their report.

**MS. FIUME:** So, PDF 159.

**DR. SNYDER:** Yeah.

**MS. FIUME:** They do have it in their document.

**DR. SNYDER:** And do they address it?

**MS. FIUME:** Looking.

**DR. BELSITO:** PDF 59?

**DR. KLAASSEN:** If you can, show us that page.

**MS. FIUME:** 159.

**DR. BELSITO:** Oh, 159.

**MS. BURNETT:** That's the top paragraph.

**DR. BELSITO:** They say that there were no effects.

**DR. SNYDER:** Yeah. There's a big discrepancy because down in the developmental it says, NOAEL, no effects for maternal or fetal were 100 milligrams. So, something is amiss with that study.

**DR. BELSITO:** Yeah. But they're saying their analysis of that study was that there were no effects from Kojic Acid on spermatogenesis or sperm parameters, and that six of the eight Kojic Acid-treated males succeeded in mating.

**DR. SNYDER:** If you go to Page 160 or 161 -- 160 at the top -- it said the SCCP considered the study of limited value because of its limited description. So, they discounted that. They discounted that in their opinion, pretty much. You see that, Don, where it says that?

**DR. BELSITO:** Yeah. Yeah.

**MS. BURNETT:** That was for the '92 study. Is the '92 and the '94, the same?

**DR. SNYDER:** Well, it's referenced. Yeah. I think it is, unless it's a mislabel. But it says for the --

**MS. BURNETT:** One was on female rats.

**DR. SNYDER:** Yeah. Yeah. So, I think they found it --

**DR. BELSITO:** Okay. Yeah. I think it's mislabeled because, first, they report the studies, and then they go into their impression of the studies, right? Because it talks about the cannibalistic behavior that was reported in that study, et cetera. Well, except it's Chowdary '94, and then Chowdary et al. What are their references?

**MS. BURNETT:** There are two different references for that author. One's a '92 study.

**DR. BELSITO:** Yeah. And one's a '94 with other authors.

**DR. RETTIE:** There's more information in the following paragraph, Richard, 1998, where there's a NOAEL from maternal toxicity, embryo toxicity and fetal toxicity, 100 milligrams per kilograms per day.

**DR. KLAASSEN:** Yeah. But that's the teratology part. This is the male reproductive part.

**DR. BELSITO:** Right.

**DR. RETTIE:** Oh, yeah, yeah, yeah, yeah.

**DR. BELSITO:** That '94 reference is weird, 497 to 449. Is it 549? Do you have that reference, Christina?

**MS. BURNETT:** I have the other one too, yes. Would you like me to show it?

**DR. BELSITO:** It almost seems like it's --

**MS. BURNETT:** I'll share that one too. Hold on.

**DR. BELSITO:** Almost seems like it's the same study. Did they --

**DR. SNYDER:** I'm pretty certain it's the same study.

**MS. FIUME:** There is a '94 and a '92 study.

**DR. BELSITO:** Right.

**MS. FIUME:** One is males and one is females. Right, Christina?

**MS. BURNETT:** Yes. I'm displaying the '92 study right now. Let me know if you need me to scroll.

**DR. BELSITO:** Need you to enlarge a bit.

**MS. BURNETT:** Okay. I can do that too.

**DR. BELSITO:** So, where's the material and methods? Was it just on females?

**MS. BURNETT:** Listing is seven females, four groups of mated albinos.

**DR. BELSITO:** This is the '92 study?



**MS. FIUME:** Six of eight successfully mated. It's in the second table, Table 2 on the bottom of the second page.

**DR. SNYDER:** Which is the same as a control group.

**DR. BELSITO:** Yeah.

**DR. KLAASSEN:** Yeah. I would say that the data is not very impressive.

**DR. SNYDER:** Yeah. I think that's why they said that it was of limited value.

**DR. BELSITO:** Where do they say that, Paul?

**DR. SNYDER:** Page 159. I thought they said that.

**DR. BELSITO:** That was a different study.

**DR. SNYDER:** Oh, was it?

**DR. BELSITO:** Yeah. That was the 1994 study. And this is the '92 study. Right, Christina? Or is it the '94?

**DR. SNYDER:** They've got it backwards.

**MS. BURNETT:** This is the '94.

**DR. BELSITO:** Oh.

**DR. SNYDER:** The '92 study, they discounted the effect due to the limited description. They didn't say anything about the '94 study.

**MS. BURNETT:** Right.

**DR. BELSITO:** I wonder why they didn't say anything. Basically they did say that there were no effects of Kojic Acid on spermatogenesis or sperm parameter. So, I guess they just didn't mention that there were effects on the testis and epididymis. But they discounted that, obviously, since mating was successful in the same number of animals, is I guess what I'm assuming here. But they didn't mention it.

**MS. FIUME:** So, Don, on PDF Page 169, they do talk a little bit about those studies and saying that, however, these effects were not reported by other authors and its relevance is unclear in their discussion of the results of all the study types.

**DR. BELSITO:** But the effect they're referring to is cannibalistic behavior, no?

**MS. FIUME:** True. But were they attributing some of the effects to the cannibalistic behavior?

**DR. BELSITO:** Well, the effects we're talking about are testes and epididymis weight, which would not be related to cannibalistic behavior. But it seems to me that they say previously that it's spermatogenesis, and so they're just discounting these effects on the weight as being not relevant to reproductive toxicity since it didn't affect the --

**DR. SNYDER:** Remaining success.

**DR. BELSITO:** Right.

**DR. SNYDER:** That Table 2 says Mating Performance Test.

**DR. BELSITO:** Right.

**DR. SNYDER:** Well, I certainly think the rabbit study is way more powerful. There's 13 rabbits per group.

**DR. BELSITO:** What page are you on, Paul? You muted, Paul.

**DR. SNYDER:** Page 159, pregnant New Zealand white rabbits, 13 females per group.

**DR. BELSITO:** Yeah.

**DR. SNYDER:** Yeah.

**DR. BELSITO:** But this is looking just only at females. I mean, it would give us an NOAEL if -- we basically, I think, could go with the SCCS and say we're discounting the effects on testis and epididymis weight in the Chowdary 1994 study, given the fact that mating behavior and success was the same as controls, right?

**DR. SNYDER:** Unaffected, yes.

**DR. BELSITO:** Right. And, if we chose not to use a thyroid endpoint, the NOAEL would be 100 milligrams, based upon maternal and embryo toxicity from the rabbit study. So, is that what we would suggest as NOAEL, to do our own margin of exposure, Curt?

**DR. KLAASSEN:** Yeah, that sounds appropriate.

**DR. SNYDER:** Well, Don, let's go with that approach. David presents this one, so let's see what they say.

**DR. BELSITO:** Okay. So, anything else on this?

**DR. SNYDER:** Nothing for me.

**DR. BELSITO:** So, where are we? What we're talking about at this point, Monice, is again insufficiency to do our own margin of exposure using a NOAEL of 100 milligrams per kilogram, based upon the rabbit developmental tox study. So, this was at what stage at this point? This was a tentative final?

**MS. FIUME:** Right. So, this is a Draft Tentative Report. So, a tentative final could have been coming out of this meeting, but if you need that MOE it would have to go out for a second IDA.

**DR. BELSITO:** Okay. So, it's not like we're forced into an insufficient conclusion final?

**MS. FIUME:** No, because, if you don't have the information, if it's insufficient for information different than you've asked for before, it needs the IDA.

**DR. BELSITO:** Okay. So, I guess we understand why it was restricted to hands and face only from the SCCS. We don't want to regurgitate their margin of exposure.

We have concerns about their use of a thyroid endpoint since it's not relative to humans, and that we would recommend a margin of exposure calculation based upon the NOAEL for the rabbit developmental toxicity of 100 milligrams per kilogram body weight per day, and see what we get for both whole body exposure as well as the limited exposure that the SCCS suggested. Is that where our team is at?

**DR. SNYDER:** I think you summarize it very well, Don. I agree 100 percent.

**DR. BELSITO:** Curt? Allan?

**DR. KLAASSEN:** Yes.

**DR. RETTIE:** Yes.

#### **Cohen Team – September 8, 2025**

**DR. DAVID COHEN:** Just move to the next thing. Kojic Acid. This is a Draft Tentative Amended Report on the safety assessment of Kojic Acid. In June, the Panel determined that the data were insufficient with an IDA identifying the following needs: an MOE calculation for whole body exposure, an explanation as to why the EU restricted the use of Kojic Acid to the face and hands only.

Since the IDA, CIR received two data submissions, one which came from the Council and the other directly from the submitter, containing MOE calculations for whole body exposure to Kojic Acid, and the rationale for the EU restrictions. The MOE for whole body application was less than an a hundred, suggesting the health risk.

The concern of potential risk to human health when Kojic Acid is used at high levels is what prompted EU to restrict the use of Kojic Acid to the face and hands only. So, how do we want to proceed?

**DR. TILTON:** So, I would suggest that we could move forward based on our prior conversations for safe as used up to 1 percent in face and hand products.

**DR. ROSS:** I would second.

**DR. TILTON:** Yeah.

**DR. ROSS:** I second that.

**DR. TILTON:** I think the information we got clarified the questions that we had about whole-body use.

**DR. SAM COHEN:** Concern I have is on the calculation of the margin of exposure. From what I understand, that's based on the thyroid iodine effects. But the thyroid effects with Kojic Acid, if I understand right, are CAR related, which is not relevant to humans. So, you're calculating a margin of exposure based on the non-human risk.

**DR. ROSS:** Well, the issue there, I think, was when SCCS did this originally, Sam, in 2008, they went with that iodine uptake endpoint, and they concluded that all their MOEs -- because they were using pretty high absorption values -- all of their MOEs were less than a hundred. So, face, hands, the whole body, the whole thing. In 2012, they went back to it and they rejected new absorption data that was submitted by industry in 2012. But they kept the iodine uptake studies in rats, because they recognize that the thyroid tumor studies in rodents were irrelevant for human risk assessment as you point out. But they felt that the iodine uptake was still relevant to humans, but they modified the intraspecies sensitivity adjustment factors. So, things came out -- apart from the whole body, I think, came out as safe.

Now, in 2021, when they looked at it again, they kept the iodine uptake, but they actually said that we don't agree with changing the interspecies sensitivity, so then again they messed around with that. But then they took a different absorption

study, if you remember, the ones we discussed with the cream in a study in Japanese women on the cheeks. And so, they came out as safe as used apart from the whole body.

So, yes, you're right; they're using that iodine uptake, but they're not using a tumor endpoint, they're using an uptake. And they deem that is still relevant.

I'm okay with that. I think we can still use the most sensitive endpoint and we can still support the MOEs in that document, again, from these two independents submitters it came to us -- or one independent and PCPC -- as safe apart from when you use whole body. It's safe for face and hands, face and hands and neck, but not for whole body.

So, that's where I was. I agree with Susan, we would go with the 1 percent. That was my call on it.

**DR. BERGFELD:** Mine too.

**DR. DAVID COHEN:** So, we're okay with the 1 percent, and we're okay at defending 1 percent over any higher concentration?

**DR. ROSS:** And I had a brief discussion with Curt on that, Sam, and he was okay with that. And he felt we should either get rid of the lot or just keep it as is.

**DR. BERGFELD:** How are you going to deal with that? Are you going to put that in the Discussion or are you going to put it in the Conclusion as a restricted percentage?

**DR. DAVID COHEN:** I think that's in the Conclusion.

**DR. ROSS:** I think it's in there already, isn't it?

**DR. BERGFELD:** I don't have it in my piece of paper.

**DR. TILTON:** No, no, Susan just penned the motion.

**DR. BERGFELD:** Oh, okay. Okay.

**MS. BURNETT:** The original conclusion has the 1 percent.

**DR. SAM COHEN:** Wasn't that recalculation -- didn't the MOE for whole body come out above a hundred also?

**DR. ROSS:** No, it was less.

**DR. TILTON:** No.

**DR. DAVID COHEN:** Wasn't that the European motion?

**DR. ROSS:** Yeah. And then we got an independent submission from Sansho Pharmaceuticals, and their whole body --

**DR. DAVID COHEN:** We had a motion --

**DR. ROSS:** But our motion is, I think, to keep the same --

**DR. BERGFELD:** Well, it doesn't restrict it -- the original motion does not restrict it to hands and face. It restricts it to the 1 percent only.

**DR. ROSS:** Is that right? Okay.

**DR. BERGFELD:** That's the old one.

**DR. TILTON:** Yeah. So, in 2010, the original conclusion was safe for use at up to 1 percent.

**DR. DAVID COHEN:** Right. And you're using hands --

**DR. BERGFELD:** Face and hands.

**DR. DAVID COHEN:** You're using hands and face, and that's approximating what percent body surface area?

**DR. ROSS:** Yeah, those values are in the memos here, and they're in the MOE calculations. But I can tell you, for example -- let's see here. Face and neck were surface area of 885, hands 860, face and neck and hands 1,745 centimeters squared, and whole body was 15,670. That's from the Japanese manufacturer.

**DR. DAVID COHEN:** Wait, give me that again. So, what's the total face and hands square centimeters?

**DR. ROSS:** Do you really need that or you really want to go with the MOEs?

**DR. DAVID COHEN:** Well, isn't the MOE based on a surface area?

**DR. ROSS:** It is. But I'm just wondering --

**DR. DAVID COHEN:** Well, I'm just curious, what was the hands and face total?

**DR. ROSS:** Hands -- face, neck and hands, do you want that total?

**DR. DAVID COHEN:** Sure, sure.

**DR. ROSS:** Seventeen forty-five I'm seeing from this, at least from this memo.

**DR. DAVID COHEN:** And the total --

**DR. BERGFELD:** You're looking at 20 percent body surface basically.

**DR. DAVID COHEN:** Well, what was the total?

**MS. BERGFELD:** Fifteen hundred.

**DR. ROSS:** Fifteen thousand.

**DR. BERGFELD:** Fifteen thousand.

**DR. DAVID COHEN:** Was it 15,000?

**DR. ROSS:** And then we had another -- yeah.

**MS. BURNETT:** He was looking at PDF Page 126 if that helps.

**DR. DAVID COHEN:** It would if I was in a PDF. I'll open the PDF. I open it right from the website. What's the PDF, again, Christina?

**MS. BURNETT:** One twenty-six. Data one, second page. There's a table at the bottom.

**DR. DAVID COHEN:** Seventeen forty-five -- 11.1 percent.

**DR. ROSS:** So, I'm okay with Susan's original statement. And I would say that the last time we discussed this, we had safe as used as 1 percent. The MOE values raised a few questions.

Don, I think he rightly wanted clarification of the reason that SCCS went with hands and face only. And I think that was found by digging back into these old SCCS opinions, and then also the most recent submissions by -- I think, PCPC helped us out and I think Sansho Pharmaceuticals helped us here.

**DR. DAVID COHEN:** Okay. I'm just trying to do it in my head. Face and neck about 4 to 5 percent, the remaining in the hands. That works. I just wanted, Wilma, like our dogmatic use of the body surface.

**DR. BERGFELD:** Yeah. Yeah, right. I think it'd be easier for interpreting it. Not just hands and face, they may want to do 10, 20 percent somewhere else, not just hands and face.

**DR. ROSS:** Oh, I see. Yeah, good point.

**DR. BERGFELD:** So, body surface percentage might be a better way of addressing that. Do you think you calculated that at 10 percent or 20? What I had heard was about 20 percent.

**DR. DAVID COHEN:** I think the 10 to 11 percent is sensible. You know, like, it would be a confusing conclusion because the end user can't do that calculation. And why would you want to use Kojic acid? I mean, unless you had some pigmentary alterations somewhere, maybe you'd want to use Kojic Acid somewhere else, but it tends to be sort of a face and hand thing anyway, right?

**DR. BERGFELD:** It does, but I heard that neck was in there as well, face, neck, and hands

**DR. ROSS:** Yeah, I think it would be hard for the end user to interpret that. I agree with David there. Yeah.

**DR. HELDRETH:** Yeah, it might even be difficult for the formulator to use it as well. I mean, what if -- are we talking about things where it's diluted? Like there's 274 uses in bath soaps and body washes. Can you only use that bath soap and body wash on hands and neck and face or is it not allowed?

**DR. DAVID COHEN:** Just opened it here, one second.

**DR. HELDRETH:** We also have baby products. Are we limiting it to baby hands and face and neck, or is there a certain -- you know. I'm just trying to think how does that relate to FDA's product categories?

**DR. DAVID COHEN:** So, if it's going to have a conclusion of hands and face, it actually makes many of these uses unsafe or not supported.

**DR. HELDRETH:** Yeah, or just not commented on, basically, yeah.

**DR. DAVID COHEN:** Well, I mean, it's a direct hit. The conclusion is very specific.

**DR. HELDRETH:** Yeah.

**DR. DAVID COHEN:** I'm glad we didn't move on from this. What are you doing with the baby products, and why are they in a baby product?

**DR. ROSS:** Yeah, why are they in there?

**DR. BERGFELD:** There not very many in there. Total of five.

**DR. TILTON:** Yeah, they haven't shown up until the recent RLD.

**DR. BERGFELD:** Yeah, and no concentrations.

**DR. HELDRETH:** Thirteen eye lotions. Isn't that skin relatively thin? Does that make it a big difference there? It's up to 1 percent in an eye lotion and there is 13 products with that. I don't know.

**DR. DAVID COHEN:** Let's go back to this for a second.

**DR. HELDRETH:** I guess that's old data for the percentage, but --

**DR. DAVID COHEN:** No, I mean it's -- I'm just trying to go back to irritation. Three percent aqueous` solution is not an ocular irritant.

**DR. ROSS:** Yeah.

**DR. DAVID COHEN:** With HRIPT at two percent.

**DR. ROSS:** A doubtful response.

**DR. DAVID COHEN:** Yeah. So, how are we going to deal with Bart's dilemma?

**DR. TILTON:** So, there is no -- trying to think about the original conclusion. There was no depigmentation up to 1 percent. Really no irritation or sensitization. And then that just would not include the MOE.

**DR. ROSS:** But we know that someone is applying this to whole body, for whatever reason. Based on MOE values, that's probably not safe to do.

**DR. TILTON:** But there would be differences in leave on versus rinse off, right?

**DR. ROSS:** Depends on why you were applying it.

**DR. EISENMANN:** And if you believe the thyroid effects are relevant to humans.

**DR. ROSS:** Yeah, let's see. Well, they concluded the tumors weren't relevant, but the uptake issues were and that's why they messed around with the modification factors between species, but then they came back to the original modification factor. It's a fascinating journey through SCCS MOE calculations, actually.

**DR. SAM COHEN:** But the iodine uptake thing separate from the CAR-mediated doesn't make any sense at all. The iodine uptake is happening because you're having to replace what's happening secondary to the CAR effects on peripheral thyroid, which leads to TSH, which leads to having to absorb more iodine.

**DR. ROSS:** I think it's certainly by no means as sensitive as the rodent studies. I agree with you, Sam. And when you looked at that there was discussion of those opinions and those effects, that it was certainly a lot less sensitive for humans. However, they felt they couldn't discount it, and that's why they went to the most conservative. And they went with the most conservative NOAEL. Now we can expand that section and talk about other NOAELs in the literature.

**DR. DAVID COHEN:** But why would iodine uptake not be an issue in humans?

**DR. ROSS:** Just less sensitive, as Sam just described, than rodents. A lot less sensitive.

**DR. SAM COHEN:** And plus, the reason that the rodents are having an uptake of the iodine is secondary to a CAR effect on the liver, which doesn't pertain to humans because we've got circulated thyroglobulin and we don't have to worry about it.

**DR. ROSS:** Well, when I looked at this I felt we could go with, A; the original conclusion, as Susan said. But, I think, getting back to my major concern right now, as you brought up, these baby products, and I don't know how we're going to deal with that.

**DR. BERGFELD:** But we have no data on it. We have just the RLDs.

**DR. SAM COHEN:** Yeah.

**DR. DAVID COHEN:** Well, the Conclusion very much deals with it.

**DR. BERGFELD:** Well, you would put this in your Discussion that baby products are not included since there was no data to evaluate it.

**DR. TILTON:** Yeah, but as Bart mentioned, I mean, they're also used quite a bit in bath soaps and body washes, and you can't really limit that to a percent of your body.

**DR. ROSS:** Exactly.

**DR. DAVID COHEN:** It's hard to get into the bath like that.

**DR. EISENMANN:** There is also no category for hand soaps, so I think people also put a hand soap into bath --or face soap or something. I mean, some people might put a face soap into the face and neck product category, or face and hand, I guess, it is. Or no, it's body and hand, it's face and neck.

**DR. ROSS:** So, Wilma, you think we can deal with that in the Discussion?

**DR. BERGFELD:** I think so.

**DR. ROSS:** And I would just call for, on the MOE, an expanded discussion in the MOE section, bringing in line this discussion of the iodine endpoint used, which Sam raised and Carol commented on, and the SCCS did the most conservative thing they could come up with that, but there are other MOE values available.

And there's at least two or three we can quote, and have that expanded discussion in the MOE section emphasizing that the most conservative one was used. And then the baby products, if we can deal with that in the Discussion, I'm not quite sure how we deal with that, but if we can then --

**DR. DAVID COHEN:** Well, we never had an IDA for the baby products, but we didn't ask for anything. I mean, unless we go out -- this is a tentative document. We could issue an IDA. We could issue a new IDA and swing it back around for questions about baby products.

If we're going to go with the conclusion, safe as used in 1 percent in face and hand products, and we have evidence in the use tables of non-face and hands, how do we clear that? We didn't ask for anything.

**DR. BERGFELD:** You have a point.

**DR. DAVID COHEN:** I didn't mean to take the air out of the room with that one, but are we -- what would we need to know? So, we can't clear it for body wash because your MOE doesn't allow for that. The MOE is adjusting for body surface area. Really, it's not face and hands, it's body surface area.

**DR. ROSS:** Correct.

**DR. DAVID COHEN:** Right. And so, if it's a body surface area, then all those other uses are not supported. The MOE is forcing that issue. Bart, you have any wisdom here for us? Can you get us out of this -- we're meandering through the desert now.

**DR. HELDRETH:** Yeah, no. This is a really challenging one. I mean, I think we've gotten so conservative on our risk assessment that we've painted ourselves in a corner here. I mean, we're not even confident about this point of departure being super relevant. And now here we are limiting it to certain areas of the body, and then that in turn paints the user and the formulator into another corner of what products does that mean? I'm not sure how this applies.

So, I don't know, I think it's challenging. Honestly, I think there's not enough data here for making a decision. I mean, if we need a margin of exposure, maybe we need a better point of departure, maybe we need something that clears it more confidently. I don't know. I'm just a chemist, I mean, that's up to you experts.

**DR. SAM COHEN:** David, what other NOAELs do we have besides the thyroid one that would be more legitimate?

**DR. ROSS:** Well, there's one in sub-chronic and chronic studies which included thyroid endpoints, in my notes. I'd have to go back in there, but that was at 125 migs per kig. There was another at 250 migs per kig.

**DR. SAM COHEN:** But take away the thyroid effects in all these studies, what are we left with? Especially in the rat.

**DR. ROSS:** I don't know how to split out that one, Sam, we'd have to look. But there is another one that's published, Nohynek et al., 2004. I think that was 250 migs per kig to rats to arrive at MOE of at least 4,200. So, you can see we're dealing with the SCCS numbers essentially, and they chose 6 migs per kig but they had to adapt that by a factor of three for their own guidelines, so they came up with 2 migs per kig per day. So that's a lot different to 125 or to 250.

And that's why I think you could expand that MOE section. And I think Don called for that originally, actually. And yeah, I mean, that could be done. I mean, right now our problem is these baby products and shampoos and bath products -- and there's quite a lot of uses, 69 I'm seeing here.

**DR. SAM COHEN:** Yeah. Can we come up with a NOAEL for a non-rat thyroid effect? Which would be a lot more legitimate for basing a MOE.

**DR. ROSS:** We'd have to go through that data again. I mean, I didn't parse it out trying to do that.

**DR. HELDRETH:** Yeah, I think the SCC- --

**DR. TILTON:** I agree with you that that seems like a good path forward to move away from the thyroid effects.

**DR. HELDRETH:** Yeah, I think the SCCS, clearly they had the question in front of them, is this okay for hands and face? But the question we have in front of us is, is this okay for baby products, is this okay for bath preparations, for eye lotions, for tonics and dressings? We've got a much different list of is this okay for it?

**DR. SAM COHEN:** David pointed out that many of these uses go away if you exclude body exposure. I mean, all the bath preparations and --

**DR. ROSS:** Yeah, they go.

**DR. DAVID COHEN:** I don't have a problem with that, but we should just be sure that we're confident with our conclusion because it's going to affect a lot of people out there.

**DR. ROSS:** But we don't have any concentrations of use for the bath products, do we?

**DR. SAM COHEN:** No.

**DR. DAVID COHEN:** No. We have bubble baths, oils, tablets, salts. Bubble baths, bath capsules. I mean, these are meant to be used in a whole body, there's no getting around that.

**DR. ROSS:** But we have no concentrations.

**DR. SAM COHEN:** Yeah, you have 0.001.

**DR. HELDRETH:** For oils, tablets, and salts.

**DR. SAM COHEN:** Yeah.

**DR. DAVID COHEN:** Where is that?

**DR. SAM COHEN:** Under bath preparations, bath oils, tablets and salts, 2024 is 0.001.

**DR. DAVID COHEN:** Ah, yeah, yeah.

**DR. SAM COHEN:** Which, I'm guessing would be well below the margin of exposure issues, so it'd probably be okay.

**DR. ROSS:** So maybe it's all a moot point, yeah.

**DR. HELDRETH:** There's also a personal cleanliness subcategory of bath soaps and body washes that's 0.05.

**DR. ROSS:** Well, why don't we put another MOE in at 0.05 concentration and see what that comes up with.

**DR. SAM COHEN:** Yeah, because it might be that if you calculate that you're still in a safe range.

**DR. ROSS:** I suspect.

**DR. SAM COHEN:** Especially, once you've diluted it into a tub of water.

**DR. DAVID COHEN:** Yeah, that's right.

**DR. SAM COHEN:** All these bath ones are, you know --

**DR. DAVID COHEN:** Sam, that's an example of diluting the product before use as opposed to a rinse off product, which doesn't necessarily dilute it before use. Remember that conversation I had in the morning.

**DR. SAM COHEN:** Yeah.

**DR. DAVID COHEN:** So, are we tabling this? Are we holding the forward motion on this for another MOE calculation? Because the current motion, which I've struck, doesn't work.

**DR. SAM COHEN:** I think we need the additional, more detailed MOE calculations; one, to take into account these lower concentrations which are well below what were used for calculating the current MOE, plus looking for a better basis for calculating an MOE on a NOAEL that's not thyroid based. Just in case you have nothing else to do, David.

**DR. DAVID COHEN:** But even if you use the thyroid -- even if you use the point of departure that you have now, would total body use of these concentrations in a tub of water really give you a low MOE? Wouldn't you have an MOE that passed anyway, even if you use this point of departure?

**DR. SAM COHEN:** I think so.

**DR. DAVID COHEN:** So why leave the conservative point of departure that you might think is overconservative if we're talking about baby products? And do the MOE based on some of these concentrations we're talking about, because the MOE was based on like a 1 percent in a hand and face product.

**DR. SAM COHEN:** But then we need the concentrations of use in the baby products, which we don't have, so we're asking for more information.

**DR. ROSS:** Well, that's certainly true. We need that. And I'm just eyeballing it, if it's 0.05 percent that's 20-fold less than we've got. And so, we've got a factor of times 20 around the MOE.

**DR. SAM COHEN:** So, you're up to 1,600.

**DR. ROSS:** But I mean, if you're doing a whole-body exposure, you're probably still going to come out okay.

**DR. SAM COHEN:** Yeah.

**DR. DAVID COHEN:** I mean, I'd rather issue another IDA and swing this back around again for comment, but should we ask for concentration of use for baby products?

**DR. ROSS:** And an MOE for use in bath products.

**DR. DAVID COHEN:** Right. Which, we have some of that data now but would also be contingent on what we hear back.

**DR. HELDRETH:** You also want that to include rinse offs as well? Because I'm looking in Table 2, there's one particular FDA category that's body and hand, excluding shaving preparations, and the range of maximum use concentrations is 0.05 to 1. But there's 44 leave ons and 13 rinse offs in that same category.

So, if we have a conclusion that says, well, if it's a rinse off in the body that's okay, but if you have to limit it to something small like hands or whatever for a leave on, then that's going to play some havoc in just that one row in the table.

**DR. DAVID COHEN:** Well, that's just cleansing, right? And skin care presentation you're talking about?

**DR. HELDRETH:** Right.

**DR. DAVID COHEN:** Should I assume that's a wash off product or not necessary?

**DR. HELDRETH:** Well, if you look under the RLD there, column, for 2024, it says 44 LO and 13 RO, and that means leave on or rinse off.

So, FDA puts in little subcategories on some of these, and this body/hand under cleansing products -- well actually under skin care preparations is actually the whole overhead arching part there -- actually has that split out --

**DR. DAVID COHEN:** So why don't we add that to our IDA?

**DR. BERGFELD:** What are you adding?

**DR. DAVID COHEN:** Concentration of use for skin care preparations that are rinse off.

**DR. BERGFELD:** Okay.

**DR. DAVID COHEN:** Because if it's that 1 percent, we're back to where we were before.

**DR. BERGFELD:** Are you adding the baby request for concentration of baby products?

**DR. HELDRETH:** And then MOEs for bath and for other rinse offs?

**DR. DAVID COHEN:** I just put bath products, but I'll put and other rinse offs. Okay.

**DR. BERGFELD:** Have we decided whether to table this or to go for a second Insufficient Data Announcement?

**DR. DAVID COHEN:** IDA. We're going to issue an IDA.

**DR. BERGFELD:** IDA. Oh, IDA, okay.

**DR. DAVID COHEN:** Because that'll function as a table, but we do need this other data anyway.

**DR. BERGFELD:** Okay

**DR. HELDRETH:** Right.

#### Full Panel – September 9, 2025

**DR. DAVID COHEN:** Okay. This is a Draft Tentative Amended Report on the safety of Kojic Acid. At the June 2025 meeting the Panel determined that the data was insufficient to support the safety of this cosmetic ingredient. And an Insufficient Data Announcement was issued, identifying additional data needs: a margin of exposure calculation for whole body exposure, and an explanation as to why the European Union restricted use of Kojic Acid to the face and hands only.

Since the IDA, the CIR has received two data submissions, one which came through the Council and the other that was directly received from the submitter containing MOE calculations for whole body exposure to Kojic Acid, and the rationale for EU restrictions.

The MOE values for whole body application were less than 100, suggesting a health risk at high-level exposure. The concern of potential risk to human health when Kojic Acid is used at high-level is what prompted the EU to restrict Kojic Acid to the face and hand only.

We will clearly have a lot to discuss on this. Our motion is as follows. We have a new Insufficient Data Announcement for concentration of use for baby products, and concentration of use for skin care preparations that are rinse-off. We also need a more detailed MOE based on bath products and other rinse-off products that have a max concentration of 0.05 percent. And, of course, we'll need to discuss this further.

**DR. BELSITO:** After you, Wilma.

**DR. BERGFELD:** Is there a second to that motion, or further discussion?

**DR. BELSITO:** No, there's no motion. David said we need to discuss it further, right?

**DR. BERGFELD:** Yes.

**DR. DAVID COHEN:** Well, I had a motion, but we can discuss it. I can restate it after the discussion.

**DR. BERGFELD:** Please.

**DR. DAVID COHEN:** I'm sure you'll have important things to tell us.

**DR. BELSITO:** Well, a couple of things. The NOAEL, which actually they didn't have, they took a LOAEL, was for effects on the thyroid, which are then stated to not be relevant based on the mechanism of action of thyroid toxicity. And, then they further reduced it by 3 to account for the fact that it was a 28-day and not a 90-day study.

So, we question the NOAEL that the SCC used in this case, and thought that as a group we should look for a more appropriate NOAEL for this group of substances. And we're hoping that David Ross might make some suggestions.

It was restricted to the neck (audio skip). It was restricted to the face and hands simply because, in the EU, over-the-counter cosmetics are actually not over-the-counter, they're considered cosmetic products. Since this is primarily used in Europe for lightening of skin, they said, well, where would you need lightening? You know, face and hands from photo-aging.

And, so, then they redid their calculations, at a request from industry, to see if it would clear that restriction, which it did, which is why the Europeans restricted it to the face and hands.

So overall, we thought currently this was perhaps insufficient, and that we needed to do a margin of exposure and whatever NOAEL the group decided was the most appropriate for this ingredient. And then, of course, in the Discussions, since lightening would be a drug-effect in the US, there would be that restriction.

So that's where we sort of left it as a discussion here today as to whether that approach is reasonable, and if so, what NOAEL we would agree on. And then do our own margin of calculation, margin of exposure, for whole body and not whole body.

I guess we didn't discuss the baby products. What is your concern about baby products, the thyroid issue? Was that the issue as to why you (audio skip) those?

**DR. DAVID COHEN:** Yeah, well, there are a few things. There are baby products that are listed, and there are products that obviously can't be restricted to the hands and face limiting body surface area. And, I was a little concerned about surface to body weight differences in babies, as opposed to adults.

My recollection was I thought the iodine uptake was an endpoint that was being considered for the humans, maybe not the end thyroid effects. But, I might not have that well-stated, and Sam, and David and Susan can comment on it.

But, we asked in our IDA for an MOE based on these bath products at 0.05 percent, because the max use concentration was higher than that. And with those lower bath products concentrations we needed a different MOE.

**DR. ROSS:** I can just very briefly summarize. We spent a long time on this and we went around and around. We had much of the same discussion, Don, and summarized that. But, very briefly, when SCCS looked at this, and they had a long tortuous path of calculating different MOE values, with different assumptions, et cetera, et cetera, with that endpoint you mentioned, which I'll get to in a second.

But when they considered it -- well, asked the question about the use of Kojic Acid as a skin lightening agent, which obviously we wouldn't be using. But in our discussion we also brought in the potential use of baby products, which obviously you can't - - and bath products. In a bath product you can't restrict body surface area, right? You can't say, well, you can't apply it to 80 percent of your area, or 20 percent of the area.

And then we had the baby concern. And there was no puns yesterday about babies and bath water, with that saying. But, with respect to the suitable -- we had a long discussion on the endpoints for the NOAELs. And I think when we discussed this last time, we talked about broadening the NOAELs because the iodine uptake is certainly it may not be relevant for humans, but it

may be -- certainly the tumors aren't -- but as it is human are going to be a lot less sensitive. And Sam can comment in a minute.

With respect to broadening the discussion, we do have a few other NOAELs in there we can use. There was a value in sub-chronic and chronic studies, for example. But I think that was at 125 mgs/kgs. And there was another one published in 2004, which was even higher.

So the way I was sort of thinking about this, overnight, was maybe we broadening the discussion on different endpoints? We bring in different NOAELs, and we compare it to what we're getting with the iodine uptake NOAEL and see where we are. And maybe you use two or three and compare those with respect -- I think that's easily done. With respect to the thyroid endpoints, clearly humans are less sensitive, so, yeah, it's going to be an issue.

**DR. BERGFELD:** Sam?

**DR. SAM COHEN:** Yeah, my impression was that we really should be using the thyroid as an endpoint and have it there for comparison. But I think not only are the tumors not relevant, but the thyroid effects, in general, aren't relevant. It's secondary to a CAR activation.

And CAR activation we know will lead to changes in the thyroid. And it's not relevant to humans because of our having thyroglobulin availability, and we've got plenty of iodine floating around our blood stream, so we never run into that. Plus, the issue is that there's no indication that any of these CAR activators produce a thyroid effect in human.

**DR. ROSS:** I would address Curt actually, Sam. Curt's on the call now. He's our transporter expert. You know, is uptake of iodine, Curt? Is it all CAR-mediated in humans, or what is the transporter that does that? Do you know?

**DR. KLAASSEN:** Okay. It's not known for sure what transporter is transporting the iodine into the thyroid. But all of this has to do with -- the CAR activators all, in essence, turn on various genes in the body. Okay, so CAR is a transcription factor. And, whenever you turn on CAR you turn on some of the CYPs, especially the 2Bs and the 3As. And the --

**DR. ROSS:** UTTs, yeah.

**DR. KLAASSEN:** And some of the 2s. And, so, it turns out that as a result you also get cell proliferation. And when you have a cell proliferation, you increase your likelihood of having tumors, and this occurs in rodents.

In fact, we've done a lot of studies in this area ourselves, and it doesn't have any influence in humans, just like what Sam said. A classic example is phenobarbital, which causes both liver tumors and thyroid tumors, but in rats and mice, but not in humans as people have taken phenobarbital for decades.

That is a pretty solid story that we don't pay it much attention, including the regulatory agencies in the United States, or Europe, in regards to these thyroid effects. So, I don't think it is appropriate to use the thyroid as your measuring stick, that is as your NOAEL, so we need to use those others.

And I'd do just what Dave said, is take a couple of those others and calculate what is the margin of exposure. And I think it'll come out quite nicely.

**DR. ROSS:** Well, thanks for the information on the transporters. I wasn't aware of which one did the uptake. I realized that, obviously, humans were going to be less sensitive. And I think we probably, in retrospect looking at the transcripts from last time, should have broadened that NOAEL to include others when we did these MOEs for this go around.

**DR. KLAASSEN:** Correct.

**DR. BERGFELD:** So, David Cohen -- oh, go ahead, Sam.

**DR. SAM COHEN:** I think, David, that while you're doing the calculations, though, is to broaden it also to take into account the different concentration for whole body versus the hands and face.

**DR. ROSS:** Exactly.

**DR. KLAASSEN:** Correct.

**DR. SAM COHEN:** So, it's really a different endpoint and taking into account these different concentrations.

**DR. ROSS:** Yeah. No, I agree, Sam. It has to -- I mean, that was a great point that David brought up yesterday.

**DR. BERGFELD:** David, do you want to restate the action you'd like taken here?

**DR. DAVID COHEN:** Well, I don't know if I'm quite there yet. We have an IDA asking for concentration of use for baby products, and for all the rinse-off products, right. And we said we need a more detailed MOE based on bath products and other rinse-off products, as Sam just indicated and David as well. Because the max use in those, right now, for some of them is .05 percent.

How should we alter this motion to fully capture the data needs? And, Don, what am I missing from your team's needs, so I could --

**DR. BELSITO:** The only thing you're missing from our team's need, we just thought we needed to do a margin of exposure calculation. But, we wanted to hear from David Ross as to what we should use as a NOAEL. What endpoint did he think was best? We were sort of looking at a chronic oral, but we didn't know what David Ross would think.

**DR. ROSS:** The iodine is questionable, as we've just discussed. Whether or not you still include it for comparison purposes is up to us. We can probably do that first, Curt, and see where we are. And there are other NOAELs around that we can use.

**DR. DAVID COHEN:** And I think to Don's question before, which is good, David, the baby products question that we asked. Would your MOE calculation be different, would your interpretation be different, for baby products based on this surface area to body weight? And, would you add extra margin in there for those endpoints?

**DR. ROSS:** Yeah, you'd have to look at -- I mean, usually you're looking at different absorption, you're looking at different surface area, you're looking at potentially different safety factors in that. So, yeah, we'd have to look at that, David, in more detail. But, yeah, you would see -- and it's not a straightforward, you know, this, this and this.

**DR. DAVID COHEN:** No.

**DR. ROSS:** They will also be compound specific. And our motion on the baby product was concentration of use for baby products?

**DR. DAVID COHEN:** Yes.

**DR. ROSS:** Yeah. Okay.

**DR. SNYDER:** I like David's assessment saying it's not straightforward. That seems to be the theme for this meeting and some of these ingredients. Doesn't seem like -- many of these things are not very straightforward and quite complex.

**DR. BELSITO:** Yeah, but I mean, if you ended up with a margin of exposure of like 500 for an adult, would you be concerned about a baby product?

**DR. ROSS:** Well, you'd have to do the calculation.

**DR. BELSITO:** No, I understand.

**DR. SAM COHEN:** Isn't the default for the infant based on just a factor of three? So, if it was a margin of 500 you'd still be okay.

**DR. BELSITO:** Right.

**DR. SAM COHEN:** If you even count another factor of three.

**DR. ROSS:** It's probably likely you're going to be okay, but --

**DR. DAVID COHEN:** Depends on what your tox endpoints are, too, doesn't it?

**DR. BELSITO:** Yeah, I mean --

**DR. ROSS:** Tox endpoints, concentration, yeah. But, as Sam says, if you get a pretty high MOE you're probably going to be okay. But, you know, I'm not going to say that, I'd like to do the calculation first. I'd like to be cautious on that.

**DR. BELSITO:** But, so then, I guess the question is where is this? Because this was a tentative final, is that not correct, Bart?

**DR. HELDRETH:** No, it's a Tentative Amended Report.

**DR. BELSITO:** Tentative amended, and after this meeting it becomes a tentative final?

**DR. DAVID COHEN:** No, we're asking for an IDA now. We're sending it backward.

**DR. HELDRETH:** Right, so the status of it won't change. Right now it was brought to you as a Draft Tentative Amended Report, it'll come back as a Draft Tentative Amended Report next time.

**DR. BELSITO:** Okay. Then fine.

**DR. BERGFELD:** So there's a second to the motion?

**DR. BELSITO:** Who's going to look at margin of exposure and decide what the NOAEL is? David Ross, are you going to do that, or Sam Cohen?

**DR. ROSS:** Jinqiu, myself, Sam can be involved if he wishes, and, yep. I'm volunteering Jinqiu, Bart, is that okay?

**DR. HELDRETH:** Yes.

**DR. BELSITO:** You volunteered Sam.

**DR. ROSS:** Yes, I'm volunteering Sam.

**DR. BELSITO:** Jinqiu works for CIR, he has no choice.

**DR. ROSS:** Sam, yeah, he doesn't have to do it. But, Sam would you? I'm sure he'd be willing to do that.

**DR. SAM COHEN:** Yeah, I'll take a look at it.

**DR. BERGFELD:** Am I hearing a second to the motion David made?

**DR. BELSITO:** Yeah.

**DR. BERGFELD:** Okay. And we've had a lot of discussion about it going out as an IDA. Everyone comfortable with this IDA and what's being requested, the MOE and the recalculation?

**DR. DAVID COHEN:** And the concentrations of --

**DR. BERGFELD:** Concentration of use as well for adults and baby products. I guess it's back. All right, I'm going to call the question.

**MS. BURNETT:** Can I have it restated? I'm sorry, Dr. Bergfeld. Can I have it restated just to make sure I have it down right?

**DR. BERGFELD:** Sure, Christina. David?

**DR. DAVID COHEN:** Yeah. The IDA is for concentration of use for baby products, and concentration of use under the heading of skin care preparations that are the bath and rinse-off products. And, we need a more detailed MOE using different toxicity endpoints. And I guess we need MOEs based on the bath product concentrations, and max use concentrations for other uses, for non-total body uses.

**DR. ROSS:** I think those MOEs should be easily doable given what's in the dossier.

**DR. BERGFELD:** Okay. All right, may I call the question now? All those opposed? Abstaining? This IDA is now approved, thank you. A lot of good discussion. Thank you.

**SEPTEMBER 2009 PANEL MEETING – INITIAL REVIEW/DRAFT REPORT**

**Belsito Team – September 24, 2009**

DR. KLAASSEN: I like this new style. I don't know about other people, but this is the one that has (off mike) new way or a different way of covering them.

DR. BELSITO: Yes.

DR. LIEBLER: Yeah, I like it too.

DR. BELSITO: Yeah, very nice. Okay. So before we start looking at the documents, we were handed three new piece of data here.

MS. BURNETT: Yeah, I have a few more back at the office, but the Japanese studies on the carcinogenesis are – well, they're saying the Japanese lab just keeps playing around with it, so I didn't (off mike) all out to figure their (off mike).

DR. BELSITO: Okay. So –

MS. BURNETT: Dr. Snyder provided the one (off mike) study.

DR. BELSITO: Okay. So Paul provided that, so you can summarize these studies for us.

DR. SNYDER: Well, because they gave it a NOAEL in the male rat of .5 percent or 227 milligrams per kilogram per day (off mike) it looks like (off mike) NOAEL for the male rat.

DR. BELSITO: So this was a 55-week feeding study in male rats. And so it was an NOAEL or –

DR. SNYDER: NOAEL

DR. BELSITO: Okay, of 227 milligrams per kilogram per day for renal toxicity or carcinoma.

DR. SNYDER: Well, it was (off mike) for the thyroid and the –

SPEAKER: Yeah, liver.

DR. SNYDER: Thyroid and liver agent.

DR. BELSITO: Okay. And then there is the Takazawa study, "Enhancement of Hepatocarcinogenicity by Kojic Acid," 2-stage model after initiation with nitrosamines. So the authors conclude that at least with nitrosamines at 2 percent, kojic acid is tumor-promoting and possibly hepatocarcinogenic –

DR. SNYDER: And that's 1,000 milligrams per kilogram per day.

DR. LIEBLER: And what they're really looking at is not tumorous, but these GSTP-positive foci, which is, you know, several arrows upstream of any possible tumor. And, you know, the magnitude of the effects that they're seeing is quite modest at a high dose of kojic acid, so their conclusion is that possible hepatocarcinogenic activity, but the carcinogenic potential is likely to be weak.

DR. BELSITO: Okay. SO that obviously would need to be added and go into discussions as we move forward.

And then the last is just an abstract from 2004.

DR. SNYDER: One question. Is (off mike) – if you go to page – of the Takazawa paper, he has this reference (off mike).

MS. BURNETT: I have that back in the office, too.

DR. SNYDER: No, but, I mean, is it in the report? Is it referenced in the report? Because it's harder to find the references because they're not alphabetical.

MS. BURNETT: Yeah, I know. I don't think that one's in, but I have it sitting on my desk to go in.

DR. SNYDER: Because they actually give us a .03 to .06 milligrams per kilogram per day may be absorbed through the skin.

MS. BURNETT: Yeah, I have that one back at the office.

DR. KLAASSEN: That would be perfect for this review.

DR. BELSITO: Okay. And then we have an abstract. There's no heading. Is that what you're referring to?

MS. BURNETT: Oh, (off mike) up here.

DR. SNYDER: Oh, yeah, it is. Yeah.

DR. BELSITO: Okay.

DR. SNYDER: Yeah, I didn't catch that when (off mike).

SPEAKER: That was the one we were just referring to. How did that come out?

DR. BELSITO: So comparing the values with the NOEL and oral subchronic animal studies of 250 milligrams per kilogram per day, they calculate a margin of safety as 4,200 to 8,900-fold.

DR. LIEBLER: So there should be a full paper on that, right?

DR. BELSITO: Yes.

MS. FIUME: Yes.

DR. BELSITO: Okay.

DR. LIEBLER: 2004.

MS. BURNETT: I (off mike) and I didn't – you know, like you guys wanted it (off mike). I gave you the hard ones.

DR. LIEBLER: (off mike)

DR. BELSITO: Yes, yeah. It has absorption and alkaline solutions at 309 to 312, and then there was some questionable slight phototoxicity to it without any photosensitization. And the use concentrations are up to 4 percent is the highest they have.

MS. BURNETT: I did get an update from the council on the additional ingredients and they did not have any reported concentrations other than –

DR. BELSITO: They did not have any?

MS. BURNETT: For the kojic isopalmitate and chlorokojic acid. There are no reported concentrations of use for them.

DR. BELSITO: So in 2009, all of these values disappear, is that what you're saying?

MS. BURNETT: No, no, no. Kojic acid is used.

DR. BELSITO: Right.

MS. BURNETT: The proposed additional ingredients, the chlorokojic acid, the –

DR. BELSITO: Kojic isopalmitate.

MS. BURNETT: Yeah.

DR. BELSITO: And kojic dipalmitate.

MS. BURNETT: Have no concentrations of use.

DR. BELSITO: Right, okay.

MS. BURNETT: So they hadn't run a survey on those when I wrote the SLR.

DR. BELSITO: Right. But we've dealt with that before. Just to make a note. So it was 5 percent kojic acid and alcohol that had some very questionable phototoxicity. But it'd be nice to know what the pH of that was because it seems that the absorption is really very pH-dependent, so I think there could be some issues with that in terms of phototoxicity. It certainly needs to be defined a little bit better.

On page 23 of the document, on the reproductive toxicity, the last paragraph actually the last three lines, it says: Body weight gain in the 25 milligram per kilogram maternal mice was slightly, but significantly, greater than the control values. Is that slightly – is that "significant" or "slightly, but not significant?" I would just check that because normally when you're writing something and you say it was "slightly greater, but not significant" rather than "slightly, but significant."

MS. BURNETT: For some reason that sticks out in my mind that that was written as it's reported.

DR. BELSITO: Okay.

MS. BURNETT: But I will check.

DR. BELSITO: Just making sure. And then page 24, the second through fourth lines:

The authors concluded that kojic acid causes an anti-implantation effect and abortifacient effect and litter death in albino rats, which is mainly due to the compound's toxicity.

Do you mean compound's "maternal toxicity?" I'm assuming "maternal" should go in there.

MS. BURNETT: Probably

DR. BELSITO: Okay. And on page 27, I guess I was just interested in Curt and Paul and Dan's comments on the genotox.

DR. SNYDER: Genotoxins.

DR. BELSITO: Yeah. The in vivos, though, were all negative, which I think is important to note, but the in vitro was like everywhere. So I was just curious what you guys thought about that.

DR. KLAASSEN: Well, it's all followed up with carcinogenicity test, so.

DR. LIEBLER: Right. I mean, the in vitro stuff usually was with a lot of kojic acid. And, you know, I think Christina's summary basically says that this is a mixed bag of systems and results.

DR. BELSITO: Okay. So you're between the negative in vivos and the carcinogenicity.

DR. LIEBLER: Data.

DR. BELSITO: We're not going to worry about this mixed bag of in vitro stuff.

DR. LIEBLER: Right.

DR. BELSITO: Okay. Page 36, under the photogenotoxicity, the next to the last line of the first paragraph, it says: The UVA doses were 10 millijoules per centimeter-squared of UVA. UVA's usually measured in joules, so I think it was probably 10 joules per centimeter-squared. UVB is millijoules.

MS. BURNETT: I'll check that.

DR. BELSITO: Yeah, check it. And 10 joules per centimeter-squared is a standard UVA dose for phototoxicity.

So I guess we answered all my questions that I had about the carcinogenicity data from 38 on.

On page 42, bottom paragraph, fourth line, is that the right chemical, acteaminofluorene?

DR. LIEBLER: Probably acetyl.

SPEAKER: 42, bottom.

MS. BURNETT: I will go back and check that.

SPEAKER: (off mike)

SPEAKER: Yeah. Where are you? What line?

SPEAKER: Seventh line up from the bottom.

SPEAKER: Yeah.

DR. LIEBLER: Oh, yes, acetyl. I'm sure that's acetylamino fluorene. That's AAF. Yeah, that's a standard abbreviation for it. It's a hepatocarcinogen.

DR. KLAASSEN: Classic (off mike).

DR. LIEBLER: Yeah, right. That's just a typo.

DR. BELSITO: So it's acetylamino fluorene?

DR. LIEBLER: Right.

DR. BELSITO: Okay.

Just to point out to everyone involved that the sensitization and irritation data that we have on these are really limited and there was no human data. That I find hard to believe.

MS. BURNETT: And that's how many?

DR. BELSITO: And the Japanese are throwing this into everything.

MS. BURNETT: Right. For whatever reason. And they allude to some irritation, but I was not able to find anything.

DR. BELSITO: Okay. Because I think that, at least from my standpoint, at the end of the day, however we work out this issue with the carcinogenicity, and it seems like we've worked through that based upon margins of safety and yadda, yadda, yadda, I think that there's going to be insufficient data for phototoxicity. I think there's going to be insufficient data for sensitization and irritation. And more importantly, I don't have a dose response for a skin lightening effect that is marketed quite clearly as a cosmeceutical for lightening skin. So I think that, from my standpoint, those would be real insufficiencies.

And then the only other comment that I had was on page 3 and on page 51 about EU.

DR. SNYDER: Well, SCCP, you can limit it at the end of (off mike).

DR. BELSITO: Yeah. It says: The European Commission determined the use of kojic acid, a maximum concentration of 1 percent in skin care formulations. It poses a risk to human health due to potential systemic effects and skin sensitization.

So they don't limit it. They say it poses a risk. And then on page 51, it says: The Europeans determined the use of kojic acid at a maximum concentration of 1 percent in skin care products pose a risk to health due to potential systemic effects and skin sensitization.

MS. BURNETT: That's the same.

DR. BELSITO: No, but it doesn't make sense, "at a maximum concentration of 1 percent?" Which means that – I don't understand how that works. A maximum concentration of 1 percent, it poses a risk. So does that mean that above 1 percent it doesn't, below 1 percent it doesn't? I mean, the terminology, "a maximum concentration" is what throws me off there. So is the data suggesting that below 1 percent there's no risk? Do you see what I'm saying? I don't understand the meaning of a sentence that says at a maximum concentration of this, it poses no risk. Normally you would say at concentrations less than or equal to 1 percent there is not risk or at concentrations greater than or equal to 1 percent there is a risk.

DR. SNYDER: And what are they referring to as systemic effects?

DR. BELSITO: Right. So I guess I would like to see that study. It's reference 15.

MS. BURNETT: I think I provided it with the online data.

SPEAKER: Can you speak up a little bit?

MS. BURNETT: I said I think I provided with the online data, but I can snag it and bring it tomorrow.

DR. SNYDER: I guess that's one issue we hadn't really thought about. If everything's online and we discuss it here, (off mike) computer (off mike).

DR. BELSITO: Yeah, it'd be nice, but then you're going to need to have –

DR. LIEBLER: We're going to get issued laptops?

SPEAKER: Only some (off mike).

DR. BELSITO: Yeah.

DR. LIEBLER: I've got mine. You want to look something up?

DR. BELSITO: No, but we need to have Internet access, too.

DR. LIEBLER: Yeah, I got that. I charged it to your room.

DR. BELSITO: Just –

DR. LIEBLER: Yeah, I can try and grab – I can just grab a paper. I mean, it'll take me a few minutes to get – but.

DR. BELSITO: So –

DR. LIEBLER: It might be a good idea, though. I mean, Don's got a good point, just in case somebody doesn't bring – somebody on staff for each team has a laptop and can go and grab stuff as needed because, I mean, you can do it in a couple of minutes.

DR. SEIDMAN: It'd be nice to have a screen, too.

DR. LIEBLER: Yeah, we could do that.

DR. SEIDMAN: LED.

DR. LIEBLER: Or at least be able to grab it and read it.

DR. BELSITO: Yeah.

SPEAKER: It's 2009.

DR. BRESLAWEC: No, I completely agree. It think that's a great idea. I mean, if we're going to be sending you stuff that's not hard copy, the least we can do is provide access.

DR. SNYDER: I mean, that is (off mike) discussions.

DR. BRESLAWEC: Absolutely.

DR. SNYDER: (off mike) we take a look at, you know, this table or look at this data or, you know.

DR. BRESLAWEC: Yeah. No argument, it's a great suggestion.

DR. KLAASSEN: I'm actually thinking (off mike) at this point not be able to have time, but go completely electronic.

DR. LIEBLER: Yeah, I think so (off mike).

DR. KLAASSEN: And actually everything, you know. I'm the most unlikely person to get this because this is the first time that I've come to this meeting that I have a cell telephone.

DR. LIEBLER: We just say cell phone.

DR. KLAASSEN: Oh, cell phone. See, I didn't know how to say the right word.

DR. LIEBLER: But yours doesn't have a (off mike) on the side, does it?

DR. KLAASSEN: I'm getting to the point of looking here and looking there. It'd be easier just to have it all in one place, you know, for each thing that you're doing.

MS. BURNETT: Kojic acid was the first report where I think all of the unpublished data came in on a CD-ROM. I didn't have any hard copies.

DR. LIEBLER: Yeah. See, what would be really convenient is if each of us could have a login on your server and then we had all of the documents either listed as PDFs of other downloadable common formats.

MS. BURNETT: Yeah.

DR. LIEBLER: You simply access those and download them. None of them would be too big.

DR. BRESLAWEC: One of our concerns was that we didn't know how you all would react to it at all, so we didn't want to completely switch over. And my sense is that the reaction is positive to going to pretty much all data online or as much of it as we can. And now that we have that feedback, we can (off mike) that.

DR. BELSITO: Yeah, I think that, you know, instead of – I mean, I would like still the written document that's going to be published, but I think all of the supporting document – the only comment I would make is because this was a new thing and because I've been in Europe for two weeks, where sometimes they charge you 30 euros for a day's access of Internet, you know, when I came across documents where the old reports weren't attached, I really didn't want to spend 30 euros to get an old report. So if I had known that ahead of time, if there had been a sheet before I left that said the following information is available online, then I could have gone online, snagged it, and just saved it on my laptop.

DR. SEIDMAN: Just for a point of clarification, you'd probably still want the books online as well so you could download these in addition to having (off mike).

DR. BELSITO: Yeah, if we get to the point where there are this many books, and I wouldn't have carried them with me. This was a small number; it was easy enough to carry.

DR. SEIDMAN: In Word (off mike).

DR. BRESLAWEC: Please mention this at the main session tomorrow.

DR. BELSITO: Yeah. But I think Word would be nice because I don't – I try to, you know – I mean, I don't know how to make corrections in PDF.

DR. SEIDMAN: You need a special program. You need Adobe Professional or Adobe Standard.

DR. BELSITO: Right.

DR. SEIDMAN: You can't use Adobe Reader. That's why we had trouble.

DR. LIEBLER: Yeah. Now, so, when I started two meetings ago, they made it possible for me to download the – they sent me the books as PDFs.

DR. BELSITO: Right.

DR. LIEBLER: And I was able to mark those up as PDFs and just give them back my marked up files on a USB key.

This time I didn't get those for some reason. And it's no big deal, I used the books and a pen. But that's actually a pretty convenient way to do it. And again, if you had those accessible on the server you could just download the PDFs. And getting Adobe Professional on your computer is no big deal. It just has a – in this case, the only thing you have that you don't have in Adobe Reader is you have a toolbar that has, you know, text highlight, insert note here, stuff like that, that's –

DR. SEIDMAN: It's a standalone program they have to buy unlike Adobe Reader, which is free, you know.

DR. LIEBLER: Right, but, you know, that's not a real barrier.

DR. SEIDMAN: Yeah.

DR. BELSITO: Particularly if CIR gives it to us.

SPEAKER: They would (off mike).

DR. SEIDMAN: Us? What are you talking about?

DR. LIEBLER: It's cheap.

DR. KLAASSEN: Well, the relative cost of this, it's – I mean, it's not what it was 10 years ago.

Dr. LIEBLER: But that way you could literally have everything that you needed for the meeting on your laptop.

DR. BELSITO: Yeah.

DR. BRESLAWEK: Well, we'd like to work for that. It makes it easier for us as well.

DR. BELSITO: Yeah. No, definitely. And I think that, you know, oftentimes, you know, it is a voluminous waster of paper because, you know, you send us 80 pages of, you know, a Hilltop research report and we're interested in only 3 or 4 pages.

DR. SNYDER: Conclusion.

DR. BELSITO: Well, no, conclusion. I mean, sometimes you're interested in the individual data, scanning data to see about outliers, but, by and large, there are only three pages you're concentrating on. Okay.

So let's see, are there any other comments on this document?

DR. LIEBLER: I have a few.

DR. BRESLAWEK: Did that report clarify the 1 percent maximum (off mike)?

DR. BELSITO: Yeah, it was based upon calculation of margin of safety, not – and – do you have it?

SPEAKER: I gave it back.

DR. BELSITO: Did Dan get a chance to see it?

DR. LIEBLER: Yeah.

DR. BELSITO: Okay.

DR. LIEBLER: I'll look at it.

DR. BELSITO: Go ahead, Dan.

DR. LIEBLER: So I have a few things ranging from the chemically trivial to the more significant, I guess. On page 1, under Physical and Chemical Properties, according to the review article by Velik, "the phenolic hydroxyl group," it's actually not "phenolic," it's just "enolic," so you can ditch the P-H on that word.

DR. BELSITO: Which line is that, Dan?

DR. LIEBLER: The middle line under Physical and Chemical Properties, "the phenolic hydroxyl group." It's not really "phenolic" because there's an oxygen in the ring so it can't be phenolic. It's enolic hydroxyl group.

MS. BURNETT: Okay.

DR. LIEBLER: And then next page, under Analytical Methods, "Kojic acid can be detected with chromatographic or electrophoretic," it probably should be "electrophoretic," R-E-T-I-C, "techniques that take advantage of UV light absorption maxima." You could probably just say "absorption" because the actual maxima for kojic acid is shown in Table 1 are different. They're not 254 and 280.

MS. BURNETT: Right.

DR. LIEBLER: And when I saw 254 and 280, that struck me as too much of a coincidence because that's normally the wave lengths of filters that you commonly have put in UV detectors.

MS. BURNETT: Okay.

DR. LIEBLER: And so something that absorbs like kojic acid at 268 in an acidic or neutral solution it has, you know, a wide enough band that you pick up some absorbance at 254. So it's a relatively little detail, but you can detect it at 254, but the maxima is higher.

MS. BURNETT: Okay. Do you have notes?

DR. LIEBLER: I did. I made notes for you. And then on page 9, there's a section about aflatoxin and kojic acid, and as far as I can tell it's really just about whether or not aflatoxin and kojic acid are produced together in these various microorganisms. And unless there's aflatoxin contaminating the kojic acid that's used in cosmetic ingredients, I think this information's not really relevant to our evaluation.

DR. BELSITO: So you would recommend deleting that?

DR. LIEBLER: Deleting that section unless there's some issue of kojic acid and aflatoxins co-purifying, which I find hard to believe.

And then on page 48 and 49, there's a section about effects on thyroid and T3 and T4 levels. And so presumably, the mechanism of action of kojic acid in skin lightening is by inhibiting peroxidases – or –

SPEAKER: Tyrosinases.

DR. LIEBLER: Right, tyrosinase, which is a peroxidase. And this kind of compound is capable of inhibiting a lot of different peroxidases because it simply is easily oxidized as an alternate substrate. And so thyroid peroxidase inhibition may be the mechanism of action by which kojic acid exerts these effects because that's how T3 and T4 get made, the iodines get put on the ring by thyroid peroxidase. So what I would suggest is could you look and see if there's any possible literature on thyroid peroxidase inhibition by kojic acid?

MS. BURNETT: Thyroid?

DR. LIEBLER: Thyroid peroxidase.

MS. BURNETT: Peroxidase.

DR. LIEBLER: That's the enzyme that adds the iodine atoms to the phenyl rings, to the tyrosine rings, in T3 and T4, (off mike) those.

MS. BURNETT: Okay.

DR. LIEBLER: There might be relevant literature on that.

And I think that was it for me.

DR. BELSITO: Well, Curt?

DR. KLAASSEN: I guess I was a little surprised that there wasn't more data on the metabolism of kojic acid.

There's only, you know, one short paragraph on here, and you know, it just says that it's a glucuronate and a sulfate. And I just wonder if you could double-check to see if there's a little bit more data up there. It seems like with all of this carcinogenicity work that they would have done more plain biotransformation of a chemical.

DR. BELSITO: Okay. Other comments? Okay. So, where we are with this, let me try summarizing, is that we're fairly comfortable with the carcinogenicity issues based upon exposure. And that would include the use concentration up to 4 percent, which is the highest concentration we're given, is that correct? So it'd be insufficiencies boil down to phototoxicity in a basic solution or we're told that it absorbs in the UVB range; sensitization and irritation at concentration of use; and a dose response for skin lightening effects.

DR. BRESLAWEC: Dr. Belsito? Are you looking for dose responses for the effect or for toxicity?

DR. BELSITO: Well, we're looking at – I mean, we don't necessarily need a dose response, I guess, if they're going to use it at 4 percent. We need information that 4 percent does not result in skin lightening. Is that a better way of saying it?

DR. BRESLAWEC: Thank you.

DR. BELSITO: So absence of skin lightening at whatever concentration they maximally want to use this in a cosmetic.

Now as I recall, we had sort of pushed this up in priority because of FDA concerns about this skin lightening use. And so I guess I'd like to hear from the FDA folks if they have any other concerns that we're not addressing at this point.

Anything else on kojic acid? Okay.

### **Marks Team – September 24, 2009**

DR. MARKS: We're going to look at kojic acid now, and this is the first time we've seen this safety assessment so we need to look at data needs, we look to look at the ingredients. Two out of the four proposed ingredients are not used. I'll open the discussion. Ron, Ron, and Tom, in terms of data needs. Is there sufficient data to move on with this report?

DR. SHANK: I don't think so. I think we need skin depigmentation data at the use concentration of 4 percent. That's the only insufficient data need that I came up with.

DR. BERGFELD: Ron, I will tell you that it is used as a depigmenter especially with Asians.

DR. SHANK: But not as a cosmetic. Right?

DR. BERGFELD: It's in cosmetics. It's not a prescription. On the West Coast they just love it.

DR. KATZ: I can't comment about it because I actually didn't understand something I read in the book either about reference to it being or not being looked at by drugs, and my question was whether or not it was ever considered for monograph, and if there's any reason that it should have been or just the reference that it's not used in OTC drugs is just a reference to state of fact that it's not used in OTC drugs.

DR. BERGFELD: I know it's compounded. I have friends who are using it and compounding it in Japan and bringing it over.

MS. WEINTRAUB: I have a question about the process. Kojic acid has not been approved by the FDA for such use. Then what is our role here?

DR. MARKS: Our role is to determine whether it's safe to be used in cosmetics, and of course Ron raises the issue of if it has, and there is good evidence, hypopigmentation of one of its effects. We need to know what level as a cosmetic can it be used without worrying about hypopigmentation if I interpreted what you said, and I had the same concerns, Ron. I have no idea.

MS. WEINTRAUB: Even though FDA only approves the use as an antioxidant?

DR. KATZ: That addresses the question that I had raised. I'm not aware that it ever came in as an ingredient either through the monograph or through an NDA process to be approved as a drug. I may not have made it very clear. The wording was strange to me that if it's used as a cosmetic ingredient, then FDA would not have approved it. FDA would only have approved it if it came in for a drug approval. I agree with what Jim is saying, that the question really is is this something that should be a cosmetic or should it be something that should go into the FDA to be looked at as a drug which is a whole different issue than what's really being asked for here.

DR. BERGFELD: I think it's concentration related like so many things. The hydroquinone on page 50 that's talking about clinical testing and therapeutic use was at 2 percent glycolic acid and 2 percent kojic acid. It's my understanding that 1 and 2 percent hydroquinone was on the market as just an over-the-counter product. I understand also that it had been relooked at and possibly FDA had pulled it off the market, just the 2 percent hydroquinone. There was a bleach on the market for cosmetic use.

DR. KATZ: There is a bleach on the market for cosmetic use, but it can't really be called a bleach. As a drug you're correct in that it is a topic of a tentative final monograph and they were trying to finalize the monograph I think about 2 years ago. There was a call for data and FDA was waiting to receive data to substantiate the safety of its use in over-the-counter drugs. As far as I know, that monograph for hydroquinone for a drug has not yet been finalized. I know that they've been assessing information that they've received, but I'm not aware that they finalized that monograph.

DR. BERGFELD: I know that the American Academy of Dermatology did response in letter form though.

DR. MARKS: In terms of?

DR. BERGFELD: A report on hydroquinone.

DR. MARKS: Supporting hydroquinone.

DR. SEIDMAN: As FDA regulated kojic acid, the submission would come in with proposed doses for a specific intended use. I'm a little confused about where the distinction is then between cosmetics and a drug because if I've understood you correctly, you're saying it's a matter of dose.

DR. KATZ: No, it's concentration and it's also use. What distinguishes between a cosmetic and a drug usually is whether or not the ingredient itself and how it's being prescribed is something that one can use safely without intervention for a drug in general, but there are certain other distinctions and whether it affects structure function claim itself is a structure function claim or some other mediating of disease, prevention, et cetera, which would make something a drug rather than a cosmetic. So it really depends on how something is being used and the concentration in which it's being used that might make part of the distinction.

DR. MARKS: I think for us the issue is not whether it's being used as skin lightening, that's toxicologic end point we're worried about, we're looking at this as a cosmetic which presumably is used as an antioxidant and/or a skin-conditioning emollient. So it would seem like something if it's being used in Asia as a treatment at 1 percent concentration, there's got to be something less than that that does not have the potential of hypopigmentation but we just don't know that so that that would be a data need.

DR. SEIDMAN: Use concentrations go up to 4 percent in facial creams.

DR. MARKS: Yes, so that would be concerning to me. The other thing continuing on skin impact is that it's a sensitizer, that's on page 30, although in guinea pig testing it was safe up to 30 percent, but then, Wilma, going back to the study you referred to on page 51, it says at 1 percent preparation, a side effect of this treatment is contact allergy and I don't see any RIPTs to establish a safe level. I would also be interested potentially, although I think we already hazard alerts occurred on what a local lymph node assay would show, but to me it's really what is the RIPT, what is the safe level that this can be used in a cosmetic which isn't a sensitizer. I'm not sure, and Tom mentioned it and I'll refer to that also, on page 3 the SCCP determined a maximum concentration of 1 percent and I'm not sure how they arrived at that. Again I would think it would be less than that if you have 1 percent preparations used for the treatment of melasma in Asia, and contact allergy is a significant side effect.

DR. BERGFELD: Is it significant? On page 50 of women who have an irritant response, and then on page 51 you have a citation of a single report, a 1 percent preparation used two a day for 2 months and the side effect of this treatment is contact allergy but we don't have any numbers there.

DR. MARKS: I guess the problem I had on page 50, without a study it was a mixture of glycolic acid and hydroquinone and glycolic acid is a known irritant, and they talk about what could be an irritation reaction there. They really to my mind don't define whether it's allergic contact or just irritation. That study didn't have as much meaning as the following paragraph on the next page at the top where they talk about this preparation and contact allergy as a side effect. That's not quantified and I'd like to know how often that occurs. As I said, I'd like to see what an RIPT looks like so that we could get a sense of what is a safe level.

DR. SLAGA: There is no data to support that.

DR. MARKS: Right.

DR. SLAGA: It's a statement.

DR. MARKS: Absolutely, but it's an alert in my mind.

MS. BURNETT: That study was an efficacy study, so I just culled out what was most important from that efficacy study to report it in the report.

DR. MARKS: For me, not only would I want to see what is the safe level in terms of the side effect of hypopigmentation, but I'd also want to see what is a safe level for sensitization.

The other things, impurities, Ron, Tom, Ron? I'm going to do that many different ways to change it up. Rather than Ron, Ron and Tom, I may do Ron, Tom, Ron. At any rate, impurities are okay in that impurities are okay in that part? And then the other thing is the other geno and carcinogenicity. That's all fine?

DR. SLAGA: Yes, even on the skin it's a much higher dose and it has no effect. As a skin carcinogen, there are some internal tumor-promoting types of things, but I don't have any concern with that.

DR. MARKS: Impurities?

DR. SLAGA: No.

DR. HILL: No.

DR. MARKS: Do we need that?

DR. SLAGA: No, it's fairly pure from my understanding.

DR. MARKS: Let's go to the four ingredients. We had these data needs certainly for kojic acid. I think we would move forward at this point with insufficient.

DR. SLAGA: Yes.

DR. MARKS: As I said earlier, the chlorokojic acid and the kojic isopalmitate are not used. The kojic dipalmitate does have uses. Forget the number. Is there any problem with extending it from kojic acid to those other three ingredients?

DR. SHANK: I would not add the chlorokojic acid. That's not a simple ester. The dipalmitate is a simple ester. That's okay. But chlorokojic acid could be something entirely different so I would not include that.

DR. HILL: I didn't see it in here: Did you run across information as to how that ester is handled biologically? Certainly that will be when dermally administered a very different beast than kojic acid.

MS. BURNETT: I have found no information on that. It was essentially nonexistent.

DR. HILL: I know, because I would expect to see very different behavior, maybe no difference in the end results, but very different behavior in terms of dermal penetration, how deep in the skin it got, what exited the skin, how fast, what were the species? Do we end up with a monoester leaving and entering the circulation or just kojic acid? Because if the only thing that escapes the skin is kojic acid, then we know what the data suggests. If on the other hand the ester or a monoester escapes into the general circulation, and there are two possible monoesters, but I think the one that's listed here would be the most stable and most likely to come out without hydrolysis and what happens to that, and without that data I don't see what's there for kojic acid supports that diester at all in my mind.

MS. BURNETT: Unfortunately what I could find is in there. There are hardly any data. Also to clarify, I didn't include it in the transmittal memo, but the industry provided me a memo that said that there was no concentration of use for the additional ingredients and that what we have is what's provided.

DR. SHANK: I don't see the advantage of adding another cluster to a report that's going to go insufficient data, so I would leave it just kojic acid.

DR. ANSELL: Yes, and we've just seen this report. The only cosmetic application for this is as an antioxidant and we really question the response we got as it relates to 4 percent, whether that is truly a cosmetic application and should be included within this report. The effective concentrations at the tenth percent are much more likely to be the cosmetic application. So we would propose on tabling or giving us an opportunity to contact FDA and see if there's an opportunity to identify who actually made this report and whether it's truly a cosmetic application because of the concerns that we started the discussions with.

DR. MARKS: I'm going to go back and then, Jay, I'll address your comments because whether it's at 4 percent, 2 percent, or .05 percent, we don't know what the safe level for hypopigmentation -- sensitization at this point is. So I think I would probably say we still should not table at this point or hold, but to just put it out as insufficient, and really what we need are those end points of toxicologic effect. Ron and Ron, you would limit this report to kojic acid alone and not extend?

DR. SHANK: Correct.

DR. MARKS: Because you had mentioned the chloro not being a simple ester, Ron, and then, Ron, you were concerned about the metabolism of dipalmitate also. Correct?

DR. HILL: I think the systemic exposure difference between that and kojic acid itself with that diester. It's a long-chain diester compared with kojic acid itself, so I'm not sure all of the dermal toxicity studies that are done with kojic acid are relevant to the diester in this case. Without knowing something about how fast that ester is hydrolyzed and do we get kojic acid rapidly before it ever penetrates much into the skin, I guess then that would be no problem from where I sit.

DR. BERGFELD: I'm sorry, what did you really say?

DR. HILL: What did I really say? That at least transdermal behavior and if even the hemiester, in other words, the half-ester survives intact to penetrate into the lower levels of skin, then we start to worry about the tumor-promoting activity of skin-type cancers, or if in fact pervasive esterases rapidly hydrolyze that diester before it ever gets anywhere that it can do any harm.

DR. BERGFELD: So your real recommendation would be if you're putting out a want list, that if you had the skin absorption studies for these esters, they might be included?

DR. HILL: Absorption and biological fade, in other words, how rapidly the ester is hydrolyzed. And if there is information as to biological activity, then maybe that half-ester that we're not including could be included because that would be the logical metabolite I believe.

MS. BURNETT: Since it's not being used, I'm not sure we're going to get data on that. I don't know if industry would want to pursue that.

DR. ANSELL: If the report is limited to kojic acid, then it takes all the ester off the table, so all the questions become moot.

DR. HILL: If the curiosity would be satisfied or if we learned something about the bio-handling, put in the chemical abstracts number into the chemical abstracts and see what pops up would be very easy. Nothing popped up?

MS. BURNETT: No.

DR. HILL: Not even patents or you didn't open it to that?

MS. BURNETT: No.

DR. MARKS: So we'll recommend tomorrow an insufficient data conclusion, we're concerned about a limit for hypopigmentation as a side effect of the cosmetic, with sensitization we need RIPT, and we will limit this report to just kojic acid. Are there any other comments?

DR. ANDERSEN: What did you think of the summaries?

DR. MARKS: Thank you, Alan. They got into the meat of this. I actually liked the summaries personally, but I think there was some disagreement in my team, maybe referred to "Cliff's Notes" or even less than that. The other thing is if the summaries now rather than in the final article. So I actually liked the summaries, but I'll let my other panel members speak for themselves. Tom?

DR. SLAGA: I have no problem with the summary. We're supposed to read it anyway, but at the same time it directs your thoughts going down to reading it in detail. So I have no problem. Ron?

DR. SHANK: I don't see that they're necessary.

DR. HILL: If they're just duplicating what's in the ultimate summary at the end of the document, they probably aren't necessary. I liked the concept. I had specific criticisms of some of them, but I just made notes. And also perhaps with increased use of elegant tables, those summaries would become somewhat less necessary, but I don't know that's true.

DR. MARKS: Alan, what's your sense in terms of the editors of the journal? Is this something that they are really pushing for? Again if that's the case and we certainly want to put this besides on the Website in the public arena in the form of a journal publication, then if this is what they're aiming for then it seems to me that we should try and accommodate that.



what's missing from the data or inconsistencies in the data? Is that something you would personally care for or you'd prefer not having that and just going to the summary section for that kind of information?

DR. ANSELL: I'll defer to the Panel on that.

DR. BERGFELD: I would like to go back in history a little bit. What I see is just an upgrade for user-friendliness and transmitting good information. The Panel has put together a document that nobody has. They've consolidated a lot of information and the readers and public who's reading this is quite diverse including the dermatologists. So I like what's being said here. I like the fact that we're having some summary statements and some of the more laborious types of recording, and whether you would want to go to tables and keep some of these longer pieces and tables I have no objection to. I do have an objection though to when it comes to altering the summaries. I think the summaries are excellent as are the extracts. It's the front and the back of an article, the focus issue, it anchors the article. I think that we put the discussion in there to discuss just what we're talking about, the lack of and why we went this way because we used such and such and that discussion was a real addition to our documents when we put it in a number of years ago. So I think this is looking very good and I think the upgrades and the suggestions are very good, and I think that over time that it will even be a slicker document.

DR. MARKS: Does that answer your question, Alan?

DR. ANDERSEN: I think so.

MS. WEINTRAUB: I think they are very useful. I think though some of the summarize don't quite summarize all of the information in that section. Some of the summaries really were almost verbatim from one study one group of studies, but then as you read on in that section, there were other studies as well that the summary did not mention at all. So I think we still have to be careful that the summary actually summarizes the entire section.

DR. ANDERSEN: To get it right.

MS. WEINTRAUB: Yes, but I think in terms of, I don't know how many consumers actually read these, but I think it definitely helps to really focus reading and knowing what type of information is supposed to be discussed and should be discussed in the section so that I think it's an overall asset.

DR. ANDERSEN: We've been on a lot of summer booklists.

MS. WEINTRAUB: "New York Times" bestsellers.

DR. MARKS: I think the conclusion is that we by and large like the idea of having each section summarized since we're being pushed that way by the journal, that we'll maintain the summary section at the back which is as Alan pointed out will probably be repeated from those individual sections, and that the discussion is to put this all in perspective when there are differences in results in various section of the paper and they need to be put in perspective.

DR. HILL: One of my specific criticisms that I mentioned was on the issue that she discussed.

DR. MARKS: Again just to repeat, we will recommend tomorrow an insufficient conclusion, that we need a safe level for sensitization, and we're going to limit this report to only kojic acid.

DR. ANDERSEN: The phraseology was interesting, safe level of sensitization I understand. Safe level for pigmentation, you want the level at which it doesn't pigment, absence of pigmentation?

DR. MARKS: That's correct. Are there any other comments? The next group of ingredients are the cyclomethicones.

DR. HILL: I'm sorry, one question I had while I was glancing through you were asking is maybe the aflatoxin information was there for our consumption, but that falls into the category of information that I'm not sure needs to stay in the final. I know about aflatoxins, but I didn't see the relevance to a cosmetic in human use.

MS. BURNETT: It can be deleted and probably will be.

DR. ANDERSEN: Good pick-up.

### Full Panel – September 25, 2009

DR. BERGFELD: -- I think it's time to move on to the last item in this particular grouping, and that's the kojic acid group, Dr. Belsito presenting.

DR. BELSITO: This is "Kojic Acid and Related Ingredients," and again this is a new cosmetic ingredient for use, and my team looked at the document, and we thought that while there is a good amount of data, the data was still not sufficient to look at safety and that what we needed was information on sensitization and irritation and concentration of use. The phototoxic potential of this chemical in basic solution if it was to be used in a basic solution because it does absorb in the high UVB range and also since it's been touted as a cosmeceutical for skin lightening, some notion of what percentage this may or may not cause skin lightening would be needed. And additionally there was a request from Dan, and he might want to expand upon

this, if we could get some information about inhibition of thyroid peroxidase by kojic acid, because we know it does seem to be a tyrosine inhibitor, that would be helpful as well.

DR. BERGFELD: Dan.

DR. LIEBLER: My comment was based on the fact that the chemical nature of this compound makes it a pretty good inhibitor of many peroxidases, and because there were several pages of material on effects on thyrox – thyroid hormone, this process is also governed by a peroxidase, and so I thought that that would be valuable to include in terms of understand mechanism.

DR. BERGFELD: Anyone over here? Ron?

DR. MARKS: Yeah, we concur with that. The only other thing we would suggest is limiting the report to just kojic acid. The other ingredients which were listed – those other three – were either – the biologic fate of these ester is really not known, nor are they simple ester, so we would limit only the kojic acid, and we would move to issue an insufficient report with those needs.

DR. BERGFELD: I guess your option is either to table it and have it go out as an announcement or go out insufficient, is that correct?

DR. ANDERSEN: Oh, I think the appropriate step is an insufficient data announcement. It signals that the Panel believes there are specific additional data that it would like to look at, and that's the position that you should stake out at this point. You have the option of reviewing the data that you receive and making an alternate approach once you have the data, so it's just –

DR. BERGFELD: Don, your opinion on this?

DR. BELSITO: Oh, yeah. I mean, I think we should –

DR. BERGFELD: You didn't make a motion.

DR. BELSITO: No, we should proceed. I made a motion for insufficient. I think it's been seconded.

DR. BERGFELD: Okay, I didn't hear that.

DR. BELSITO: Yeah, that was my motion. Insufficient.

DR. BERGFELD: Okay.

DR. BELSITO: I gave a list.

DR. BERGFELD: Okay.

DR. BELSITO: And Jim's only comment was to delete the other ingredients other than kojic acid.

DR. BERGFELD: Okay. Any other comments?

DR. ANDERSEN: I have a question in terms of the deletion. I understood the discussions that related to the chlorokojic acid. I'm a little concerned about the reluctance on the esters. They seem to be of the same class that the Panel has argued that simple water hydrolysis is very effective in breaking these particular kinds of structures, and even if that doesn't work, esterases are plentiful in the skin. So it is a little concerning to me why we can't expand to include the monoester and the diester. So, I wouldn't mind some further discussion of that.

DR. MARKS: Ron Hill, would you mind?

DR. HILL: There's no answer – there's no information whatsoever, at least in what I had access to, as to in fact does that very lipophilic ester, which is quite chemically different because of that modification from kojic acid, pass through the skin and what escapes the other side of the skin in normal use. And so while I would agree that probably the phenolic ester would be cleaved fairly rapidly, I'm not sure that's true within the layers of skin within the environment of skin, and the monoester cleavage – I mean, the hydroxy methyl ester cleavage might not go so quickly, and if that escapes into the circulation or, for that matter, some information – well, that could have effects, but I think of greater concern is does the diester or either of the monoesters – it would presumably be the hydroxy methyl ester – have biological effects within the skin, in particular promotion or – well, promotion of any carcinogenesis within dermal layers, because such lipophilic compounds can, in fact, have effects – biological effects – on the kinds of targets that may promote tumorigenesis, and so there's no data whatsoever on those esters in terms of biology if, in fact, in the layers of skin they're there and doing something.

DR. BERGFELD: Dan.

DR. LIEBLER: So, I have no objection to considering these esters. I'd like to just make one correction as a follow-up to Alan's comment and a clarification on Ron's – is that even though – first of all, these compounds, even though in principle and solution under the right conditions, they can hydrolyze. For these esters, those conditions will probably not be achieved in skin under conditions where you don't have a lot of skin damage. It would have to be too acidic or too basic. There is a lot of

esterase activity in skin but not enough to make much of a dent in the mole fraction of material applied. If you – we actually studied tocopheryl acetate, a vitamin E acetate ester, in the '90s in my lab quite a bit, and other tocopheryl family members and applied material at least in rodent skin had a very small mole fraction of ester mediated hydrolysis. So, even though there are already enzymes there, you're talking about putting such a whopping amount of material on the skin that the fractional hydrolysis is relatively small. So, most of the material would probably be there in the esterified form, and then whatever biologic activities it has it's going to have there.

DR. BERGFELD: Thank you.

DR. ANDERSEN: That's – that helps the thinking process. Thank you.

DR. BERGFELD: So, the motion is to vote on an insufficient data announcement – or report.

DR. ANDERSEN: Well, I think – did Don accept the amendment to the motion to constrain to kojic acid?

DR. BELSITO: Yeah, is that your recommendation, Dan?

DR. LIEBLER: We could constrain it.

DR. BELSITO: Fine.

DR. BERGFELD: So, call for the motion. All those in favor of an insufficient data report. Thank you. Unanimous.

DR. ANDERSEN: It will make it easier for us, because there's just no information on all the other stuff. Nada, nothing, it's –

DR. BERGFELD: Would you be considering just mentioning that in the report or not – or just avoiding it?

DR. ANDERSEN: No.

DR. BERGFELD: Okay.

DR. ANDERSEN: We're focused now and that'll make it easier.

## **DECEMBER 2009 MEETING – SECOND REVIEW/DRAFT TENTATIVE REPORT**

### **Belsito Team – December 7, 2009**

DR. BELSITO: Thanks. Okay. Okay, kojic acid. So we can get back at them with this one, huh? Where is this? Pinky? Yeah. Pink Book II. Okay, we got a phototox and we got a primary skin irritation in rabbits on kojic acid. Where are my cheat notes here?

MS. BURNETT: Carol also has an HRIPT study and she will hopefully have information on the concentration that was used in the study so we provide this tomorrow.

DR. BELSITO: Okay. So, back in September, we looked at this and we went out insufficient, asked for dermal sensitization and irritation at current use concentrations, phototoxicity, and so we got phototoxicity here. It was done on male, albino guinea pig skin and it was done on 30 male albinos, experimental. And the treated group got 1 or -- 10 animals got 1 percent kojic acid, 10 got 3 percent. In the control, positive control was 10 percent anthracene ointment, and the anthracene mice got the expected phototoxicity, the petrolatum didn't, and then the kojic acid. There was nothing at 1 or 3 percent in a total of 20 guinea pigs, so that's what we have for phototoxicity.

For irritation on rabbit skin, again, 1 and 3 percent and the number of rabbits -- 12 male rabbits, and there's nothing at 3 percent. And the concentration of use for kojic acid in cosmetics is --

DR. BERGFELD: 4 percent.

DR. BELSITO: Okay, so 3 percent is close to 4 percent, but is not 4 percent. So, we don't have dermal sensitization, we have irritation in a small number of rabbits. And is the sensitization going to be in rabbits also or is it going to be a human repeat insult patch like we said?

DR. ANSELL: Supposedly human.

DR. BELSITO: Okay, and in the end, do we know, is it a good end?

MS. BURNETT: I haven't seen the study yet.

DR. ANSELL: Yeah, and we're still chasing after the concentration it was run at, which is why we haven't provided it as yet.

DR. BELSITO: Okay, so that's all we're going to get on this, is we're going to get an HRIPT. That's the only thing that's outstanding?

DR. BERGFELD: How about the hypopigmentation?

DR. BELSITO: Well, that's what I'm going to get to because then there's still several other points.

DR. BERGFELD: Well, except that they are -- IP could give that to you maybe.

DR. BELSITO: It wouldn't be long enough.

DR. ANSELL: We do believe we have -- let me invite Tom up to talk a little bit about the hypopigmentation.

DR. RE: Tom Re from L'Oreal. We have a supplier who has expressed interest in providing a study to delineate a concentration that doesn't cause skin bleaching, but they wanted feedback from the panelists to what type of data that the panel feels would suffice. Would an individual study on tyrosinase inhibition be sufficient or do you want an in vivo study either in animals or humans?

DR. BELSITO: Well, animals, I think, are always difficult to do for hypopigmentation, but, I mean, I think the -- probably the least expensive study that would address the point would be looking at effects on tyrosinase, you know, the enzyme, supposedly mediator, that's responsible for the lightening effect. So if they could do that, I mean --

DR. BERGFELD: Well, the problem with that is, at least clinically, it's known to lighten the skin color and even if you had inhibition of tyrosinase in that other model, what if it didn't lighten it to make it white? How are you going to make that differential? Because some of the reasons it's used is the antioxidant property of it, which includes the lightening property.

So, I'm not sure that that's applicable if you're looking at humans. Maybe it should be an animal model.

DR. ANSELL: Well, it might help if I understood exactly what the concern is. What is the pathology associated with the hypopigmentation? Why --

DR. BERGFELD: Whether it goes to complete cessation of tyrosinase.

DR. BELSITO: Well, even if it doesn't, Wilma, cosmetics should not have a biological effect, and if it effects the pigmentation of the skin, then it's a drug. So, it doesn't matter whether it causes complete de-pigmentation or just some slight lightening. I mean, I guess the point is, is that the cosmetic industry has gotten themselves into their own dilemma here by promoting this as skin lightening in their marketing terms and so now the question is, does it, in fact, lighten skin? And if it does, at what concentration does that occur?

DR. ANSELL: If anyone is, in fact, claiming that it lightens the skin, then they are selling an illegal, unapproved drug. It doesn't make it an unsafe cosmetic, it makes it an illegal drug.

DR. BELSITO: Well, I understand that, but then there must be a reason why they -- you know, I mean, it's all the cosmeceutical marketing, that gray zone where they sort of promise things, but not quite promise it, so.

DR. ANSELL: Well, we've crossed from kind of a safety discussion into a regulatory discussion. In fact, such claims would not necessarily be illegal outside the U.S.

DR. BELSITO: Well, I agree. That's not the discussion I want to get into. The point is, is that for whatever reason, there must be some thought that this would help with blemish problems. It's certainly thought to be true among the Japanese, who are particularly fond of this cream to treat their melasma, so the issue is at what level would this occur? And so -- but what we're now being asked to do is to help industry design a study that would give us, or at least suggest a way, of getting to that problem. Would a study where -- I mean, I guess the question is, if it does "have a lightening effect on skin," what is the mechanism? Is the mechanism through an inhibition of tyrosinase or is there another mechanism? Does it affect melanosome transfer from melanocytes to keratinocytes? I mean, I'm assuming that it's through an effect on tyrosinase. If that's true then doing a study to look at effects on tyrosinase levels in skin would probably be the cheapest way to answer that question.

DR. BERGFELD: Could I give a piece of information? One of the Aveeno products that contains soy extract has done tyrosinase inhibitory studies and it's sold as an over-the-counter facial cream for women for radiance, sold for radiance in photo damage, but it definitely does have a tyrosinase inhibitor in it and it definitely -- in both animal and in the cell models and that's a cosmetic.

DR. BELSITO: Yeah, but I mean if you look at page 7, okay, kojic acid inhibits the activity of the enzyme tyrosinase in in vitro assays, so I'm assuming -- and if you assume that that's how it would have a skin lightening effect, then I would be comfortable with a study showing me a dose, you know, in vitro, human skin, going across the stratum corneum where there's - - if it could be done. And I don't know, I mean, I'm not an expert on whether the activity of tyrosinase on skin that's taken from an abdominoplasty or mammoplasty or whatever, how long that stays intact. But if you can show me a level that doesn't get across the stratum corneum and doesn't affect tyrosinase, I'd be comfortable with that in terms of a level for skin lightening effect, but I don't know if the other panel members would. But that still doesn't answer the question that Dan had before about thyroid peroxidase.

DR. LIEBLER: Yeah, I'm going to pull that one. Based on my reading of this and thinking about it some more, I'm not really concerned about thyroid peroxidase anymore, because I don't think you would achieve levels of compound needed to produce the thyroid effects that were observed.





DR. BELSITO: Okay. So then, Dan, would you be satisfied if industry looked up that study that was done on para-hydroxyanisole and reduplicated it with kojic acid and came up with a concentration where there was no de-pigmenting effect?

DR. LIEBLER: Yes.

DR. BRESLAWEK: I'd just like to add that that study was done many, many years ago, 20+. Perhaps the study model has changed.

DR. BELSITO: I don't think so.

DR. BERGFELD: You don't think so?

SPEAKER: Take a black guinea pig and paint them with a chemical and look at their pigment.

DR. RE: I actually just got this request from the supplier last week, so we really have -- we at L'Oreal have not even looked at the literature yet to see if there are more updated models or not. But I think, you know, I think that we can definitely by next meeting come back to you with a -- either we're not going to go or some indication of what the model should be.

DR. BELSITO: So, yeah, what you can take back to your supplier will come, I guess, tomorrow, but I guess from our team standpoint, measuring tyrosinase activity isn't going to help us.

So, we are -- where are we? It's pink. So we're going to get the HRIPT and find out if the dermal sensitization is sufficient. If that's sufficient from an HRIPT, then the irritation should be sufficient. But what we have for irritation right now is not sufficient to me. Twelve rabbits does not a safe compound make.

Phototoxicity seems okay and it's going to hinge on the skin lightening effects, but we have not a promise, but -- I mean, this is pink. So are we going formal and sufficient or are we going to table it until we hear back as to whether industry is going to do this study?

DR. BERGFELD: Table. My opinion.

DR. BELSITO: Madam Chairman says table. Dan? Paul? Kurt?

DR. LIEBLER: I would not argue with Madam Chairman.

DR. BELSITO: Okay, so we will --

SPEAKER: Never, ever?

DR. LIEBLER: Not right now.

DR. BELSITO: We will tentatively table this to see what industry's response will be to our skin lightening request.

DR. ANDERSEN: The converse view is you signaled back in September that these data were needed. You didn't receive them. Tough noogies.

DR. ANSELL: If I'm not mistaken, Alan, and you, of course, are the expert on your own procedures. The obligation is to come forward with an offer, not to come forward with the actual data.

DR. ANDERSEN: An offer is one of the things on the table, but this is a discussion of maybe there's an offer. So, it's --

DR. BERGFELD: For public relation's sake --

DR. ANDERSEN: There's nothing fundamentally wrong with tabling it, it's just if we're going to be hardnosed about continuing to move things along, at some point there's got to be an example. I guess we did it earlier today in which you were forceful in saying insufficient data. This one needs that test of, you know, you'd really much rather have the data than not have it. So, I'll shut up.

DR. BELSITO: Yeah, I mean, I think that we're -- we are prepared, you know. I mean, there's enough time between now and the April meeting for industry to decide whether they're going to do something. You can bring this back at the April meeting and if industry is going to do it, then we'll table it again for the data. If industry isn't going to do it, then we've got an insufficient report and we know where we're going with it.

DR. BERGFELD: Did I hear you say that you also needed the census HRIPT data?

DR. BELSITO: Well, I'm told that Carol is going to give us that tomorrow.

DR. BERGFELD: Okay.

DR. BELSITO: So, we'll see.

MS. BURNETT: Perhaps, she's waiting on --

DR. BELSITO: Perhaps.

MS. BURNETT: -- waiting on concentration data.

DR. BELSITO: Perhaps, so that could be another data request.

DR. EISENMANN: I have the study. I just need to know what concentration (inaudible).

DR. BELSITO: Okay, and the study was an HRIPT?

DR. EISENMANN: Yes.

DR. BELSITO: And what was the N?

DR. EISENMANN: I think in the 50s. I'd have to look back at that.

DR. BELSITO: Because that would make a difference, too, because if it's an N of 12 --

DR. EISENMANN: I'll have that number for you tomorrow.

DR. BELSITO: Thank you.

DR. BERGFELD: I'm sure it isn't.

DR. BELSITO: Okay, so we'll also look at the dermal sensitization issue tomorrow when we hear at what concentration it was tested.

MS. BURNETT: Before we move on, we had a few other items that the council commented on and I just want to have you guys address it. Dr. Mark's team addressed it too, so I just want to make sure that everybody's all on the same page.

The first item under the reproductive section --

DR. BELSITO: Page?

MS. BURNETT: Pages 23, 24. It'd be Choudhary studies 60 and 61. They would like your comments on the relevance of the studies and if there was any need for discussion.

DR. BELSITO: I didn't make any comments on it.

MS. BURNETT: Okay.

DR. BELSITO: Paul, did you?

DR. SNYDER: I mean, it's not a primary kojic acid study, so that's probably going to be the issue, there wasn't a (inaudible). There's nothing that comes out in the repro studies.

SPEAKER: Microphone.

DR. SNYDER: There's nothing that really comes out in the repro studies to -- I mean, it could -- it can stay or it can go, either way. I'm okay with it either way. It doesn't have any substantive new information or detractive information in my opinion.

MS. BURNETT: That's what I needed to know. Okay. And then the next discussion point, they would like further discussion on what you think on thyroid tumors in rodents and how they are relevant to humans.

DR. BELSITO: Page?

MS. BURNETT: They would be pages 45, and then I guess some research.

DR. BELSITO: Yes, thyroid gland hyperplasia, follicular adenomas were significantly increased.

MS. BURNETT: And I had added a citation by Hill, et al., at the end of that section on page 51. The Council would like to either have that stricken or the information from the SCCP opinion added so that it's balanced.

DR. KLAASSEN: Yes, I did put a question mark by that on page 51 when I read it. I -- you know, this is -- I'm familiar with this article and I'm familiar with these thyroid tumors and, in general, we don't think that they probably are relevant for humans. So what we're seeing here in these relevance -- and, you know, what this sentence says here that Hill makes is basically kind of a government statement that -- where is it here? Okay, so, the EPA follows the position that chemically induced rodent thyroid tumors are presumed to be relevant to humans and that when interspecies information is lacking, the default is to assume comparable carcinogenic sensitivity in rodents and humans.

However, while it might say that there are many chemicals that produce these thyroid tumors in rodents and the way the thyroid hormone is handled by rodents is very different than in humans. Number one, the rodents don't have the thyroid-binding globulin and plasma and the half-life of thyroid hormone itself is much more rapid than in rodents than in humans, and there are other effects. And we have many drugs on the market that cause these thyroid tumors which appear to be identical to this and don't appear to be relevant. So, I'm not overly concerned. Plus we need to recall, you know, the dose difference between what this chemical can do when given at high doses, that the doses and the concentrations that are being absorbed across the skin are much less than will produce these effects.

I would suggest, quite frankly, that this paragraph that Hill wrote is -- really be removed because that's not really the general status of this area, but for people that work in this area.

DR. SNYDER: That's my interpretation of this data that was presented with, also, rodents are exquisitely sensitive to minor perturbations in thyroid hormone levels and circulation. We have data to suggest that that does occur in animals treated with kojic acid and so the expected response would be an increased proliferation of the thyroid and they all have increased organ weights consistent with that. So that mechanism appears to be the mechanism. We get no evidence. We've actually got studies that were looked at, genotoxicity and carcinogenic specific effects, initiation, promotion, in the thyroid, which were all negative. So, that doesn't appear to be the mechanism. So I think exactly what Dr. Klaassen is saying is correct, that this is something that's unique to the rodent, and we'll capture it or we should capture that in the discussion.

DR. BELSITO: Plus we've already captured that. I mean, this is this gentleman's opinion of doing a review of the literature. This is not primary research and we've already captured -- that was the point before -- that there are thyroid issues in animals treated with very high doses that if we feel we need to discuss it in the discussion, we can discuss it. We don't need this individual from the EPA giving us his opinion.

DR. LIEBLER: Maybe I could make a suggestion. The -- instead of this paragraph citing the Hill paper, as Curt's points out, perhaps there is a review, a perspective in the literature on rodent thyroid carcinogenesis not necessarily by kojic acid, but by other compounds, that might be used to illustrate Curt's point. Because I think Curt's point should be in this report in place of this Hill thing that makes the point about the sort of unique characteristics or nonhuman- like characteristics of thyroid carcinogenesis by other chemical substances. So, even if it doesn't necessarily cite kojic acid, and it might not have come up in your literature search, Christina, it might be the right thing to have there.

DR. BELSITO: But not there. This is under tumor initiation. It would be the right thing to have in the discussion as to why we felt that the thyroid effects were not relevant to humans.

DR. LIEBLER: Then that's fine. Yeah. Right, it should be in the document.

DR. SNYDER: The article, Charles Capen, "Toxicologic Pathology," 1996.

SPEAKER: For example.

DR. BELSITO: What were the page numbers?

SPEAKER: It's right here.

DR. KLAASSEN: You can also look in Casarett and Doull's Toxicology textbook.

DR. LIEBLER: Edited by whom?

DR. KLAASSEN: And if you want to look for the chemical that's been examined the most, it's phenobarbital. I have also written a dozen manuscripts on this area.

DR. BELSITO: Okay, any other points from the council? That's it?

MS. BURNETT: I think I have them covered.

DR. BELSITO: Okay, so industry is going to let us know what they're going to do about the skin lightening effect, Carol's going to let us know tomorrow what she's found out about sensitization that might be added in, we're keeping the data on the thyroid tumors in the document. In the discussion we're going to talk about why they're not relevant to humans referencing whichever reference we please, and we go from there. And industry, if we don't -- our team would like to see this come back on the April meeting, and if we don't have a commitment from industry to do the studies, then it will go out as insufficient.

### **Marks Team – December 7, 2009**

DR. MARKS: Okay. So at the September meeting this year, the panel issued an insufficient data announcement with a number of data needs: Sensitization and irritation data, phototoxicity, the dose response and skin lightening, and the role of kojic acid in inhibition of thyroid peroxidase. And we received some new data just a couple of hours ago.

MS. BURNETT: I looked at the new data and it doesn't fill the needs.

DR. MARKS: Not at all?

MS. BURNETT: It's just skin irritation and phototoxicity, which we already had some information on. And it doesn't really show anything different.

DR. SHANK: Right.

DR. BAILEY: I think it addressed some of the data needs, but not all.

DR. MARKS: Right. It would appear that both the skin irritation that's a slight mild irritant, and then I didn't have a lot of time to look at the phototoxicity, but, again, it would appear it would be not phototoxic, although there's some technical issues

(inaudible) in the study about whether there is some irritation. So I get some conflicting interpretation of this from my mind. How would you address those, Carol?

DR. EISENMANN: There's an HIRPT I have.

DR. MARKS: Oh, there is?

DR. EISENMANN: But I don't know the concentration yet, so I'm waiting for that. As soon as I get the concentration in the HIRPT -- I mean, it's negative, but I don't know the concentration of the material tested of kojic acid yet. So it's possible when I go back to my office this evening that it'll be there and I'll bring it tomorrow. I'm expecting that.

DR. MARKS: So it sounds like we may have the sensitization and irritation because presumably if it's negative it's not a significant irritant either if you have an HIRPT. So that would be helpful.

Did you -- did anybody else get to look through these? The phototoxicity, again, I was a little conflicted, but I think it's okay.

DR. SLAGA: It's least in cream was the way we wanted it.

DR. MARKS: Yes. Yeah, it's in cream. The concentration they used was 3 percent. And I think in use it may be up to 4 percent, but I think that's close enough, particularly if you have a concentration of HIRPT that is negative. That would be very helpful. So I think that may -- those first two data needs are going to be addressed.

I didn't see anything on skin lightening.

DR. SLAGA: No.

DR. SHANK: No.

DR. MARKS: And then the issue of the thyroid peroxidase, again --

DR. SHANK: Do we really need that?

DR. MARKS: Well, that's the next thing. We oftentimes, when we initially see an ingredient we kind of put out a lot of things we want and need. And then as we have more time to think about it and look at the data again we refine that. So do we really need the thyroid peroxidase data?

DR. SLAGA: I don't think we need it. I'm trying to recall how we originally stated that just to see if there was more data on that particular point. It really has not any relevance on the skin.

DR. SHANK: I agree.

DR. SLAGA: As long as it gets absorbed.

DR. SHANK: I agree.

SPEAKER: So we can remove that.

DR. BAILEY: So you would take that off of the list of data. What we may be down to then is skin lightening effect data --

DR. MARKS: Yes.

DR. BAILEY: -- to show limit on skin lightening.

DR. MARKS: Right.

DR. BAILEY: But on that, I mean, that's -- I mean, I understand the question, but I'm not sure how pathologically significant it would be. I mean, you get into the issue of skin lighteners and hydroquinone and the drug versus cosmetic effect and so forth. And certainly, you know, kojic acid can exert a skin lightening effect. But I'm just not sure from a cosmetic perspective a nondrug use as an antioxidant, how significant in a safety health question that would be in making a determination about safety for cosmetic uses.

I mean, for example, with hydroquinone, I think one of the conclusions was that it's safe for hair dye use, but not as a -- I don't know if it's stated OTC or non-drug use or whatever. We've made these distinctions in the past, so I'm wondering if we can't be in the same situation here. If we can come up with a reasonable basis for talking about skin lightening effects.

DR. MARKS: Well, it's used for eye makeup, so it's going to be applied on eyelids. And then it's also used in facial creams.

DR. BAILEY: I understand. And certainly the safety assessment should take that into account. But the skin lightening per se, is that an endpoint of concern?

DR. MARKS: Yeah. I think if you have skin of color it could be. And my understanding is it's being used in the Japanese market, too, as skin lightening. Is that correct?

DR. BAILEY: I think (inaudible).

DR. MARKS: So I think, you know, in the Japanese market it may be fine if you get a little lightening, but I'm not sure that I would feel that it would be good and safe in a much more heterogeneous population.

DR. BAILEY: But that's a good question that you could capture in your safety assessment. It's -- I mean, I think what I'm arguing for here is to table this while Carol gets some more data, we present that, and then maybe we can go back and talk about thresholds for skin lightening effects and provide something at the next meeting on that. Is that a reasonable thing, Tom?

DR. RE: Yeah, and if (inaudible) --

REPORTER: Actually, can you move closer?

DR. RE: I'm sorry. We have at least one supplier that has expressed interest in following up on the skin lightening, but they would like some direction as to what the panel would like to see in the way of data.

DR. MARKS: Basically, we want to see a threshold that under this concentration we're not concerned about skin lightening. Then that way we can say if it's -- I think the highest concentration was 4 percent. So if you used a product that contained 4 percent or less of kojic acid you would not be worried that there would be an effect on the pigmentation of the skin.

DR. RE: So you're talking about a clinical study that essentially does response?

Would an in vitro study on tyrosinase inhibition -- would that suffice?

DR. SLAGA: That would be more sensitive. It would probably come up that it would have effect on that at a lower concentration than in vivo.

DR. MARKS: I don't know. Do we have it comparable with hydroquinone where you take the test tube and compare it to the clinical?

DR. SLAGA: Yeah, I don't think --

DR. MARKS: So, I'm not sure you can translate an in vitro to an in vivo. That would be my only concern. There are pigmented animals that have been used in the past to look at skin lightening with hydroquinones.

DR. BAILEY: But if they could come up with a reasonable protocol for this comparison --

DR. MARKS: Right.

DR. SLAGA: And it's above 4 percent, which (inaudible).

DR. BAILEY: -- I assume that would be acceptable.

DR. RE: Okay.

DR. MARKS: Yeah. I think we need to have a -- you know, it'd be nice to say at whatever percentage down -- if it's above 4, then there's no safety issues at all from that point of view. Because that sounds like that now is the only concern we have.

There was some new carcinogenicity data, which we'll talk about --

DR. SLAGA: It's all very weak or it's actually tumor promotion at a weak stage. It normally occurs at a higher level, even at a weak promoting thing, so.

DR. MARKS: So you're not concerned about that. So we still have -- then the only thing, Tom, is the skin lightening.

DR. RE: And a pigmented animal model would be acceptable to the panel?

DR. SLAGA: Well, Ron brought up a good point. It's thinner than human skin, so it could come up where you actually -- I don't know how to do it with that. But in the past we would accept --

DR. RE: We'd be erring on the side of safety, though.

DR. SHANK: Yeah. It would be a much more sensitive model if it's negative in a pigmented rodent.

SPEAKER: What about hamsters?

DR. MARKS: As long as we have -- how do I want to say, you'd want a good control in that.

DR. RE: Sure.

DR. MARKS: To know that we really -- because, again, back in the hydroquinone and the de-pigmenting phenolics there were animal models used, but I don't know how widespread and how well accepted that was in terms of using that as a screen for human. I can't remember whether it was a mouse or whatever, rat.

DR. BRESLAWEK: I'm just wondering about the likelihood of actually getting this data -- is that a real possibility -- from the industry?

DR. RE: As I said, we had a supplier who expressed interest. We need now to go back to them and see if their interest is real.

DR. BRESLAWEC: Thank you.

DR. MARKS: Okay. So -- go ahead, Ron.

DR. HILL: This was actually probably just something to write into the report. But back to the peroxidase activity I wondered, on page 45 is where the new section is added in there, and they see decreased serum T3 levels. They say likely mechanism with decreased serum T3 levels and increased thyroid stimulating hormone. I wondered in the drug uses of this compound -- I mean, surely somebody has looked at in the actual drug use where there's a significant effect on these levels. And that information ought to be out there and could at least be incorporated in the report.

DR. BRESLAWEC: Do we have any approved drug use of this?

DR. HILL: Isn't it used as a drug? Overseas?

DR. BAILEY: I want to say it was -- there was an RX use. And that data, I would guess, is probably not available. I don't know. Typically in these situations, somebody owns the data that's been provided to the FDA. And then if --

DR. HILL: If it's provided to the FDA, it's public record.

DR. KATZ: That depends.

DR. HILL: No?

DR. KATZ: Not necessarily.

DR. HILL: Okay.

DR. KATZ: If the drug itself has not been approved, then it would not be available for public record.

DR. HILL: Okay.

DR. KATZ: And some of the information may be proprietary.

DR. HILL: Okay.

DR. KATZ: So that it would not be available either even if the drug had been approved. You can request it under FOIA, but you may not get everything that you'd want because of what -- depending upon the nature of the data that's there.

DR. HILL: Well, could we make that request?

MS. BURNETT: I've already submitted a FOIA request.

DR. HILL: You did?

MS. BURNETT: And didn't receive anything.

DR. HILL: Didn't receive even a no?

MS. BURNETT: Well, not in the specific terms. I just asked for whatever available tox data they have and they didn't provide -- they didn't have anything. I got a no. They provided me with the VCRP data and that was it.

SPEAKER: Thanks (inaudible).

DR. HILL: Somebody, somewhere, maybe not in the U.S., knows whether that use as a skin lightener at these higher levels would affect T3 levels and TSH levels. It might be in Japan or it might be in Europe, but somebody, somewhere, knows that answer. I'd be shocked if it isn't out there in the literature somewhere in case reports.

DR. BRESLAWEC: I want to point out that I'm pretty certain that kojic acid is not approved by the U.S. Food and Drug Administration for anything.

DR. HILL: Yes.

DR. BRESLAWEC: And as a dossier, if you will, that's under review, it's extraordinarily unlikely that we would get any information from FDA. The fact that Christina searched the literature and was not able to find anything suggests there's nothing in the published literature. And if somebody would like to submit it from their unpublished literature, we'll take it, but we have no way of acquiring that submission.

DR. HILL: So you did a fairly recent search for things like case reports on the literature and hadn't seen anything?

DR. BRESLAWEC: Absolutely.

MS. BURNETT: I always check right before the panel meeting.

DR. HILL: Okay. And you didn't see anything with T3 levels?

MS. BURNETT: Nothing.

SPEAKER: Interesting.

DR. MARKS: In getting back, even without that, Ron and Tom, you're not concerned about this eliminating that as the thyroid peroxidase again as a data need?

DR. SHANK: Correct.

DR. HILL: When did that go into the list of data needs? Because that was certainly --

DR. MARKS: At our last meeting.

MS. BURNETT: It was a request from Belsito's Team.

DR. HILL: Well, I guess if they have further concern we'll find out about that tomorrow, right?

DR. EISENMANN: I have a comment. The thyroid tumor issue, the citing of the EPA document. And I just wanted to make sure you know that the SCCP reviewed the data and concluded that thyroid tumors were not relevant to humans.

DR. HILL: Right.

DR. EISENMANN: So I don't know how you want to present that. If you want to stick with how it is. I suggest put both and say there's differing opinions or just your opinion. Get rid of the EPA reference and just put your opinion in the discussion. Either way is appropriate. But how it's stated right now in the summary of the section, it says that "is to assume comparable carcinogen sensitivity in rodents and humans." For this tumor I don't think that's appropriate anymore.

DR. HILL: The reason I wondered whether there was something out there on possible effects on the T3 levels or TSH levels, because they might be a relatively sensitive indicator of systemic exposure. And I wasn't very confident with the way that they estimated systemic exposure. And although I didn't have a chance to look up the paper, there was one place where they were talking about serum levels. And I wasn't clear whether they were measuring the parent compound only or they were including in that measurement the conjugates: The glucuronides and sulphatessulfates. And so I wasn't 100 percent confident in their -- what I had in here in terms of the index of systemic exposure. And that goes to concentration of use. Because if we go up to 4 percent and there is significant systemic exposure, I was looking for some indication that that was still unimportant.

DR. MARKS: Tom? Ron?

DR. BAILEY: We do have the European assessment. I presumed that that was part of their deliberation on it. So it's not like this is, I think, a totally unknown issue. And so while it would be, you know, nice to have a study in front of us that answers that totally, I'm not sure I'm hearing that it's really needed.

DR. HILL: I agree. I just thought that if there happened to fortuitously be information that would give us some sense of that, I mean, no effect is no effect and it really doesn't tell you much other than --

DR. BAILEY: I mean, for hydroquinone, I think, because it's so studied there's probably information out there. But the kojic acid, I'm not familiar with a similar massive body of data because of the drug uses.

DR. MARKS: Christina, the reference to the SCCP on page 2, they say it's a maximum concentration of 1 percent in skin care formulations. And they did a margin of safety calculation. Do you know what that margin of safety was? What were they concerned about?

DR. EISENMANN: Thyroid effects.

DR. MARKS: Oh, the thyroid.

DR. EISENMANN: But noncarcinogenic thyroid effects.

DR. MARKS: Okay. Okay.

DR. SLAGA: Just, there's an editorial on the carcinogenicity and such. And that doesn't read through some statements about control versus treatment that are not correct (inaudible).

DR. MARKS: And then, Tom, I assume you'll also in the discussion, the second -- it's actually not a paragraph, it's sort of the second paragraph on page 55, these data -- "There were data that suggested carcinogenicity weak and tumor promotion activity."

DR. SHANK: Yeah.

DR. MARKS: Obviously you're going to leave that stand alone.

DR. SHANK: No.

DR. MARKS: Either it's going to be eliminated or you're going to have a follow-up sentence putting it in perspective.

DR. SHANK: Well, I had another phrase on the absorption, the slow rate of absorption across the skin.

DR. MARKS: Okay. So, we'll capture those, Christina.

DR. HILL: And that is where I say I was a little less than confident and comfortable that we had the solid answer on that. I had loose ends in that calculation that maybe just require looking at the original reference soon, like, later today.

DR. MARKS: Okay. Let's -- so, my sense is that the team would like to table this. That suggestion was made, I think, by John, which I will latch onto, that we table this with the idea that industry is going to provide us dose response studies for skin lightening effects of kojic acid. And that that's really the only safety concern we have now since it sounds like we have an HIRPT, which is going to be safe. And rather than issue, I think, an insufficient tentative report, we could table it awaiting the results of that industry study. Does that sound okay? Tom? Ron?

DR. SHANK: Yes.

DR. HILL: Yes.

MS. BURNETT: Can I just go back and clarify on the Hill reference? Did you want that removed or did you want me to add in the SCCP?

DR. SHANK: Could you say that again?

MS. BURNETT: The Hill reference, the EPA reference on the thyroid tumors. Did you want me to remove that or did you want me to add in the information of the SCCP regarding their margin of safety calculations?

DR. SHANK: I think add.

DR. EISENMANN: It's not the margin of safety calculation.

MS. BURNETT: Well --

DR. EISENMANN: It's the conclusion about thyroid tumors.

MS. BURNETT: Right.

DR. SHANK: I would add it.

MS. BURNETT: You want to add it?

DR. EISENMANN: And leave in the Hill?

DR. SHANK: Yeah.

DR. EISENMANN: Okay. You have both.

MS. BURNETT: And one more thing that I have that was a council comment, they would like you to discuss the -- I have two references in the reproduction section by Choudhary. They would like you to discuss them. It is on page 23, references 60 and 61. And just to let you know, it is the motility versus mortality. It's written as it's written in the --

DR. MARKS: So it's the last paragraph on 23?

MS. BURNETT: It's essentially the whole page.

DR. SLAGA: It's almost the whole page.

SPEAKER: Sixty and what? Sixty-one?

MS. BURNETT: And 61. It's essentially the whole page going on to the top of the next.

DR. SHANK: Oral administration.

DR. EISENMANN: They see effects of much lower doses. Or if they're really effects. I mean, it's much lower doses than -- other reports are -- NOEL are much higher. And I just thought that might be worth mentioning in the discussion.

DR. SHANK: You know, when the animals die and have infections and things like that, it sounds like the laboratory is not the best.

MS. BURNETT: I have the original studies with me if you need a closer look. It was pretty much -- it was two pages.

DR. SHANK: Two pages?

MS. BURNETT: They're not very well described (inaudible).

DR. SHANK: Uh-huh.

DR. MARKS: So, Carol, your question here was whether or not these two studies should be addressed in the discussion?

DR. EISENMANN: Addressed or delete them because they're so out of line of the other studies. Or, I mean, I don't care what -- I mean, I just --

DR. MARKS: I'll tell you what. That's going to be an editorial thing.

DR. EISENMANN: Okay.

DR. MARKS: I think. So why don't we let Dr. Shank read through those, think about it a little bit?

DR. EISENMANN: That's fine.

DR. MARKS: And then he can get back to Christina to decide whether or not -- how to handle those two studies. Does that sound good?

DR. SHANK: Yeah. They even allowed cannibalism.

SPEAKER: Huh?

DR. SHANK: They even allowed cannibalism. So you eat your data.

DR. MARKS: So I think the issue will be do we comment or do we delete? What's the precedent on that, Ron?

DR. EISENMANN: Or, I mean, you can ignore them if you want. Continue to ignore them completely if you want to, but I just thought --

DR. MARKS: Okay. Well, thank -- Carol, thank you for bringing that up.

SPEAKER: Mold does produce kojic acid. Yeah.

SPEAKER: So would did you call (inaudible)?

SPEAKER: Yes.

DR. MARKS: So, do you want to comment now or do you want to wait, Ron?

DR. SHANK: Yeah. I don't like --

DR. MARKS: Throwing away stuff.

DR. SHANK: -- throwing it away.

DR. MARKS: Yes. Exactly.

DR. SHANK: Because that makes us look biased.

DR. MARKS: Yes.

DR. SHANK: So I think we need to explain why we're not giving heavy weight to the data and that requires careful wording. So I need to think.

DR. MARKS: So, Ron, we'll have you give that to Christina then.

MS. BURNETT: Thank you.

MS. WEINTRAUB: Can I just bring up one point?

DR. MARKS: Sure.

MS. WEINTRAUB: And I'm sorry if you discussed this previously, but --

DR. MARKS: That's okay.

MS. WEINTRAUB: -- in reading the minutes from last time, which I think are very useful to have in front of the report, I saw that Dr. Katz and I had a discussion about the role of FDA and their position on kojic acid in this context. And when I read that I remember feeling the same sense of uncertainty that I felt then. And I just wanted to make sure that I completely understood the dynamic in terms of FDA approving this for use for -- is it for drug purposes at this point, kojic acid?

DR. KATZ: Kojic acid is not approved by the FDA.

MS. WEINTRAUB: For any --

DR. KATZ: For any use.

SPEAKER: For any drug use.

DR. KATZ: Drug use. And for cosmetics, we don't approve anything.

MS. WEINTRAUB: Right. Right.

DR. KATZ: So that at this point in time it's not approved by the FDA.

MS. WEINTRAUB: But nothing is really.

DR. KATZ: That's right. Well, in terms of a drug.

MS. WEINTRAUB: Right.

DR. KATZ: And for cosmetics, that's correct. We don't approve ingredients that go into cosmetics.

MS. WEINTRAUB: Right. So --

DR. KATZ: Particular cosmetics.

MS. WEINTRAUB: So does the FDA have a position in terms of drug use on kojic acid? Or no position on that either?

DR. KATZ: Well, I'm not sure what you mean by a position. At this point in time since it's not approved, I'm not sure that you have a position one way or the other. I don't even know, in all honesty, whether or not kojic acid has been submitted as an ingredient for a drug that has not been approved. And that I can't answer because the drug side is totally separate from us. And the only way -- and that was part of the earlier discussion to know if the FDA has even addressed the issue -- is to make an FOIA request, which it appears was done. And the FDA has not presented or answered the request. Or if they did answer the request, they said that there was no information. So that from the drug side, that's about as much as you're going to get at this point in time.

MS. WEINTRAUB: So basically there's nothing to glean -- nothing to glean at all from FDA's sort of non- position on this?

DR. KATZ: Well, I wouldn't say the FDA has a non- position.

MS. WEINTRAUB: Or just they have not -- I mean, I understand that there is no higher people in cosmetics.

DR. KATZ: That's right.

MS. WEINTRAUB: Obviously, which is why we exist. And then in terms of drugs, we don't know what that process is. So there's just nothing that we can really glean from that.

DR. KATZ: Well, we do know what the process is from drugs.

MS. WEINTRAUB: Yes.

DR. KATZ: But we just don't have any information by which to -- the reason why I'm changing the wording a little bit is because the way that it's phrased is not really kind of accurate because the FDA does review applications that they get submitted, both in terms of investigational new drugs and NDAs, which were the new drug applications.

MS. WEINTRAUB: I just meant we don't know what the specific sort of place or where kojic acid is in that system, in that known process at this point.

DR. KATZ: At this point in time there are no known -- there are no approvals of kojic acid in an FDA drug. And that's what you do know.

MS. WEINTRAUB: Okay.

DR. BAILEY: If I could elaborate just a little bit, and that is there is an OTC drug monograph for skin bleaching products. Okay? And it's clearly classified as a drug effect. But the only ingredient that's in there is hydroquinone. And that's not a final monograph either. I think there's still deliberations that are going on. So kojic acid is not within the structure of an existing OTC drug monograph.

And what Linda is saying is that there are also -- we don't know there are no NDAs or other type of application that would indicate that the agency is looking at this from a prescription drug or some other way to get it into the monograph.

So, we know hydroquinone is a drug. We know skin bleaching is a drug. What we don't know is if anybody has applied for kojic acid unless an FOIA is submitted, which CIR has done.

DR. MARKS: Okay. Any other comments? Otherwise, we'll wrap up the discussion on kojic acid. And our team tomorrow will propose or move that this ingredient be tabled for an industry study in the future which will define the skin lightening effects of kojic acid.

DR. HILL: I have a report generation question. And since Halyna is here, maybe you could comment on this.

There were several places in the -- for the September meeting where I'd flagged that we had concentrations in the report in milligram per mil. And I had made the comment that I'd like to see them converted to micromolar concentrations. And I got the sense from the comments that maybe if that's not the way they calculated it in the original report we shouldn't put them in this review. But yet, milligram per mil -- I mean, I can do the calculation. I wondered if we could at least -- if we have a policy that we can't put them in the line of text, that we could at least footnote. Because if you know the molecular weight and













































# Amended Safety Assessment of Kojic Acid as Used in Cosmetics

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The Expert Panel for Cosmetic Ingredient Safety members are: Chair, Wilma F. Bergfeld, M.D., F.A.C.P.; Donald V. Belsito, M.D.; Bruce A. Brod, M.D., M.H.C.I., F.A.A.D.; Samuel M. Cohen, M.D., Ph.D.; Curtis D. Klaassen, Ph.D.; Allan E. Rettie, Ph.D.; David Ross, Ph.D.; Paul W. Snyder, D.V.M., Ph.D.; and Susan C. Tilton, Ph.D. Previous Panel member involved in this assessment: David E. Cohen, M.D. The Cosmetic Ingredient Review (CIR) Executive Director is Bart Heldreth, Ph.D., and the Senior Director is Monice Fiume, M.B.A. This safety assessment was prepared by Christina Burnett, M.S., Senior Scientific Analyst/Writer, CIR.



























**CONCLUSION**

To be determined.





















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Body weights were significantly decreased in males exposed to kojic acid and in females with which they were mated. Weights of the testis and epididymis in the males were also significantly decreased when compared to the control group. There were no treatment-related effects on the fructose content of the coagulating gland, acid phosphatase activity, or on spermatogenesis or sperm parameters. Of the 8 males treated with kojic acid, 6 bred successfully with a total of 8 females, as compared to 6 of the 7 control males. Implantations and litter sizes were significantly decreased in the treated group. Also noted was a loss of viability among the litter on the second or third day after delivery. Dams mated to males treated with kojic acid started to eat their litter 2 days after delivery; this was thought to be due to a disturbance in the chemical interaction of the mothers with the litters as there was no nutritional deficiency observed in the control group. The authors concluded that kojic acid caused anti-implantation and cannibalistic effects in females mated with treated males and decreased litter viability.<sup>72</sup>

The potential of kojic acid to cause toxic effects on embryonic and fetal development was studied in mated female Wistar Han rats.<sup>73</sup> Three groups of 6 female rats (10 weeks old) received kojic acid at doses of 100, 300, or 1000 mg/kg per d via oral gavage on days 6 through 17 of pregnancy. An additional group of 6 mated females received the 0.5% methylcellulose vehicle alone as the control. Clinical signs of toxicity, including evidence of abortion/resorption and mortality, were checked daily. Feed consumption and body weight gain were recorded on days 2, 6, 9, 12, 15, 18, and 20 post coitum. The rats were killed on day 20 of pregnancy and fetuses were removed. The dams were examined macroscopically and number of corpora lutea, implantation sites, early and late resorptions, and dead and live fetuses were recorded. The fetuses were weighed, sexed, and submitted for external examination.

In the dams, no clinical signs of toxicity, abortions/resorptions, or death were observed at any dose level. Body weight gains in the 300 and 1000 mg/kg dose groups were slightly lower than the control group on the first 3 days of treatment. The body weight gains of the 100 mg/kg dose group were similar to that of the control. Feed consumption in all dose groups was similar to the control group. No abnormal macroscopic findings were observed at any dose level, and there were no treatment-related effects on litter parameters nor external malformations or anomalies in fetuses in any dose group. The study concluded that aside from slight and transient maternal body weight decreases in the 300 and 1000 mg/kg dose groups, kojic acid caused no signs of maternal toxicity or fetal developmental effects in this study.<sup>73</sup>

## Genotoxicity

### Bacterial Assays

An Ames assay was performed on several 1,2-dicarbonyl compounds, including kojic acid, utilizing *Salmonella typhimurium* strains TA 98 and TA 100.<sup>74</sup> Kojic acid concentrations were 10

to 10 000 µg/plate, with and without S9 metabolic activation. Solvent controls were water or DMSO and positive controls were quercetin, sterigmatocystin, and benzo[ $\alpha$ ]pyrene. A dose-dependent increase in revertant colonies was observed in strain TA 100, but not in TA 98, with or without S9. The authors concluded that kojic acid was mutagenic in TA 100.

The mutagenic potential of kojic acid was studied in an Ames test using *S typhimurium* strains TA 98, TA 100, TA 1535, and TA 1537, with and without S9 metabolic activation.<sup>54,75</sup> The test concentrations were 500, 1000, 2000, or 4000 µg/plate. The positive controls were *N*-ethyl-*N'*-nitro-*N*-nitroguanidine (ENNG), furylfuramide (AF2), 9-aminoacridine, and 2-aminoanthracene. In the presence and absence of S9, dose-dependent increases in the number of mutant colonies were observed at doses of 1000 or 2000 µg/plate and above in all but the TA 1537 strain. The positive controls yielded expected results. Kojic acid was found to be a weak mutagen in this Ames test.

The mutagenic potential of kojic acid was studied in an Ames assay using *S typhimurium* strains TA 98 and TA 100, with and without S9, at concentrations ranging from 100 to 6000 µg/plate.<sup>76</sup> The negative control was the solvent, distilled water, and the positive controls were 2-aminofluorene (both strains with S9), methylmethane sulfonate (TA 100 without S9), and 2-nitrofluorene (TA 98 without S9). In TA 98, kojic acid was toxic at 1000 µg/plate and above without S9 and mutagenic at concentrations of 100 µg/plate and above without S9 and at 2000 µg/plate and above with S9. Mutagenicity was observed in the TA 100 at concentrations of 1000 µg/plate and above without S9 and at 2000 µg/plate and above with S9. Kojic acid was mutagenic in TA 98 and TA 100 in this Ames assay.

The mutagenicity of kojic acid was studied in *S typhimurium* strain TA 100, with and without S9.<sup>77</sup> To rule out the possibility that mutagenicity observed in earlier studies was due to contaminants in kojic acid samples, the researchers purified 3 samples of kojic acid (reagent, food additive, and cosmetic lots) by high-performance liquid chromatography (HPLC) and tested the resulting fractions. In the mutation assay, kojic acid was tested at 500, 1000, and 1500 µg/plate. Positive controls were 4-nitroquinoline 1-oxide (without S9) and benzo[ $\alpha$ ]pyrene (with S9) and these yielded expected results. The 3 samples of kojic acid were found to have similar mutagenic activities, before and after separation by HPLC and with and without S9, in a linear dose-dependent manner.

The mutagenicity of kojic acid was studied in an Ames test using *S typhimurium* strains TA 98, TA 100, TA 102, TA 1535, and TA 1537, with and without S9.<sup>78</sup> Doses of kojic acid per plate ranged from 0 to 5000 µg (diluted in distilled water). The positive controls for assays with S9 were 2-anthramine (for TA 98, TA 100, TA 1535, and TA 1537) and benzo[ $\alpha$ ]pyrene (for TA 102), and the positive controls for assays without S9 were sodium azide (for TA 100 and TA 1535), 9-aminoacridine (for TA 1537), 2-nitrofluorene (for TA 98), and mitomycin C (for TA 102). The positive controls yielded expected results. Kojic acid induced mutagenic activity in all 5 *Salmonella* strains, with and without metabolic activation.

The potential of kojic acid to induce gene mutation was studied in *S typhimurium* strains TA 98, TA 100, TA 1535, and TA 1537 and in *Escherichia coli* strain WP2 uvrA using the reverse mutation assay.<sup>79</sup> The assay was performed with and without S9 metabolic activation, with the concentrations 0, 33, 100, 333, 1000, 2500, and 5000 µg/plate kojic acid (in DMSO). Positive controls for assays without metabolic activation were sodium azide (in TA 100 and TA 1535), 4-nitro-*o*-phenylenediamine (in TA 98 and TA 1537), and methyl methane sulfonate (in WP2 uvrA). The positive control in assays with metabolic activation was 2-aminoanthracene in all strains and species. In the first experiment, toxicity was observed in TA 1537 at 5000 µg/plate, with and without S9. In both experiments, a dose-dependent increase in revertant colony numbers was observed at higher concentrations in all strains treated with kojic acid, except in TA 1537, with and without S9. Positive controls yielded expected results. It was concluded that kojic acid induced gene mutations (through base pair changes and frame shifts) in *S typhimurium* strains TA 98, TA 100, TA 1535 and *E. coli* strain WP2 uvrA.

In another reverse mutation assay,<sup>80</sup> *S typhimurium* strains TA 98 and TA 100 received kojic acid at either concentrations ranging from 0 to 5000 µg/plate with S9 or 0 to 1000 µg/plate without S9. The solvent, DMSO, proved to be toxic to TA 98 without S9 and was replaced with deionized water. The positive control for both strains with S9, for TA 98 without S9, and for TA 100 without S9 were 2-aminoanthracene, 4-nitro-*o*-phenylenediamine, and sodium azide, respectively. "Erratic toxic effects" were observed in the first experiment; results for treatment with S9 only were reported. In both experiments, toxic effects were observed without S9 at concentrations of 333 µg/plate and greater in TA 98 and at concentrations of 100 µg/plate and greater in TA 100. No significant or reproducible increases in revertant colony numbers were observed in either test strain at any dose level, with or without S9. The positive controls yielded expected results. It was concluded that kojic acid was nonmutagenic to *S typhimurium* strains TA 98 and TA 100 in this assay.

### Mammalian Cell Assays

The potential for kojic acid to induce sister chromatid exchanges (SCEs) in Chinese hamster ovary (CHO) cells was studied.<sup>76</sup> The cells were incubated for 2 hours with kojic acid (with and without S9 metabolic activation) at concentrations of 3, 4.5, or 6 mg/mL, washed, and incubated for another 24 hours in fresh medium containing 5-bromodeoxyuridine. Cells were also incubated in the negative control, M-199 culture medium, or the positive controls, methylmethane sulfonate (without S9) and cyclophosphamide (with S9). Colchicine was added for the last 3 hours of culture. Cells were fixed and stained. At least 30 metaphases were scored for each dose per duplicate flask.

Cytotoxicity was tested in M-199 culture medium at concentrations of kojic acid ranging from 1.5 to 12 mg/mL. Kojic acid was cytotoxic at concentrations of 9 mg/mL and above.

The TC<sub>50</sub> (50% toxic concentration) was 10.86 ± 3.86 mg/mL based on loss of cellular proteins.

A dose-related and significant increase in SCE in CHO cells was observed after exposure with kojic acid, with and without metabolic activation. However, binding of kojic acid to constituents of the S9 mix may have resulted in reduction of SCE frequency in the groups that was treated with metabolic activation. The positive controls yielded expected results. It was concluded that kojic acid was genotoxic in this SCE study.

In the same study, the potential of kojic acid to induce chromosomal aberrations in CHO cells was studied.<sup>76</sup> The investigation was similar in methodology as the SCE study above except that the slides were stained with 4% Giemsa and that at least 100 metaphases were scored for each dose. Positive controls in this study were triethylenemelamine (without S9) and cyclophosphamide (with S9). A dose-related and significant increase in the percentage of aberrant CHO cells was observed after exposure with kojic acid, with and without metabolic activation. Except for ring aberrations, all categories of chromosomal aberrations increased with increased doses of kojic acid without S9. The authors concluded that kojic acid was clastogenic in this study.

Kojic acid was studied for cell mutation in mouse lymphoma L5178Y TK<sup>+/-</sup> cells at the *hprt* locus.<sup>81</sup> After a range-finding test to measure cytotoxicity, 2 independent experiments were performed. The concentrations for both experiments ranged from 300 to 1421 µg/mL, with and without S9 metabolic activation. The doses were selected to determine viability and 6-thioguanine resistance 7 days after treatment. Relative survival at the highest concentration was 79% with S9 and 95% without S9, respectively, in the first experiment, and 81% with S9 and 92% without S9 in the second experiment. The vehicle control was purified water. The positive controls were benzo[*a*]pyrene with S9 and 4-nitroquinoline 1-oxide without S9. A small, statistically significant increase in mutation frequency was observed at 300 µg/mL with S9 in the second experiment. There was no evidence of a dose-related response, however, and no other statistically significant increases in mutation frequency were observed at any dose level tested with or without S9 in either experiment. The controls yielded expected results. It was concluded that kojic acid was not mutagenic in the cell mutation assay.

The mutagenic activity of kojic acid was evaluated in guanidine-resistant Chinese hamster V79 cells.<sup>55,82</sup> The cells were assayed without S9 at concentrations of 0, 30, 100, 300, 1000, or 3000 µg/mL kojic acid in culture medium. The positive control was ethyl methanesulfonate (EMS). Cells were treated for 16 hours and then washed and successively cultured at 2-day intervals for 3 times. Cells were then plated for a culture period of 12 days with 10 µg/mL 6-thioguanine. No significant increase in mutation rate was observed at any dose level and there was no statistically significant difference between the treatment and the solvent control groups. The positive control produced expected results. In this study, kojic acid was not mutagenic in Chinese hamster V79 cells.

The potential of kojic acid to induce structural chromosome aberrations was assessed in vitro using V79 cells of Chinese

hamsters.<sup>83</sup> A range-finding experiment was used to determine the concentrations of the test material to be evaluated with and without S9 metabolic activation in 2 independent experiments. Toxic effects were observed only in the absence of S9. In experiment 1, the concentrations of kojic acid tested were 355, 710, or 1420 µg/mL, with and without S9, and in experiment 2, the concentrations tested with S9 were 355, 710, or 1420 µg/mL and those without S9 were 250, 500, or 1000 µg/mL. Each experiment had 2 parallel cultures. The culture medium and deionized water served as the negative and solvent controls while EMS (without S9) and cyclophosphamide (with S9) were the positive controls. The treatment period for experiment 1 was 4 hours with a 14-hour recovery in both the presence and absence of S9, while the treatment periods in experiment 2 were 4 hours with a 24-hour recovery in the presence of S9 and 18 or 28 hours with no recovery in the absence of S9. Cytogenetic analysis for chromosome aberrations was performed on 100 metaphases/culture.

In the range-finding assay, no toxicity occurred at any concentration after 4 hours, with or without S9, but toxic effects were observed at concentrations of 710 µg/mL and higher without S9. In experiment 1, no toxic effects were observed in cultures tested with S9, but a dose-dependent reduction in cell numbers were observed in both experiments 1 and 2 without S9 and with S9 in experiment 2. The number of cells did not fall below 50% of the solvent control, however. Weak clastogenic effects were observed in experiment 2 with number of cells with aberrations increased significantly after 18 hours (250 and 1000 µg/mL) and 28 hours (1000 µg/mL). No precipitation and no relevant influence of kojic acid on pH value or osmolarity were observed. No biologically relevant increase in polyploid cells was observed when compared to the controls. The positive controls yielded the expected results. It was concluded that in the absence of S9 metabolic activation and after 18 or 28 hours exposures, kojic acid was a weak clastogen, although the effects observed may be related to cytotoxicity.<sup>83</sup>

### *In Vivo Mammalian Tests*

The genotoxic potential of kojic acid was evaluated using a micronucleus test.<sup>84</sup> The main study was preceded by range-finding studies. NMRI mice received 500, 750, 1000, or 2000 mg/kg body weight kojic acid. The test material was administered by a single intraperitoneal injection in 1% carboxyl methyl cellulose (CMC) at a volume of 10 mL/kg body weight. In the main study, mice received 187.5, 375, or 750 mg/kg body weight of the test material. Each treatment group consisted of 5 males and 5 females. There were also vehicle (1% CMC) and positive (cyclophosphamide) control groups. Mice in all dose groups were killed at 24 hours; an additional 750 mg/kg dose group was killed at 48 hours (the high-dose groups had 6 males and 6 females, each). Bone marrow was sampled upon death in all mice. Two thousand polychromatic erythrocytes (PCEs) per animal were studied for the presence of micronuclei. Normochromatic erythrocytes (NCEs) were also studied for micronuclei. The PCE/NCE ratio was measured in 2000 erythrocytes.

In the range-finding studies, deaths occurred within 1 hour of dosing in the 2000 mg/kg dose group. Toxic effects in the other dose groups included reduced spontaneous activity, abdominal position, eyelid closure, and apathy. In the main study, the 750 mg/kg dose group was also observed with the aforementioned clinical signs of toxicity. The mean number of NCEs was not increased after treatment with kojic acid when compared to vehicle control values, indicating that kojic acid was not cytotoxic in the bone marrow. In all dose groups, the number of micronucleated PCE was not statistically increased when compared to the vehicle control group. The positive control group yielded expected results. It was concluded that kojic acid was not genotoxic in this micronucleus assay.<sup>84</sup>

The genotoxic potential of kojic acid was studied in another micronucleus test using male ddY mice.<sup>85</sup> The main study was preceded by a range finding study in which groups of 2 mice received a single intraperitoneal injection of 125, 250, 500, 1000, 2000, or 4000 mg/kg body weight kojic acid in 0.9% physiological saline in a dose volume of 10 mL/kg. In the main study, groups of 6 mice received either 2 or 5 intraperitoneal injections at 24-hour intervals. The doses for the “2-repeated dose” mice were 125, 250, 500, or 1000 mg/kg body weight kojic acid, and the doses for the “5-repeated dose” mice were 125, 250, or 500 mg/kg body weight kojic acid. There were also vehicle (0.9% physiological saline) and positive (mitomycin C) control groups. All mice were killed 6 hours after the final dosing. Bone marrow was sampled upon death in all mice. One thousand PCEs per animal were studied for the presence of micronuclei. A single dose of 1000 mg/kg body weight kojic acid killed 5 of the 6 mice. In the surviving mouse of that dose group, no micronucleus was observed in the 1000 PCEs. The number of micronucleated PCEs was not increased in the 125, 250, or 500 mg/kg dose groups for the 2-day or 5-day exposures when compared to the vehicle control group. The positive control group yielded expected results. Kojic acid was not genotoxic in bone marrow cells of mice.

In a micronucleus assay, male ddY mice (3 and 9 weeks old) and male F344 rats (9 weeks old) in groups of 4 received 0, 500, or 1000 mg/kg kojic acid by gastric intubation.<sup>77</sup> Groups of 3 rodents received the positive control compounds, diethylnitrosamine or cyclophosphamide. At 24 hours after treatment, two-thirds partial hepatectomies were performed on the 9-week-old animals. After 4 days, all animals were killed and the livers were prepared for analysis. In the 3-week-old mice, partial hepatectomies were not performed and livers were removed for analysis at 72, 96, or 120 hours after treatment. The number of micronucleated hepatocytes among 1000 hepatocytes was recorded for each animal. Mean values of micronucleated hepatocytes in the 9-week-old mice were increased dose dependently. At 1000 mg/kg, the value was significantly increased over the negative control. No increases were observed in the rats or in the 3-week-old mice. Positive controls yielded expected results. The authors concluded that while genotoxicity was observed in the mouse liver following kojic acid exposure, it was not proved that this genotoxicity is involved in hepatic tumor development in mice.

A dominant lethal test of kojic acid in 1% sodium carboxymethylcellulose was conducted on groups of 30 BDF<sub>1</sub> mice.<sup>54,55</sup> Male mice received 0, 350, or 700 mg/kg kojic acid by oral gavage. At the end of the dosing period, each male mouse was mated with a single female. Mating continued for 56 days, with the male mating with an unmated female every 4 days. Thirteen days after mating, the females were killed, necropsied, and number of successful pregnancy, corpora lutea, implantations, and live and dead fetuses were recorded. The number of pregnant females in the treated groups was comparable to the negative control. Postimplantation losses were slight but decreased in a statistically significant manner in the 700 mg/kg per d dose group during mating days 37 to 40. No other induced dominant lethality was observed in either concentration. The positive control, 7,12-dimethylbenz(a)anthracene, induced the expected dominant lethal response. It was concluded that kojic acid did not induce dominant lethality in this test.<sup>54,55</sup>

An unscheduled DNA synthesis study of 100% kojic acid was conducted on Wistar HanIbm male rats.<sup>86</sup> The rats received a single oral gavage dose of 150 or 1500 mg/kg body weight of the test material. Each dose group included 4 rats, 3 of which were processed for the assay. A vehicle control group received 10 mL/kg body weight deionized water and a positive control group received 10 mg/kg body weight 2-acetylaminofluorene. At 2- and 16-hour postadministration, primary hepatocytes were isolated from the rats and incubated with tritiated methyl thymidine for 4 hours and then incubated overnight in medium containing unlabelled thymidine before processing for autoradiography.

The viability of the hepatocytes was not substantially affected in any dose group for either treatment period. Enhanced mean nuclear and cytoplasmic grain counts in addition to slight shifts of the percentage distribution of nuclear grain counts to higher values at the 2- and 16-hour treatment interval after dosing with 1500 mg/kg kojic acid were observed. The net grain values of all dose groups, however, were consistently negative and comparable to the vehicle control. The positive controls yielded expected results. This study concluded that kojic acid did not induce DNA damage leading to unscheduled DNA synthesis in rat hepatocytes and, thus, was not genotoxic to rats.<sup>86</sup>

Kojic acid (100.6% pure) was tested in an *in vivo* Comet assay in male Wistar rats.<sup>87</sup> Groups of 5 males received 2 oral doses of 0, 1000, or 2000 mg/kg body weight kojic acid in a 0.5% aqueous solution of cremophor. The 2 doses were 21 hours apart. The positive control was EMS (300 mg/kg body weight in a single oral dose). The animals were killed 24 hours after the last treatment (3 hours for positive controls) and the stomach, colon, and liver were examined. Slides were prepared with nuclei isolated from homogenized tissue samples for the Comet assay. Electrophoresis was performed in an ice bath for 40 minutes (30 minutes for stomach cells) at 25 V and at 300 mA.

During a pilot study for this assay, rats in both dose groups had roughened fur, strongly semianesthetized state, and strongly reduced motility. In the main study, rats in the 2000

mg/kg dose group showed signs of toxicity (no details provided). No treatment-related cytotoxicity was observed in the liver, stomach, or colon cells after isolation. No biologically significant increases in mean Comet tail length were observed in the cells from rats treated with kojic acid, but such increases occurred as expected in the positive controls. Kojic acid was considered not genotoxic in this Comet assay of rat liver, stomach, and colon cells.<sup>87</sup>

DNA adduct formation from kojic acid exposure was investigated in male F344/DuCrj rats.<sup>88,89</sup> Rats in groups of 3 received 100.3% kojic acid in the diet at concentrations of 0%, 0.5%, or 2.0% for 7 or 28 days. The positive control, 2-acetylaminofluorene, was administered by gavage once at 16 hours before necropsy. The rats were observed daily for clinical signs of toxicity and weighed weekly. The animals were killed 1 day after the last treatment, and organs were examined and livers weighed. The <sup>32</sup>P-postlabeling method was utilized in determining the DNA adducts. Chromatography was performed using 3 solvent systems for kojic acid analysis in order to determine unknown DNA adducts.

No treatment-related clinical signs of toxicity were observed during the treatment period and no abnormalities were observed during gross pathology. Rats in the 2% kojic acid treatment group had significantly decreased body weights after the day 7 treatment when compared to the control group. This treatment group also had slightly decreased food consumption. Liver weights in all treatment groups were comparable to the control group. An unclear autoradiograph pattern was observed in 2 of the solvent systems for the 2.0% treatment groups. A second experiment was performed and these results could not be reproduced. No distinct spots of DNA adducts were detected for the control or 0.5% treatment group. The positive control yielded expected spots of DNA adducts on the autoradiogram. It was concluded in this study that kojic acid has no potential to form DNA adducts in rat liver.<sup>88,89</sup>

The formation of DNA adducts and 8-hydroxydeoxyguanosine (8-OHdG) in rat thyroids was studied in rat thyroids after exposure to kojic acid.<sup>24</sup> Groups of 20 male F344 rats received food with either 0% or 2% kojic acid for 1 or 2 weeks. After the designated treatment period, the thyroids were removed from the rats and the DNA was extracted. Twenty thyroid lobes per animal from 10 animals per group were combined and 2 samples were achieved for the DNA adduct investigation; 6 lobes from 3 rats were combined as one sample for the 8-OHdG investigation; a total of 5 and 6 samples were created from the control animals for the 1 and 2 week exposures, respectively. <sup>32</sup>P-postlabeling analysis with HPLC coupled to an electrochemical detector was utilized in determining the DNA adducts and 8-OHdG. No spots indicating DNA adduct formation were detected in the thyroids of rats fed the diet containing 2% kojic acid for 2 weeks. The 8-OHdG values were slightly reduced at 1 week after administration of 2% kojic acid and became significantly decreased after 2 weeks when compared to the controls. The authors of this study concluded that kojic acid has no potential to form DNA adducts or 8-OHdG in rat thyroid.

Genotoxicity studies are summarized in Table 4.

### Photogenotoxicity

The potential of 100% pure kojic acid to induce gene mutations in *E coli* strain WP2 during irradiation was investigated by Wollny.<sup>90</sup> The concentrations of kojic acid (dissolved in DMSO) for each experiment were 33, 100, 333, 1000, 2500, or 5000 µg/plate. The positive control was 8-methoxypsoralen (MOP) and the negative control was the solvent. Irradiation was performed with a metal halogenide light source. The UV doses were 10 mJ/cm<sup>2</sup> UVA and 0.5 mJ/cm<sup>2</sup> UVB and the duration was 10 seconds.

No relevant toxic effects were observed. In the first experiment, the 2500 µg/plate concentration had an increase in revertant colonies slightly exceeding the threshold when compared to the solvent control. The threshold was exceeded in the 2500 and 5000 µg/plate concentrations in the second experiment. However, irradiation did not further increase the number of revertant colonies when compared to the corresponding treated but nonirradiated controls. The positive control yielded expected results. The author concluded that irradiation had no influence on the mutagenic potential of kojic acid.<sup>79</sup>

A photo-reverse mutation assay of kojic acid in *S typhimurium* strains TA 98 (concentration ranges 0-2500 µg/mL) and TA 102 (concentration ranges 0-5000 µg/mL) and in *E coli* strain WP2/pKM101 (concentration ranges 0-5000 µg/mL) was done.<sup>89</sup> The bacteria were tested with the plate method with or without UV irradiation and in the absence of metabolic activation. Positive controls were mitomycin C or AF2 (without irradiation) and MOP or chlorpromazine hydrochloride (with irradiation). Revertant colonies were twice the negative control in TA 102 at 5000 µg/mL and in WP2/pKM101 at 2000 µg/mL and higher with UV irradiation. A dose-dependent response was observed. An increase of revertant colonies was also observed in UV irradiation groups as compared to groups without irradiation. An increase of more than twice that of the negative control was not observed in the TA 98 strain, with or without irradiation. The positive controls yielded expected results. The authors concluded that kojic acid was a weak photo-mutagen.

The potential of kojic acid to produce chromosome aberrations in Chinese hamster lung cells following UV irradiation was studied.<sup>89</sup> The cells were exposed to 0.35, 0.70, or 1.4 mg/mL kojic acid with and without light irradiation. The solvent control group was treated with DMSO and the positive control groups were treated with either *N*-methyl-*N'*-nitro-*N*-nitrosoguanidine ([MNNG] without irradiation) or MOP (with irradiation). A nontreated control group that received light irradiation was also prepared. No statistically significant increase of cells with structural chromosome aberrations or polyploidy cells was observed at any dose level without UV irradiation. Statistically significant increases of cells with structural chromosome aberrations (1.4 mg/mL dose) and polyploidy (0.70 and 1.4 mg/mL doses) were observed. It was concluded that kojic acid was a weak photo-mutagen.

A micronucleus study on male HR-1 mice to determine the photomutagenicity of kojic acid was also done.<sup>89</sup> The backs of

the mice were treated with a cream containing 1.0% or 3.0% kojic acid or a positive control solution containing MOP dissolved in acetone:olive oil (2 groups of 3 mice for each substance plus an additional 2 groups of 3 mice that received a control cream that did not contain kojic acid). The materials were applied at 24-hour intervals and 1 group of mice from each treatment type was exposed to UVA irradiation. At 48 hours after the second irradiation, epidermal cells of mouse skin were prepared for micronucleus examination.

After the first irradiation, the skin of the mice treated with kojic acid became brown in tone. No clinical signs of toxicity or mortality were observed in any of the dose groups. Micronucleated cells in the kojic acid-treated groups, with or without UV irradiation, were comparable to the control values. Positive control values yielded expected results both with and without UV irradiation. It was concluded that kojic acid did not produce micronuclei in mouse epidermal cells, in the presence or absence of UV irradiation.<sup>89</sup>

### Carcinogenicity

International Agency for Research on Cancer (IARC) determined that kojic acid is “not classifiable as to its carcinogenicity to humans (Group 3)”.<sup>91</sup>

A 78 week carcinogenicity study of kojic acid in mice was done.<sup>92</sup> Male and female B<sub>6</sub>C<sub>3</sub>F<sub>1</sub> mice were fed diets containing 0%, 0.16%, 0.4%, or 1% kojic acid. The mice were observed daily for clinical signs of toxicity and mortality, while body weight and feed consumption were measured once a week for 13 weeks and then once every 4 weeks. The mice were killed and necropsied at the end of the treatment period.

A few deaths occurred in both male and female mice during the course of the study, but these occurrences were comparable with the control group. The cumulative survival rates were 92% and 100% for male and female mice, respectively. Gross external examination discovered preputial gland swelling, Harderian gland enlargement, and palpable masses in the femoral subcutis in the treated male and control groups, but the authors determined that these findings were not related to kojic acid exposure. A slight decrease in body weight gain was observed in both males and females in the 1% dose group, starting at week 3 in males and week 11 in females. Slight body weight gain decreases were also noted in the 0.4% females and 0.16% males but were considered insignificant by the researchers due to the briefness of the occurrence and the fact that the opposite gender in each dose did not have similar results. There were no significant differences in feed consumption between the treated groups and the controls.

Females in the 0.16% dose groups and higher and males in the 0.4% dose groups and higher had a significant increase in both the absolute and relative thyroid weights. Statistically significant, but very slight (less than 1%) and nondose-dependent increases or decreases in absolute organ weights were observed in the prostate glands, adrenal glands of males and females, lungs of males, salivary glands of males, and kidneys of

Table 4. Genotoxicity Studies for Kojic Acid

Strain/Cells Tested	Concentrations Tested	Methodology	Results	Reference
<b>Bacterial cell assays</b>				
<i>Salmonella typhimurium</i> TA 98 and TA 100	10 to 10 000 µg/plate	Ames test with and without metabolic activation	Mutagenic in TA 100	43
<i>S typhimurium</i> TA 98, TA 100, TA 1535, and TA 1537	500 to 4000 µg/plate	Ames test with and without metabolic activation	Weakly mutagenic	39 (S. Iwahara and K. Sakamoto, Unpublished data, 1980)
<i>S typhimurium</i> TA 98 and TA 100	100 to 6000 µg/plate	Ames test with and without metabolic activation	Mutagenic	44
<i>S typhimurium</i> TA 98, TA 100, TA 102, TA 1535, and TA 1537	0 to 5000 µg/plate	Ames test with and without metabolic activation	Mutagenic	D. Marzin, Unpublished data, 1997
<i>S typhimurium</i> TA 98, TA 100, TA 1535, and TA 1537; <i>Escherichia coli</i> WP2 uvrA	0 to 5000 µg/plate	Reverse mutation assay with and without metabolic activation	Mutagenic	H. E. Wollny, Unpublished data, 1998
<i>S typhimurium</i> TA 98 and TA 100	0 to 5000 µg/plate with S9, 0 to 1000 µg/plate without S9	Reverse mutation assay with and without metabolic activation	Non-mutagenic	H. E. Wollny, Unpublished data, 2001
<i>S typhimurium</i> TA 100	500 to 1500 µg/plate	Reverse mutation assay with and without metabolic activation	Mutagenic	45
<b>Mammalian cell assays</b>				
<b>CHO cells</b>				
CHO cells	3 to 6 mg/mL	SCE test with and without metabolic activation	Genotoxic	44
CHO cells	3 to 6 mg/mL	Chromosomal aberration study with and without metabolic activation	Clastogenic	44
Mouse lymphoma L5178Y TK <sup>+/−</sup> cells at the <i>hprt</i> locus	300 to 1421 µg/mL	Cell mutation assay with and without metabolic activation	Not mutagenic	M. Lloyd, Unpublished data, 2002
Guanidine-resistant Chinese hamster V79 cells	0 to 3000 µg/mL	Cell mutation assay without metabolic activation	Not mutagenic	39, S. Iwahara, Unpublished data, 1981
Chinese hamster V79 cells	355 to 1421 µg/mL with out and without S9 in first experiment, 355 to 1421 µg/mL with S9 and 250 to 1000 µg/mL without S9	Chromosomal aberration study with and without metabolic activation	Weakly clastogenic	M. Schulz, Unpublished data, 2002
<b>In vivo mammalian tests</b>				
NMR1 mice	187.5 to 750 mg/kg	Micronucleus test	Not mutagenic	(N. Honarvar, Unpublished data, 2001)
Male ddY mice	125 to 1000 mg/kg	Micronucleus test	Not mutagenic	(H. Omura and M. Nonaka, Unpublished data, 1980)
3- and 9-week-old male ddY mice and 9-week-old F344 male rats	0 to 1000 mg/kg	Micronucleus test	Genotoxic only in 9 week old mice	45
BDF <sub>1</sub> mice	0 to 700 mg/kg	Dominant lethal test	Negative	39 (S. Iwahara, Unpublished data, 1981)
Male Wistar HanIbm rats	150 or 1500 mg/kg	Unscheduled DNA synthesis	Not genotoxic	(W. Volkner, Unpublished data, 1997)
Male Wistar rats	0 to 2000 mg/kg	Comet assay	Not genotoxic	(S. Brendler-Schwaab and B. Kramer-Bautz, Unpublished data, 2004)
Male F344/DuCrj rats	0% to 2.0%	DNA adduct assay	Negative	46 (M. Nakano, Unpublished data, 2005)
Male F344 rats	0% or 2.0%	DNA adduct assay	Negative	21
<b>Photogenotoxicity</b>				
<i>E coli</i> WP2	33 to 5000 µg/plate	Gene mutation study with and without light irradiation	Negative	(H. E. Wollny, Unpublished data, 1998)
<i>S typhimurium</i> TA 98 and TA 102; <i>E coli</i> WP2/pKM101	0 to 2500 µg/plate for TA 98, 0 to 5000 for TA 102 and <i>E coli</i>	Photo-reverse mutation assay	Weak photo-mutagen	46
Chinese hamster lung cells	0.35 to 1.4 mg/mL	Chromosomal aberration study with and without light irradiation	Weak photo-mutagen	46
Male HR-1 mice	1.0% or 3.0%	Micronucleus test with and without UV irradiation	Negative	46

Abbreviations: CHO, Chinese hamster ovary; SCEs, sister chromatid exchanges.

males and females. At necropsy, hepatic adenomas and hemangiomas, pulmonary adenomas, malignant lymphomas, leukemia, or pituitary adenomas were observed. These tumor incidences did not differ between the kojic acid treatment groups and the control group. Likewise, nodular hyperplasia in the liver, adrenal subcapsular spindle cell hyperplasia, and uterus cystic endometrial hyperplasia did not occur at significantly differing rates in the treatment groups versus the control groups. The researchers concluded that kojic acid was not tumorigenic to mice in this 78-week study.<sup>92</sup>

The tumorigenic potential of kojic acid was evaluated, using heterozygous *p53*-deficient CBA, *p53*(+/-), mice and wild type littermates, *p53*(+/+).<sup>22</sup> The mice were fed diet containing 0%, 1.5%, or 3.0% kojic acid for 26 weeks. The mice were observed daily for clinical signs of toxicity and were weighed weekly. All surviving mice were killed after blood sampling for hormone assays and necropsied. Livers and thyroid glands were removed and weighed. These organs along with the pituitary, spleen, lungs, and other organs and tissues with macroscopic lesions were fixed for histopathological examination. Additionally, tissue sections were immunohistochemically stained for proliferating cell nuclear antigen (PCNA). Five thousand hepatocellular nuclei in normal background parenchyma in each mouse were counted for PCNA determination.

One wild type male from the 3.0% dose group was found dead at week 13. Both *p53*(+/-) and *p53*(+/+) mice of the 3.0% dose group had decreased body weight gains compared to controls. Absolute thyroid gland weights were significantly ( $P < .01$ ) increased in a dose-related fashion by 209% and 444% in the 1.5% and 3.0% kojic acid dose groups, respectively, in *p53*(+/-) mice and by 140% and 374% in *p53*(+/+) mice. Absolute and relative liver weights in the kojic acid-treated groups had somewhat higher values in both types of mice when compared to controls but was not significant except for the relative weight in the 3.0% *p53*(+/+) mice.

Diffuse hypertrophy and hyperplasia of thyroid follicular epithelial cells were observed along with decreased serum thyroxine ( $T_4$ ) levels in both *p53*(+/-) and *p53*(+/+) mice treated with kojic acid. No thyroid tumors were observed, however. In the liver, the incidence of altered hepatocellular foci was significantly increased at 1.5% and 3.0% in *p53*(+/-) and at 1.5% in *p53*(+/+) mice. The authors concluded that there is tumorigenic potential of kojic acid in the liver but not in the thyroid follicular epithelial cells in CBA mice. The genotoxic potential of kojic acid on hepatocellular tumor development could not be ruled out.<sup>22</sup>

The above study was repeated using male CBA mice that received 0%, 0.5%, 1%, or 2% kojic acid in their diet for 26 weeks.<sup>25</sup> Incidences of hepatocellular adenomas were 5%, 17%, 10%, and 21%, respectively. Incidences of hepatocellular foci in these dose groups were 15%, 39%, 45%, and 47%, respectively, with a statistically significant difference ( $P < .05$ ) only between the control group and the 2% dose group.

Male F344 rats were used in a 55-week toxicity dietary study of kojic acid.<sup>93</sup> The 7-week-old rats were divided into groups of 20 and received 0%, 0.5%, or 2.0% kojic acid

(equivalent to 0, 227, or 968 mg/kg body weight/d, respectively). One week prior to treatment, rats received a single subcutaneous injection of 5 mL/kg saline. The rats were observed daily for clinical signs of toxicity and were weighed regularly. Feed consumption was recorded weekly. At the end of treatment, surviving rats were killed after blood sampling and necropsied. Major organs and tissues were weighed and/or fixed for histopathological examination. Additionally, liver sections were studied immunohistochemically for glutathione S-transferase-placental form (GST-P), PCNA, and single-strand DNA (ssDNA).

No mortality or obvious clinical signs of toxicity were observed during the treatment period. Body weight gains were decreased in the 2.0% group from week 6 until treatment end, when compared to the controls. No significant changes in feed consumption were observed. In both the 0.5% and 2.0% treatment groups, red blood cell counts and hematocrit values were decreased. Significant increases or a tendency for increase were observed in aspartate aminotransferase (AST), alanine aminotransferase (ALT), ALP,  $\gamma$ -glutamyl transpeptidase ( $\gamma$ -GTP), blood urea nitrogen (BUN), and sodium values in both the 0.5% and 2.0% dose groups. In the 2.0% group, total protein, total bilirubin, and total cholesterol values were significantly increased and the albumin/globulin ratio was decreased.

Absolute and relative spleen and thyroid gland weights were increased or had a tendency for increase in both the 0.5% and 2.0% dose groups. In the 2.0% dose group, absolute and relative weights of heart, lungs, liver, adrenal glands, testes, and relative weights of brain and kidneys were significantly increased. Single-cell necrosis of hepatocytes and proliferation of small bile ducts or ductules were recorded in animals from both treatment groups, with the incidence of the proliferation of bile ducts significantly increased in the 2.0% dose group. All 2.0% dose group animals had diffuse hepatocellular hypertrophy and/or vacuolization and formation of microgranulomas containing crystals and/or brown pigment; the incidence of the granulomas was significantly increased. Areas of GST-P-positive foci were significantly increased in the liver of the 2.0% dose group. Incidences of hyaline casts and basophilic tubules were also significantly increased in the 2.0% dose group. Diffuse follicular cell hyperplasia was noted in the thyroid glands in both treatment groups, with focal follicular cell hyperplasia, and adenomas and/or carcinomas observed in the 2.0% group. The 2.0% dose group also had increased hypertrophy of cortical cells in zona fasciculata in the adrenal glands. The study concluded that the NOAEL of kojic acid was below 0.5% (227 mg/kg body weight/d).<sup>93</sup>

Carcinogenicity studies are summarized in Table 5.

### Tumor Promotion

The carcinogenesis-modifying action of kojic acid in rat liver using a 2-stage model with initiation by diisopropanolnitrosamine (DHPN) was investigated.<sup>94</sup> Sixty male F344 rats received either a single subcutaneous injection of 2000 mg/kg DHPN or the vehicle and then were fed a diet containing

0%, 0.125%, 0.5%, or 2% kojic acid for 20 weeks. At the end of the treatment period, the rats were killed and necropsied. The liver was removed, weighed, and prepared for paraffin sectioning. H&E staining and immunostaining to GST-P and PCNA were performed and the sections were investigated histopathologically and cell-kinetically.

Rats treated with 2% kojic acid with DHPN initiation had significantly increased ( $P < .01$ ) relative liver weights. Histopathology revealed an increased incidence of microgranuloma and vacuolation of centrilobular hepatocytes. The number and area of GST-P-positive foci per unit area of the liver in the DHPN and 2% kojic acid group were 22.30 foci and 3745  $\mu\text{m}^2$ , respectively, which was a significant increase ( $P < .01$ ) when compared the 8.48 foci and 531  $\mu\text{m}^2$  in the group treated with only DHPN. The incidence of GST-P-positive foci and the percentage of PCNA-positive cells were more prominent in animals with marked vacuolation of hepatocytes. In the group treated with 2% kojic acid without DHPN, the number and area of GST-P-positive foci were 1.39 foci and 109.5  $\mu\text{m}^2$ , respectively, which was also a significant increase when compared to the control group values of 0.40 foci and 9.7  $\mu\text{m}^2$ . No treatment-related effects were observed in the rats treated with 0.5% kojic acid or lower, with or without DHPN. The researchers concluded that kojic acid has a carcinogenesis-promoting action in the rat liver and may be carcinogenic without promotion.<sup>94</sup>

Further study on the tumor promotion potential of kojic acid was done.<sup>95</sup> Groups of 20 male F344 rats received 0%, 0.5%, or 2% kojic acid in feed for 20 weeks without DHPN initiation. At the end of the treatment period, the rats were killed and necropsied, and the livers were studied in the same manner as described above. Dose-related increases in absolute and relative liver weights were observed in both kojic acid treatment groups. Numbers and areas of GST-P-positive foci were significantly increased ( $P < .01$ ) in the 2% kojic acid group when compared to the control group. Increased incidences of microgranuloma and vacuolation of hepatocytes were observed in the 2% kojic acid treatment group. PCNA expression was significantly increased ( $P < .05$ ) in the 2% kojic acid dose group when compared to the control group, with PCNA-positive hepatocytes mainly localized around the vacuolated and granulomatous regions.

The authors also performed a medium-term liver bioassay of kojic acid in groups of 25 F344 male rats at concentrations of 0%, 0.125%, 0.5%, or 2% to determine kojic acid's promoting influence.<sup>95</sup> Two weeks prior to the start of the 6-week dietary exposure of kojic acid, the rats received a single intraperitoneal injection of 200 mg/kg *N*-diethylnitrosamine (DEN). At week 3, the rats were subjected to a two-third partial hepatectomy. At the end of the treatment period, the rats were killed and livers were prepared for analysis as above. A dose-related decrease in body weight gains and an increase in relative liver weights were observed, with statistical significance ( $P < .01$ ) in the 2% dose group. Significant increases ( $P < .01$ ) in number and areas of GST-P-positive foci were observed in the 2% dose group when compared to the control group. The authors

concluded that kojic acid at 2% was tumor-promoting and had weak hepatocarcinogenic potential. The authors further opined that the enhanced replication of hepatocytes related to toxic changes may have been involved as an underlying mechanism.

### Tumor Initiation

A study on the tumor-initiating potential of kojic acid in mouse liver was performed using male ICR mice.<sup>23</sup> The mice received a diet containing 0% or 3% kojic acid for 4 weeks, followed by distilled water containing 0 or 500 ppm phenobarbital (PB) for 14 weeks. Two weeks after the treatment with PB, a two-third partial hepatectomy was performed on all mice. At the end of the study, all mice were killed and liver slices were performed to evaluate  $\gamma$ -glutamyltransferase-positive foci as preneoplastic foci markers in the liver as well as PCNA.

No treatment-related deaths were observed and there were no significant changes in feed consumption or body weights during the course of the study. No proliferative lesions were observed in any dose groups during microscopic examinations. There were no differences in the number of  $\gamma$ -glutamyltransferase-positive cells between the kojic acid and distilled water and the kojic acid + PB groups. Significant increases in the labeling index of PCNA were observed in the control + PB and kojic acid + PB dose groups as compared to the control + distilled water group ( $1.28 \pm 1.93$ ); however, no significant difference in the positivity of PCNA was observed between the control + PB and the kojic acid + PB groups. The authors concluded that kojic acid has no tumor-initiating activity in mouse liver.<sup>23</sup> In reviewing this report, however, the SCCP concluded that the kojic acid effect on proliferation of liver cells cannot be excluded since kojic acid + distilled water PCNA values were increased compared to basal diet + distilled water.<sup>20</sup>

The initiation potential of kojic acid (99.5% pure) in rat liver was examined in a 2-part study.<sup>26</sup>

In the first experiment, groups of 5 male F344 rats were fed a diet containing 0% or 2% kojic acid for 3, 7, or 28 days. All rats were injected with 100 mg/kg body weight bromodeoxyuridine (BrdU) intraperitoneally once a day for the last 2 days of exposure and 2 hours prior to termination. Livers were removed and weighed at necropsy and slices were prepared for BrdU immunostaining. Labeling indices (LIs) were calculated as percentages of cells positive for BrdU incorporation divided by the total number of cells counted. In addition, 8-oxodexyguanosine (8-OxodG) was measured in nuclear DNA to examine the formation of oxidative DNA adduct by HPLC-ECD detection.

On day 28 of the experiment, body weight gains in the 2% kojic acid group were significantly decreased compared to the control group. In the 2% kojic acid dose group, absolute liver weights were significantly increased on day 7 but decreased on day 28. Relative liver weights were significantly increased at all time points. The LI values of hepatocytes of the 2% dose group were significantly increased as compared to the controls on days 3 and 7. All 8-OxodG levels in the liver DNA in the 2% dose group were slightly higher than the control values but were not statistically significant.

**Table 5.** Carcinogenicity Studies for Kojic Acid

Strains Tested	Concentrations of Kojic Acid Tested	Study Duration And Type	Results	References
General carcinogenicity B <sub>6</sub> C <sub>3</sub> F <sub>1</sub> mice	0.16% to 1%	78-week; dietary	Not tumorigenic	(Kudo Safety Research Institute, Unpublished data, 1981)
Heterozygous p53-deficient CBA, p53(+/-), mice and wild type littermates, p53(+++)	1.5% or 3.0%	26-week; dietary	Tumorigenic potential in liver but not thyroid follicular epithelial cells	19
Male CBA mice	0.5% to 2%	26-week; dietary	Hepatocarcinogenic	22
Male F344 rats	0.5% or 2.0%	55-week; dietary	NOAEL below 0.5%	48
Tumor promotion Male F344 rats	0.125% to 2%	20-week; dietary 2-stage model with DHPN initiation	May be carcinogenic without promotion; carcinogenesis-promoting in rat liver	(T. Shibusawa, T. Imai, T. Tamura, et al, Unpublished data, 2002)
Male F344 rats	0.5% or 2.0%	20-week; dietary 2-stage model without DHPN initiation	Tumor-promoting	49
Male F344 rats	0.125 to 2.0%	Medium-term liver bioassay	Weak hepatocarcinogenic potential	49
Tumor initiation Male ICR mice	3%	Dietary; kojic acid exposure for 4 weeks and PB exposure for 14 weeks	No tumor-initiating activity in mouse liver	20
Male F344 rats	2%	28-day; dietary	Significantly increased LI vales in hepatocytes; nonsignificantly increased 8-OxodG levels in liver DNA	23
Male F344 rats	1000 or 2000 mg/kg	Single oral exposure with dietary administration of 2-AAF for 2 weeks	Tumor-promoting effects in liver	23
Male F344 rats	0.5% to 2%	4-week dietary exposure followed by 6 weeks of PB	No initiation potential in rat liver	46 (M. Kawabe, Unpublished data, 2003)
Dermal tumor promotion Female CD-1 (ICR) mice	0.3% or 3%	20-week; topical application with DMBA or kojic acid initiation and TPA or kojic acid promotion	No dermal promotion potential	46 (M. Kawabe, Unpublished data, 2003; M. Kawabe, Unpublished data, 2004)
Thyroid Effects B6C3F <sub>1</sub> mice	1.5% or 3.0%	20-month; dietary	Thyroid adenomas observed likely due to decrease in serum T3 levels and increased TSH	50
Male F344 rats	0.008% to 2.0%	4-week; dietary	Tumor-promoting effects on development of thyroid proliferative lesions; iodide uptake and iodine organification in thyroid prohibited	51-53
Male F344 rats	0.008% to 2.0%	4-week; dietary	Diffuse hyperplasia in thyroid glands	54
Male F344 rats	2.0%	12-week; dietary with BHP initiation	Thyroid proliferative lesions observed	55
Male F344 rats	4 to 1000 mg/kg	4-week; gavage	Decreased blood T4 concentration with enhanced thyroid function	56
Male F344 rats	0.02% to 2.0%	31-week; dietary treatment of kojic acid for 8 weeks followed by 23 weeks of SDM treatment in drinking water	No tumor-initiation activity in thyroid	21

Abbreviations: PB, Phenobarbital; SDM, sulfadimethoxine; BHP, bis(2-hydroxypropyl)nitrosamine; TSH, thyroid-stimulating hormone; TPA, phorbol-12-myristate-13-acetate; DMBA, 9,10-dimethyl-1,2-benzanthracene; DHPN, diisopropanolnitrosamine; NOAEL, no observable adverse effect level; 2-AAF, 2-acetylaminofluorene; LI, labeling index.

In the second experiment of this study, 30 male F344 rats were subjected to a two-third partial hepatectomy on day 0. At 12-hour postsurgery, the rats were treated once orally with carboxymethylcellulose vehicle (8 rats), 1000 mg/kg kojic acid (12 rats), or 2000 mg/kg kojic acid (10 rats) at a dose volume of 10 mL/kg body weight. The rats were then fed basal diet for 2 weeks and then diet containing 0.015% 2-acetylaminofluorene (2-AAF) for another 2 weeks. At 3 weeks post kojic acid administration, rats received a single 0.8 mL/kg body weight dose of carbon tetrachloride (CCl<sub>4</sub>). Surviving rats were killed at the end of week 5 and slices of all liver lobes were stained immunohistochemically for GST-P. The mean area and number of GST-P-positive foci per unit area of all liver sections were calculated. During the course of the experiment, 1 rat in the control group died. Slight decreases were observed in the mean area and numbers of GST-P positive foci, but these differences were not statistically significant.

The researchers of this second experimental study concluded that kojic acid has neither liver initiation activity nor the capability of 8-OxodG formation; however, the findings suggest that kojic acid has liver tumor-promoting effects.<sup>26</sup>

The initiation potential of kojic acid (100.3% pure) in a liver carcinogenesis bioassay was performed on F344 male rats.<sup>89,96</sup> In one portion of the study, groups of 15 rats received 0%, 0.5%, 1%, or 2% kojic acid or the positive control 2-AAF at concentrations of 0.01% or 0.001% in their feed for 4 weeks. After the treatment period, all rats received basal diet for 1 week, and then a diet containing 0.5% phenobarbital sodium salt (SPB) for 6 weeks. In another portion of the study, groups of 9 rats received 0% or 2% kojic acid or 0.01% or 0.001% 2-AAF in feed for 4 weeks, and then all rats received basal diet for 7 weeks. At 6 weeks after the beginning of the study, all animals from both portions of the study underwent a two-third partial hepatectomy. Rats were checked twice daily for clinical signs of toxicity and mortality. Body weights were measured weekly and daily feed consumption and intake of kojic acid, 2-AAF, and SPB were calculated. All surviving rats were killed at study end, and organs were examined macroscopically. Liver weights were recorded and sections from 3 liver lobes were stained immunohistochemically for GST-P.

No treatment-related effects or deaths were observed during the study. Rats that received 2% kojic acid in both portions of the study had significant decreases in body weights during initiation period of the study, but body weights returned to control levels during the SPB or basal diet treatments. Decreases in feed consumption during the initiation period occurred in the 1.0% and 2.0% kojic acid groups, but increases in feed consumption during the SPB or basal diet treatment were marked with increases in body weight change. No treatment-related differences were observed in final body or liver weights, with or without SPB. Numbers of GST-P-positive foci in kojic acid-treated groups were similar to the control values, with or without SPB. No other treatment-related effects were observed. In the positive control groups, the numbers of GST-P-positive foci were statistically significantly increased in the 0.01% 2-AAF groups, with and without SPB. This study concluded that

kojic acid did not possess initiation potential in the rat liver.<sup>89,96</sup>

### Dermal Tumor Promotion

A skin carcinogenesis bioassay to determine the promotion potential of kojic acid (reported as 100.3% pure) in a cream formulation was performed using female CD-1 (ICR) mice.<sup>89,96,97</sup>

The positive initiator control was 9,10-dimethyl-1,2-benzanthracene (DMBA) and the positive promoter control was phorbol-12-myristate-13-acetate (TPA). Groups of 10 or 15 mice were treated in the following manner: DMBA + vehicle, DMBA + 0.3% kojic acid, DMBA + 3% kojic acid, DMBA + TPA, acetone + 0.3% kojic acid, acetone + 3% kojic acid, vehicle + TPA, or 3% kojic acid + TPA. The control or test substances were applied to the shaved backs of the mice (4 cm<sup>2</sup>). The mice receiving DMBA or acetone were treated once at the beginning of the experiment while the mice treated with vehicle + TPA or 3% kojic acid + TPA received 50 mg of the test substances daily for 1 week. A week after the study commencement, the treatment groups with DMBA or acetone received 50 mg of the test substances 5 times weekly for 19 weeks. The remaining groups received TPA twice weekly for 19 weeks 1 or 2 weeks after study commencement. Animals were checked for clinical signs of toxicity and mortality once daily and for skin nodules once weekly. All surviving animals were killed after the completion of the promoter treatment and examined macroscopically. A histological examination of the skin was performed and liver weights were recorded.

No treatment-related mortalities were observed. Body weight gain was significantly decreased in week 2 or weeks 3 and 4 in the DMBA + 0.3% kojic acid and acetone + 3% dose groups, respectively. Squamous cell papilloma was observed in 1 mouse from the DMBA + 3% kojic acid. The positive control group, DMBA + TPA, had significantly increased body weight gain (starting at week 3) and absolute and relative liver weights. The positive control group also had skin nodules, which were revealed to be squamous cell hyperplasia, squamous cell papilloma, or squamous cell carcinoma at necropsy. It was concluded that kojic acid did not possess promotion potential for skin carcinogenesis.<sup>89,96</sup>

### Thyroid Effects

The tumorigenicity of kojic acid was studied in a 20-month study in B6C3F<sub>1</sub> mice.<sup>98</sup> Groups of 65 male and female mice received 0%, 1.5%, or 3.0% kojic acid in feed for 20 months. Subgroups of 5 animals were killed at 6 and 12 months after the beginning of treatment. Serum was collected for hormone assessment at 6, 12, and 20 months from 5 animals in each treatment group. Another subgroup of 10 to 14 animals in each treatment group was switched to normal diet at month 19. At the end of the treatment period, all surviving animals were killed and necropsied, with major organs and tissues weighed and fixed for histopathological examination.

Survival rates in mice in the treatment groups were comparable with the control groups during the course of the administration period. Thyroid weights were increased significantly in the kojic acid-treated groups of both genders, especially in the male groups; there were no significant differences in other major organ or tissue weights or hematological values or serum biochemical parameters in any of the treatment groups. Incidences of thyroid gland hyperplasia and follicular adenomas were significantly increased in all treatment groups. In mice that received normal feed 30 days prior to termination, incidences of thyroid gland adenomas were significantly decreased, although average thyroid weights were unchanged. The serum-free triiodothyronine ( $T_3$ ) levels in the 3.0% dose groups of both genders were significantly lower than the control at month 6, while the thyroid-stimulating hormone (TSH) levels were increased. The decreases in the free  $T_3$  levels continued at the later measurements, but changes in the TSH levels disappeared. It was concluded that chronic high doses of kojic acid induces thyroid adenomas in male and female B6C3F<sub>1</sub> mice. The authors proposed that the likely mechanism is the decrease in serum  $T_3$  levels and increased TSH.<sup>98</sup>

A study was performed to determine the mechanisms of serum thyroid hormone reduction and thyroid tumor-promotion effects of kojic acid exposure in rats.<sup>99</sup> Groups of 8 male F344 rats received basal diet containing 0%, 0.008%, 0.03%, 0.125%, 0.5%, or 2.0% kojic acid for 4 weeks (doses equivalent to 0, 5.85, 23.8, 95.3, 393.6, and 1387.3 mg/kg body weight/d). At the end of treatment, blood was collected from 5 rats per group for hormone assays. The remaining animals were injected intraperitoneally with 0.4 mL of 0.1 mol/L Na<sup>125</sup>I in saline 24 hours before they were killed. Measurement of <sup>125</sup>I uptake was taken and the thyroid was examined for organification.

No significant changes in body weights were observed in the treated rats when compared to the control rats. Absolute and relative thyroid gland weights were increased in all groups treated with kojic acid in a dose-dependent manner, with significant increases occurring at 0.5% or more. The relative pituitary gland weights were significantly increased in the 2.0% kojic acid group and relative liver weights were significantly greater in all kojic acid groups except the 0.125% group. These last two observations were not dose-dependent or associated with significant changes in absolute weights, and thus were not biologically relevant. A statistically significant decrease in serum  $T_3$  and  $T_4$  levels was observed in the 2.0% kojic acid group when compared with the control group. The serum TSH in the 2% kojic acid group was significantly increased when compared to the controls. There were no other significant differences in these parameters in the other dose groups. Thyroid <sup>125</sup>I uptake was significantly decreased in a dose-dependent manner starting at 0.03% kojic acid. A significant reduction in organic formation of iodine was observed in the 2.0% kojic acid group.

Histopathologic examination revealed decreased colloid in the thyroid follicles and follicular cell hypertrophy in the thyroid in high incidences in groups that received 0.03% kojic acid

or more. All rats in the 2.0% kojic acid group had thyroid capsular fibrosis. In a quantitative morphometric analysis, the ratio of the area of follicular epithelial cells to the area of colloids was significantly increased in the 0.03% kojic acid dose group and higher. In this rat study, kojic acid inhibited iodide uptake and iodine organification in the thyroid, with tumor-promoting effects on the development of thyroid proliferative lesions. These effects were likely secondary to prolonged serum TSH stimulation resulting from negative-feedback through the pituitary–thyroid axis.<sup>99</sup> Additional studies found similar results.<sup>100,101</sup>

The mechanism of tumorigenesis in the thyroid from exposure to kojic acid was examined in a 3-part study.<sup>102</sup>

In the first experiment, groups of 9 male F344 rats received 0%, 0.008%, 0.03%, 0.125%, 0.5%, or 2.0% kojic acid in their diets for 4 weeks. Twenty-four hours prior to experiment end, 4 rats in each dose group received 0.2 mL/100 g body weight Na<sup>125</sup>I at 0.1 mol/L in saline. Rats were killed and the thyroid glands were weighed and examined for <sup>125</sup>I uptake. The remaining 5 animals were killed on the same day. Thyroid gland weights were increased in a dose-dependent manner in rats receiving 0.125% or more kojic acid in diet, with the thyroid gland weights from the 2.0% dose group 9 times that of the controls. <sup>125</sup>I uptake into the thyroid gland was more sensitive to kojic acid treatment, with significant suppression at 0.03%. Organic <sup>125</sup>I formation was interrupted only in the 2.0% dose group. Serum  $T_3$ ,  $T_4$ , and TSH levels were affected only at 2.0%.

In the second experiment, male and female F344 rats were divided into 8 and 4 groups, respectively, with each group consisting of 8 animals. The groups received diet containing 0% or 2.0% kojic acid. Male groups were killed at weeks 1, 2, 3, and 4 and female groups were killed at weeks 2 and 4. Half of the rats were studied for <sup>125</sup>I uptake and the other half for hormonal and histopathological examination. In males, thyroid gland weights increased linearly from 11 to 98 mg in the 4 weeks of treatment with 2.0% kojic acid. A less prominent, but still significant, increase in thyroid gland weights was observed in females, from 7.5 to 40 mg. The suppression of <sup>125</sup>I uptake was also time dependent and in males, the decrease started at 1 week after kojic acid treatment and reached about 2% of control values by week 3, with organic <sup>125</sup>I formation significantly decreased by 50% compared to the controls. These effects were not as significant in females, with only 20% suppression of <sup>125</sup>I uptake at week 4. Serum  $T_3$  and  $T_4$  levels were decreased to minimum levels after 2 weeks of kojic acid treatment, but recovered thereafter although at lower than control values in both genders. Serum TSH started to increase at week 1 and reached a maximum at weeks 2 and 3.

For the final experiment in this study, 6 groups of 8 male F344 rats received 0% and 2.0% kojic acid in diet for 4 weeks. At the end of the treatment, kojic acid was replaced with basal diet for 0, 6, 12, 24, or 48 hours. The groups were killed and examined as in the first 2 experiments, except that <sup>125</sup>I was injected 12 hours before death. The organic <sup>125</sup>I formation returned to normal limits after 6 hours and <sup>125</sup>I uptake per unit of thyroid weight increased to 70% of the control values within

24 hours. Serum T<sub>3</sub> and T<sub>4</sub> were 47% and 34% of the control values after 4 weeks of the kojic acid diet. The levels increased to normal limits within 48 hours after return to basal diet and high levels of TSH decreased to normal within 24 hours.

The histopathological investigation on thyroid glands in these 3 experiments found a diffuse type of hyperplasia caused by the kojic acid diet. After 2 weeks of returning to basal diet, normal thyroid follicular structure was apparent in enlarged thyroid glands. The authors of this study suggest that the proliferative effect of kojic acid on the thyroid is not related to a genotoxic pathway.<sup>102</sup>

In a study to determine whether kojic acid causes a promoting effect on thyroid carcinogenesis, male F344 rats were initiated with *N*-bis(2-hydroxypropyl)nitrosamine (BHP) with a single subcutaneous injection (2800 mg/kg).<sup>103</sup> The dose groups included 10 rats each. One week later, the rats received basal diet containing 0% or 2% kojic acid for 12 weeks. An additional group of 8 rats received no BHP initiation or kojic acid and were fed basal diet for 13 weeks. Half of the rats were killed at week 4 and the remainder after the last week of exposure. In the second experiment of the same study, another 2 groups of 10 rats not initiated with BHP received diet containing 0% or 2% kojic acid for 20 weeks. Again, half of the rats were killed at week 4 and the remainder after week 20. Body weights were recorded and blood samples for hormone analysis were taken before death in all animals.

Body weights were decreased in the rats that received kojic acid at both week 4 and 12. Rats in both experiments exposed to kojic acid also had increased absolute and relative thyroid weights up to 25-fold greater than the control group, as well as increased relative liver weights at each time point. Absolute liver weights were significantly increased in rats exposed to kojic acid for 20 weeks. Serum T<sub>3</sub> and T<sub>4</sub> levels were significantly decreased (approximately one half to one third the values of the BHP alone group) and serum TSH was significantly increased (13-19 times higher than the BHP alone group) in the BHP + kojic acid group at both time periods. Similar changes in other serum thyroid-related hormones were observed in the 2% kojic acid alone group at week 4 but not at week 20.

At week 4, 4 of the 5 rats in the BHP + kojic acid group had focal thyroid follicular hyperplasias, while 3 of the 5 rats had focal thyroid follicular adenomas. These lesions were observed in all rats in the BHP + kojic acid group by week 12. Rats that only received kojic acid had marked diffuse hypertrophy of follicular epithelial cells at week 4 and 20. The BHP alone and the untreated control groups had no changes in thyroid-related hormone levels or histopathological lesions. There were no significant intergroup changes of the liver T<sub>4</sub>-uridine diphosphate glucuronosyltransferase (UDP-GT) activity. The authors concluded that kojic acid induced thyroid proliferative lesions due to continuous serum TSH stimulation through the negative feedback mechanism of the pituitary-thyroid axis, with decreases of T<sub>3</sub> and T<sub>4</sub> caused by a mechanism independent of T<sub>4</sub>-UDP-GT activity.<sup>103</sup>

In a study on the effect of kojic acid on thyroid function, 24 groups of 10 male F344/Du Crj rats received 0, 4, 15, 62.5, 250,

or 1000 mg/kg kojic acid daily for 4 weeks.<sup>104</sup> Kojic acid was suspended in 0.5% carboxymethylcellulose and administered at a dosing volume of 5 mL/kg via gavage. At the end of each treatment week, a group of rats from each dose group were killed and necropsied (1 group of rats were necropsied prior to test material administration).

No abnormalities were observed in rats in the 0 to 250 mg/kg dose groups during treatment. Several rats in the 1000 mg/kg dose group had transient and slight decreases in motility 30 minutes to 1 hour after dosing on day 18 to 28 of treatment. Body weights and feed consumption in the 1000 mg/kg dose group were significantly inhibited when compared to the control group. The absolute and relative weights of the thyroid glands were nearly comparable to the control in the 4 to 250 mg/kg dose groups throughout the treatment period. Absolute and relative weights of the thyroid gland in the 1000 mg/kg dose groups were 1.2-fold and 1.3-fold greater than the control group, respectively. Serum T<sub>3</sub> concentration in the 250 mg/kg dose group had a significant decrease only at week 1 when compared to the control group, but the other dose groups showed no significant differences compared to the control at week 2 to 4. The serum T<sub>4</sub> concentration in the 1000 mg/kg dose group was significantly decreased at week 4, but no dosage of kojic acid affected the serum TSH concentration significantly. The 1000 mg/kg dose group had hypertrophy of epithelial cells in the thyroid gland at week 1 to 4; this was not observed in the 250 mg/kg dose group.

In this study, the uptake of iodine and iodination were determined prior to the beginning of treatment and at week 1, 2, 3, and 4 of treatment in 5 animals in each dose group. The rats received <sup>125</sup>I-NaI intraperitoneally 24 hours after the last treatment at the end of each week and blood was collected to measure radioactivity 24 hours after each administration of the radiolabel. Animals were killed and thyroid glands were excised and homogenized for radioactivity measurement. Radioactive iodine uptake in the 4 to 250 mg/kg dose groups was comparable to the control group at week 1 to 4. In the 1000 mg/kg dose group, the iodine uptake was about 2-fold greater than the control group in week 1; the uptake in this group continued to be constant and high through week 4. The TCA-precipitable radioactive iodine in the thyroid gland was also increased in the 1000 mg/kg dose group.

This study also determined the absorption of radioactive kojic acid in male Wistar rats dosed with a single-oral dose of 10  $\mu$ Ci/100 g body weight <sup>14</sup>C-U-kojic acid. Blood was collected 10 and 30 minutes and 1, 3, 6, and 24 hours after administration and radioactivity was measured with liquid scintillation. The absorption of kojic acid was rapid as manifested by the T<sub>max</sub> of blood concentration of radioactivity, which was as short as 1.0  $\pm$  0.0 hours and the t<sub>1/2</sub> was 4.8  $\pm$  0.3 hours. Blood concentrations of radioactivity had nearly disappeared by 24 hours after treatment. The authors concluded that kojic acid may decrease blood T<sub>4</sub> concentration and that thyroid function may be enhanced compensatorily; however, the toxic effect observed on the thyroid gland from the 1000 mg/kg dose group may depend on a fast decrease

following a transient increase of concentration of kojic acid in the blood.<sup>104</sup>

The potential thyroid gland tumor initiation activity of kojic acid was evaluated in a 2-part study on rats.<sup>24</sup> Groups of 20 male F344 rats received a diet containing 0%, 0.02%, 0.2%, or 2% kojic acid for 8 weeks that was followed by treatment with 0.1% sulfadimethoxine (SDM) in drinking water for 23 weeks. A 13-week recovery period followed the SDM treatment. Controls included a group that received 4 subcutaneous injections of BHP during the initiation period followed by an administration of 0.1% SDM, a group that received diet containing 2% kojic acid for the initial 8 weeks alone, a group that received 2% kojic acid for the entire 31 weeks, and a group that received only basal diet. Body weights were measured weekly. At the end of 31 weeks of experimenting, blood was drawn for hormone analysis. Half of the rats in each group were killed prior to the recovery and the remaining rats were killed after. All rats were necropsied. Thyroid glands from the animals were weighed, fixed, and underwent histopathological examination.

During the treatment and recovery periods, deaths from tracheal obstruction from extremely hypertrophied thyroids were observed in the BHP control group (5 in total), the 31-week administration of kojic acid control group (3 in total), the 8-week kojic acid control group (1 in total), and the 2% kojic acid + SDM treatment group (1 in total). Significant suppression of body weight gains was observed in the BHP and 31-week kojic acid control groups during administration that continued until the end of the recovery period in the 31-week kojic acid control. All treated groups had significantly increased absolute and relative thyroid gland weights when compared to the untreated (basal diet) control group at the end of the administration period. These values, however, were decreased at the end of the recovery period, except in the BHP control group. When compared to the untreated controls, serum T<sub>3</sub> levels in the 0% kojic acid + SDM, 2% kojic acid + SDM, and BHP control group were significantly decreased at the end of the administration period, as were the serum T<sub>4</sub> levels in all treatment groups except the 8-week kojic acid control. The serum T<sub>3</sub> and T<sub>4</sub> levels in the 8-week kojic acid control were significantly increased compared to the untreated controls. Dose-dependent significant increases in the serum TSH levels occurred in all treatment groups, except the 8-week kojic acid control. These increases were also dependent on treatment duration in the groups that received kojic acid.

Thyroid carcinomas and adenomas were observed in all rats of the BHP control group while no histopathological lesions were observed in the untreated control group. One adenoma was observed in the 31-week kojic acid control group, but no other carcinomas or adenomas were observed in the remaining treatment groups. At the end of administration, focal follicular cell hyperplasias were significantly higher in rats in the 2% kojic acid + SDM, BHP control, and 31-week kojic acid control groups. This effect was observed in the latter 2 groups until the end of the recovery period. The mean percentage of PCNA-positive cells to 150 to 700 follicular cells counted per proliferative lesion was significantly increased in the BHP control

and the 31-week kojic acid control group. The authors concluded that kojic acid had no tumor-initiation activity in the thyroid and observed thyroid tumorigenic activity in earlier studies was likely attributable to nongenotoxic mechanisms.<sup>24</sup>

In this safety assessment, the only thyroid carcinogenesis data available are those pertaining to rodents. A review by Capen reported that rodent thyroid glands, especially in male rats, have greater sensitivity to chemical substances and physiologic perturbations than human thyroid glands.<sup>105</sup> This difference is attributed to several factors, including shorter plasma half-life of T<sub>4</sub> in rodents and differences in transport and binding of proteins for thyroid hormones. Capen concluded that induction of neoplasia in humans from prolonged stimulation of the human thyroid by TSH would occur only in exceptional circumstances. In contrast, a review by Hill et al stated that the US Environmental Protection Agency (EPA) follows the position that chemically induced rodent thyroid tumors are presumed to be relevant to humans and that when interspecies information is lacking, the default is to assume comparable carcinogenic sensitivity in rodents and humans.<sup>106</sup> The SCCP noted that while thyroid tumor induction due to tumor-promoting effect from hormonal disruption occurs in rodents, the effect of kojic acid on human thyroid glands does not pose a significant carcinogenic risk.<sup>20</sup>

## Clinical Assessment of Safety

### Case Studies

A 30-year-old woman that developed hyperpigmentation following sclerotherapy for varicose veins was prescribed a cream containing 3% kojic acid, 10% urea, 2% hydroquinone, 4% lactic acid, 74% witch hazel, 5% castor oil, 1% citric acid, 1% cellulose, and 10% propylene glycol.<sup>107</sup> After 4 months of use, she saw no improvement of the hyperpigmentation and was prescribed another medication (a mixture of melilotus, alpha bisabolol, Ginko biloba extract, and ascorbic acid) to use along with the cream. A few weeks later, the patient presented with eczematous eruption on and around the hyperpigmentation. Patch tests with the Grupo Español de Investigación Dermatitis de Contacto (GEIDC) series were negative, while a patch test of the entire cream was ++ after 4 days. The individual components of the cream were tested, including kojic acid aqueous solutions of 0.1%, 0.5%, 1%, and 5%. All kojic acid patches were positive after 2 and 4 days, with a ++ reaction to concentrations of 1% and 5%. Patch tests of the other components were negative. Twenty controls tested with the same kojic acid concentrations were negative.

In another case study, a 54-year-old woman with actinic lentigines on her arms and forearms developed pigmented contact dermatitis on her arms.<sup>108</sup> The patient admitted to using a compound with a formulation similar to the one described above containing 3% kojic acid for 5 years. One year before presentation, she noticed progressive, asymptomatic erythematous and hyperpigmented areas on her arms but continued applying the skin lightening compound. Biopsy showed pigmentary

incontinence, melanophagia, and moderate lymphohistiocytic infiltrate without a spongiotic epidermis. Patch tests with GEIDC series, disperse dyes, and photopatch tests were negative. Patch tests with 1% aqueous kojic acid and the compound "as is" were negative on day 2, but hyperpigmentation was present at both sites on day 4 and 7. These lesions persisted for 1 month. Twenty controls tested with the same compound and 1% aqueous kojic acid were negative.

### Clinical Testing and Therapeutic Use

A human repeat insult patch test (HRIPT) of the potential of kojic acid to induce primary or cumulative irritation and/or allergic contact sensitization was conducted using 54 participants.<sup>109</sup> The participants received applications of a cream product containing 1% kojic acid. Induction applications were made to the same, previously untreated site on the back 3 times per week for 3 successive weeks. An amount sufficient to cover the contact surface of kojic acid was applied to a 3/4 inch square absorbent pad portion of an adhesive dressing. The test sites were occluded. The patches were removed after 24 hours. Following the 2-week nontreatment period, the challenge application was applied to a previously untreated site for 24 hours, and the site was scored 24 and 72 hours after patch removal. No responses were observed during either the induction or challenge tests.

In another HRIPT study, the potential of a formula containing 2% kojic acid to induce sensitization was evaluated using 218 participants. The induction phase consisted of 9 consecutive applications of 0.2 mg of the test material. The test material was applied on a 2 cm × 2 cm Webril pad, and the test sites were semiocluded. The patches were removed after 24 hours, and the test sites were evaluated after 48 or 72 hours. After a 2-week rest period, the participants received challenge applications on previously untreated sites for 24 hours, and the test sites were evaluated after 48 or 72 hours. During the induction phase, 11 minimal or doubtful ("?") responses and 4 definite erythema ("+") responses were observed. Only one minimal or doubtful response was observed at 48 hours but was resolved at 72 hours. The study concluded that there was no evidence of sensitization in a formula containing 2% kojic acid.

Of the 220 female patients patch tested for suspected cosmetic-related contact dermatitis, 5 reacted to kojic acid as well as products they owned that contained 1% kojic acid.<sup>110</sup> Reactions to 1% and 5% kojic acid in these patients were + and ++. The 5 patients had developed facial dermatitis within 1 to 12 months of using kojic acid-containing cosmetic products. The remaining 215 patients in the patch test group, including 3 that had previous exposures to the kojic acid, did not have any reactions to kojic acid.

The effectiveness of hydroquinone and kojic acid (concentration of 2%) formulations with glycolic acid for the treatment of melasma in 39 patients was compared.<sup>111</sup> The formulations were applied on each half of the face once daily (increasing to twice daily if well tolerated) for a month. Burning and desquamation were reported in all patients, with the kojic acid

formulation being more irritating of the 2 formulations tested. None of the patients discontinued treatment, however.

The effectiveness of a gel containing 2% kojic acid, 10% glycolic acid, and 2% hydroquinone to treat melasma was determined in a 12-week study of 40 Chinese women.<sup>112</sup> One half of each woman's face was treated with the test gel and the other half was treated with a gel that did not contain kojic acid. All patients experienced redness, stinging, and mild exfoliation on both halves of the face, with symptoms settling by the third week of the study. Three patients had to withdraw from the study due to these side effects.

Prignano et al<sup>32</sup> described the use of kojic acid in treatment for melasma (cloasma). Kojic acid is normally used in 1% preparations for this skin condition at a frequency of 2 times daily for 2 months. A side effect of this treatment is contact allergy.

### Summary

Kojic acid is used as an antioxidant in cosmetics and is derived from several fungal species.

The FDA reports that kojic acid is used in a total of 16 products. In an industry survey of current use concentrations, kojic acid is used at concentrations ranging from 0.1% to 2%. Health Canada and the EWG report 148 and 93 uses, respectively, with the uses in Canada reported as high as 10% to 30%. Kojic acid may be used in cosmetic spray products, but the particle sizes produced by such products are not respirable.

The European Commission's SCCP determined that, based on a margin of safety calculation, the use of kojic acid at 1.0% in skin care formulations poses a risk to human health due to potential systemic effects. The SCCP also found that kojic acid is a potential skin sensitizer. Kojic acid is not included on the list of ingredients that must not be used in cosmetic products that are marketed in Japan.

Noncosmetic uses reported for kojic acid include therapeutic uses for melasma, antioxidant and preservative in foods, antibiotic, chemical intermediate, metal chelate, pesticide, and antimicrobial agents.

In rats, kojic acid is rapidly absorbed and distributed to all organs in oral treatments. Kojic acid is not as rapidly absorbed or distributed in subcutaneous treatments, is slowly absorbed in dermal treatments, and can be transferred at low levels to milk. Kojic acid is mainly excreted in the urine; metabolites are sulfate and glucuronide conjugates of kojic acid.

Absorption of kojic acid through human dermatomed skin resulted in 17% of the applied dose being absorbed. A study of percutaneous absorption of kojic acid in human volunteers found the potential for dermal transfer into the blood to be very low. Based on application of a 1% kojic acid cream to the hands and face and percutaneous absorption of applied dose in human skin, a SED range of 0.03 to 0.06 mg/kg per d was calculated.

Because of its well-documented ability to inhibit tyrosinase activity, kojic acid has been used in numerous studies as a positive control.

In acute mouse studies with kojic acid, oral, subcutaneous, and intraperitoneal LD<sub>50</sub> values were 5.1, 2.7, and 2.6 g/kg body weight, respectively. In rats, the LD<sub>50</sub> values were greater than 2 g/kg body weight in oral and dermal studies, and 2.6 and 2.4 g/kg body weight in subcutaneous and intraperitoneal studies, respectively.

A short-term dermal study in rats found that exposure to kojic acid lowered lymphocyte counts at doses of 300 and 1000 mg/kg per d and decreased absolute and relative spleen weights at 1000 mg/kg per d. The NOEL for this study was 100 mg/kg per d.

The subchronic oral toxicity study in male rats concluded with a NOEL for kojic acid of 125 mg/kg per d. Rats that received 250 mg/kg or more of kojic acid had significant suppression of body weight gain when compared to the control group. The 1000 mg/kg dose group also had a slight decrease in erythrocyte counts and decreases of hematocrit value and hemoglobin concentration. Increases of GOT and GPT were observed in dose groups receiving 250, 500, and 1000 mg/kg. The 500 and 1000 mg/kg dose groups had increased ALP, and slight increases of total cholesterol, bilirubin, and calcium were observed in the 1000 mg/kg dose group. At necropsy, the absolute and relative weights of the adrenal glands were increased in the dose groups receiving 500 and 1000 mg/kg kojic acid.

Kojic acid was not an ocular irritant but was a mild dermal irritant in rabbits. In guinea pigs, this ingredient was not a dermal sensitizer but did produce slight skin reactions with UV light exposure in acidic conditions in human repeat insult patch tests, 1% and 2% kojic acid was not sensitizing. A study of 1% and 4% kojic acid in black guinea saw almost no skin-whitening effects.

Several studies of kojic acid, with doses tested up to 900 mg/kg per d in rodents, found the substance was not a reproductive or developmental toxicant.

Kojic acid was genotoxic in several bacterial assays, but the results in mammalian cell assays were mixed. In vivo mammalian tests of kojic acid were negative for genotoxicity. Kojic acid was a weak photo-mutagen in a photo-reverse mutation assay and a chromosomal aberration study with light irradiation.

International Agency for Research on Cancer has concluded that kojic acid is a group 3 carcinogen—not classifiable to human carcinogenicity. Several studies on mice and rat liver found kojic acid to have carcinogenesis-promoting potential but not an initiation potential. Kojic acid did not possess initiation or promotion potential for skin carcinogenesis in mice. Studies on the effect of kojic acid on rodent thyroids found the chemical inhibits iodine uptake and organification in the thyroid, which causes a proliferative effect.

Thyroid proliferative responses in rodent systems may be due to such factors as shorter plasma half-life of T<sub>4</sub> in rodents and differences in transport and binding of protein for thyroid hormones that do not occur in humans.

Case studies of contact dermatitis have been reported in patients that have used cosmetic products or medicinal creams

containing 1% kojic acid. Kojic acid is reportedly used to treat melasma. An efficacy study in Chinese women reported that the patients experienced redness, itchiness, and exfoliation, although these results were also observed on skin that was not treated with kojic acid. Another therapeutic study reported that the side effect of the treatment of melasma with 1% kojic acid was contact allergy.

## Discussion

Because kojic acid is not a toxicant in acute, chronic, reproductive, and genotoxicity studies, the Cosmetic Ingredient Review (CIR) Expert Panel considered that these data posed no safety issues. The Panel did note that some animal data suggest tumor promotion and weak carcinogenicity. Kojic acid, however, is slowly absorbed into the circulation from human skin, and likely would not reach the systemic level at which these effects were seen. The available human sensitization data support the safety of kojic acid at a concentration of 2% in leave-on cosmetics, suggesting that a limit of 2% might be appropriate. A depigmentation study of kojic acid in black guinea pigs, however, found that skin whitening was statistically significantly at a concentration of 4%. In the same study, a kojic acid concentration of 1% did not result skin whitening that was different from the vehicle control. Kojic acid did not appear to damage melanocytes, and the skin-whitening effect at 4% likely is attributed to tyrosinase inhibition. While reversible, the Panel considers tyrosinase inhibition to be an adverse effect with a NOEL of 1%. Therefore, the Expert Panel finds that kojic acid should only be used up to a concentration of 1% in cosmetic products.

The Panel recognizes that the EWG on its Web site and Health Canada in its product database have reported uses of kojic acid at concentrations greater than 1%. Because these data may include over-the-counter drug uses, it was not possible to determine the extent to which cosmetic products were being sold with concentrations greater than 1%, the limit established by the Panel.

The CIR Expert Panel noted the large number of studies on the effects of kojic acid on rodent thyroid glands. The weight of evidence indicates differing factors, such as shorter plasma half-life of T<sub>4</sub> in rodents and differences in transport and binding of protein for thyroid hormones between rodents and humans, allow the rodent thyroid system to be more likely to have a proliferative response to physical or chemical stimulation attributable to an indirect effect on thyroid hormone synthesis and secretion rather than a genotoxic mechanism. Recognizing that the rodent thyroid gland is sensitive to chemical substances and physiologic perturbations in ways different from that in humans, the Expert Panel concluded that kojic acid would not pose significant risk to human thyroid glands at the levels used in cosmetic products.

The potential adverse effects of inhaled aerosols depend on the specific chemical species, the concentration, and the duration of the exposure and their site of deposition within the respiratory system. In practice, aerosols should have at least

99% of their particle diameters in the 10 to 110  $\mu\text{m}$  range and the mean particle diameter in a typical aerosol spray has been reported as  $\sim 38 \mu\text{m}$ . Particles with an aerodynamic diameter of  $\leq 10 \mu\text{m}$  are respirable. In the absence of inhalation toxicity data, the Expert Panel determined that kojic acid can be used safely in cosmetic spray products, because the product particle size is not respirable.

## Conclusion

The CIR Expert Panel concluded that kojic acid is safe for use in cosmetic products up to a concentration of 1%.

## Author's Note

The 2010 Cosmetic Ingredient Review Expert Panel members are: Chairman, Wilma F. Bergfeld, MD, FACP; Donald V. Belsito, MD; Ronald A. Hill, PhD; Curtis D. Klaassen, PhD; Daniel C. Liebler, PhD; James G. Marks Jr, MD, Ronald C. Shank, PhD; Thomas J. Slaga, PhD; and Paul W. Snyder, DVM, PhD.

The CIR Director is F. Alan Andersen, PhD. This report was prepared by Christina L. Burnett, CIR Scientific Analyst/Writer.

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## Conflict of Interest

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**Memorandum**

**TO:** Bart Heldreth, Ph.D.  
Executive Director - Cosmetic Ingredient Review

**FROM:** Carol Eisenmann, Ph.D.  
Personal Care Products Council

**DATE:** October 23, 2025

**SUBJECT:** Kojic Acid

Anonymous. 2025. Memo to CIR Executive Director, Dr. Bart Heldreth in response to the insufficient data announcement for Kojic Acid on September 8-9.

CIR Executive Director

We are writing in response to the insufficient data announcement for kojic acid on September 12, 2025.

**MOE calculations for various exposure scenarios, specifically (e.g., in bath products at 0.05%, rinse-off product, whole-body, face and hands, etc.)**

In the SCCS OPINION CORRIGENDUM on kojic acid (SCCS/1637/21, 2022), the SCCS calculated MoS values for kojic acid at 1% in a cream product for application scenarios on the face and neck, the hands, and an aggregate of these applications (face + neck + hands). The MoS values were 267, 299<sup>\*1</sup>, and 141, respectively. The MoS value for body can be similarly estimated as 83, using a daily amount applied of 7.82 g/day for body lotion as described in the SCCS Notes of Guidance 12th Edition (hereinafter abbreviated as NoG).

Regarding rinse-off product, according to the SCCS NoG, daily amount applied to shower gel, assumed for total body area use with the surface area of 17500 cm<sup>2</sup>, is stated as 18.67 g/day. Retention factor is stated as 0.01, yielding an estimated daily amount applied to 0.1867 g/day. Using the same calculation system of SED and MoS as that in the cream product in SCCS opinion, the MoS for shower gel with kojic acid at 0.05% can thus be calculated as 69112 (>>100)

	Area of application (cm <sup>2</sup> )	Retention factor	Estimated daily amount applied (g/day)	Con. of kojic acid (%)	Calculated daily amount applied kojic acid (mg/day) <sup>*2</sup>	SED (mg/kg bw/day)	Adjusted NOAEL (mg/kg bw/day)	MoS
<b>Leave on</b>	Face+neck (565 + 320 = 885)	1.00	2.41	1	0.448	0.0075	2	267
	Hands (860)	1.00	2.16	1	0.402	0.0067	2	299 <sup>*1</sup>
	Face+neck+hands (1745)	1.00	4.57	1	0.850	0.0142	2	141
	Body (15670)	1.00	7.82	1	1.455	0.0242	2	83
<b>Rinse off</b>	Total body area (17500)	0.01	0.1867	0.05	0.00174	0.0000289	2	69112

\*1: A value of 199 for the hands appeared in the SCCS opinion. However, it is thought to be a typographical error and should be 299.

\*2: The calculated daily amount applied kojic acid was determined based on a human percutaneous absorption study in which the amount of kojic acid in plasma was estimated as 0.093 mg/day involving a single application of 500 mg cream containing 1% kojic acid on the entire face, as described in the SCCS opinion.

There are no daily amount applied data to other rinse off exposure scenarios in the SCCS NoG. However, it can be simply assumed that the MoS values are supposed to be more than 69112 (>>100) for any other scenarios with their applied area such as face, hands and application frequency.

Regarding in bath products, as there is no daily exposure data present in the SCCS NoG, one could think of the daily use of this category of products and make an estimation of the possible exposure in a conservative way. In the US EPA EXPOSURE FACTORS HANDBOOK (2011 EDITION), the amount of product per application is stated as 18.9 g to bath salts, this could lead to 18.9 g/day if the daily frequency of use is set to 1. One could make the assumption that a standard bath contains 100 liters of water, which means the bath salts product is diluted to  $18.9 \text{ g}/100 \text{ L} = 18.9 \text{ g}/100000 \text{ g} = 0.000189 \text{ g/g}$ . When one takes a bath for a while and steps out of the bath, some bath water containing the bath salts remains on the body. This could be considered as the same amount as a leave-on product (body lotion) with a daily use amount of 7.82 g/day, as described in SCCS NoG. The estimated daily amount applied of bath salts thus can be calculated as:  $7.82 \text{ g/day} \times 0.000189 \text{ g/g} = 0.00148 \text{ g/day}$ . Using the same calculation system of SED and MoS as that in the cream product in SCCS opinion, the calculated daily amount of applied kojic acid (0.05%) was determined to 0.0000138 mg/day, and the MoS value for bath salts with kojic acid at 0.05% can be calculated as 8720000 ( $\gg 100$ ).

**Toxicity endpoints (developmental and reproductive toxicity, repeated-dose studies, etc.)**

In CIR Report (2010), a 26-week subchronic repeated-dose oral rat study (NOEL: 125 mg/kg/day), a 55-week toxicity dietary rat study for carcinogenicity (NOAEL < 227 mg/kg/day), a reproduction and developmental study on pregnant ddy-SLC mice (NOAEL for maternal toxicity and embryotoxicity: 150 mg/kg/day), etc., were described. However, the NOAEL/ NOEL from these studies are higher than the NOAEL of 6 mg/kg bw/day from a 28-day oral repeated dose rat study which was used for MoS calculation in SCCS opinion. Furthermore, thyroid effects raised as a concern with kojic acid was assessed in this 28-day study. Thus the NOAEL of 6 mg/kg bw/day (adjusted to 2 mg/kg bw/day as shown in SCCS opinion) is considered to be conservative and appropriate for MoS calculations.